

APPENDIX II

Summary
of
Enforcement
Decisions

ABRAHAM, WALDEMAR P., SI-91/201 (1993)

87200 and 87206 - Four (4) counts
 87200 and 87207 - Three (3) counts
 \$14,000 fine

Walt Abraham, former Riverside County Supervisor, committed personal financial disclosure violations. On his 1989 through 1992 Statements of Economic Interests, Abraham failed to fully disclose a source of income and failed to disclose names of sources of income to a business entity in which he held a 10% or greater interest. Abraham also failed to fully disclose various real property interests held by a business entity in which he held interest.

A C NIELSEN, SI-99/380 (2000)

84200 - One (1) count
 \$400 fine

A C Nielsen located in Allentown, PA, failed to timely file a major donor campaign statement for a contribution made to Lungren Committee for Common Sense Conservatism in 1998.

ACE PARKING MANAGEMENT; TERMINAL AUTO PARKS, SI-98/488; SI-98/485 (1999)

84200 - One (1) count
 \$2,000 fine

Ace Parking Management in San Diego and Terminal Auto Parks, an affiliated entity, failed to file a semi-annual campaign statement for contributions made to Lungren for Governor, a controlled committee, in 1998.

ACEVEDO, MARY, SI-99/810 (2000)

87300 and 87302 - One (1) count
 \$1,000 fine

Mary Acevedo, a Commissioner of the Trade and Commerce Agency, failed to timely file her 1998 annual Statement of Economic Interests.

ADAMS, BRIAN, SI-87/14 (1987)

87300 - Three (3) counts
 \$2,000 fine
 \$1,500 waived

Brian Adams, a member of the Department of Consumer Affairs' Board of Accountancy, violated the department's conflict-of-interest code and Chapter 7 of the Political Reform Act by failing to file annual Statements of Economic Interests for the years 1983, 1984, and 1985.

AFFORDABLE HOUSING ALLIANCE POLITICAL ACTION COMMITTEE, SI-94/65 (1997)

84220 - One (1) count
 84305.5 - One (1) count
 \$2,000 fine

Affordable Housing Alliance Political Action Committee, a low income tenant's rights organization located in San Francisco, failed to report a late payment for a slate mailer received in 1993 and failed to include a "Notice to Voters" disclaimer and to designate by an asterisk (*) candidates and ballot measures which paid to appear in a slate mailer.

AGNOS, ART, SI-87/152, SI-88/398 (1989)

84305 - One (1) count
 87206 and 87207 - Two (2) counts
 \$7,000 fine

San Francisco mayor Art Agnos committed financial disclosure violations while a state assembly member and did not provide proper sender identification on a local campaign mass mailing in 1988. The financial disclosure violations involved the failure to adequately disclose \$441,521 in income from the sale of investments or interests in real property in 1986.

Over half of the unreported income came from the sale of his interest in "Meiss Road Partnership," which owned real estate, to Sacramento developer Angelo Tsakopoulos for \$275,000 in December 1986. Mr. Agnos failed to disclose the \$275,000 income and the name and address of the source of income on his Statement of Economic Interests. He also failed to disclose \$1,521 in income realized from the partnership.

Mr. Agnos also failed to report income of \$155,000 from the sale of a Sacramento duplex.

Additionally, Mr. Agnos failed to disclose the acquisition of a 10% interest in a partnership from AKT Development

Corporation for \$10,000 in 1986 and the sale of the investment during the same year.

The mass mailing violation involved the failure of Mr. Agnos' committee, San Franciscans for Yes on Proposition R, to provide proper sender identification on 325 identical letters sent in September 1988 urging passage of the measure.

AGOLD CORPORATION, MA LI KUO, SU YUEH LIN, ZHOU JIAN YU, SI-92/483 (1997 - CIVIL SUIT)

84302, 84300(c), 84200, 84301
\$23,000 fine

Agold Corporation, a California corporation with its principal place of business in Mountain View, failed to disclose the true source of a contribution made to Santa Clara Citizens Seeking a Vote (SCC). Agold Corporation also failed to disclose that it was an intermediary for the contribution.

Zhou Jian Yu, Santa Clara restaurant owner, failed to provide a check containing his name when he used a cashier's check to make a contribution through Agold Corporation. Zhou Jian Yu also failed to file major donor statements for three contributions made to SCC in 1992.

Ma Li Kuo, corporate secretary and agent for service of process for Agold Corporation, failed to disclose that she was the true source of one contribution made to SCC in 1992.

A-K ASSOCIATES, SI-87/226 (1989)

86203 - One (1) count
\$1,000 fine

A-K Associates, a Sacramento lobbying firm, illegally arranged for the making of a gift to a person in excess of \$10 in a calendar month. The violation resulted when A-K Associates allowed the use of its offices for a reception hosted by one of their clients, the California Ambulance Association. Among other guests, 36 state senators, assembly members and legislative staff attended the function. An employee of A-K Associates acted as the contact for responses from invitees who planned to attend. The cost was valued at \$18.72 per attendee with the Ambulance Association paying the costs.

ALATORRE, RICHARD, FC-86/530 (1988)

87100 - One (1) count
\$2,000 fine

Los Angeles Councilmember Richard Alatorre committed a conflict of interest violation arising from his attempt to influence a council decision on a \$722,500 Eastside Community Transit contract. Mr. Alatorre sought in late July and early August 1986 to steer the transit contract to the East Los Angeles Community Union (TELACU) who had paid a \$1,000 speaking honorarium to the councilmember in June.

ALATORRE, RICHARD, SI-97/268 (1997)

87100 - One (1) count
\$2,000 fine

Richard Alatorre, member of the Los Angeles City Council, violated the conflict of interest provisions when he used his official position to influence a governmental decision resulting in a professional fundraising company being granted a retroactive extension of its expired license, a company in which he had an economic interest through his spouse's source of income.

ALBY, BARBARA, ALBY FOR ASSEMBLY 1993, AND LORA SMITH, TREASURER, SI-95/23 (1996)

84211 - Two (2) counts
\$3,000 fine

Barbara Alby, candidate for the California State Assembly in 1993, and her controlled committee and its treasurer, Lora Smith, failed to timely report non-monetary contributions from the California Republican Party on campaign statements in 1993.

ALIOTO, ANGELA, ANGELA ALIOTO FOR SUPERVISOR, SI-91/9 (1991)

84203 - Two (2) counts
\$2,500 fine

Angela Alioto, San Francisco City and County Supervisor, and the Angela Alioto for Supervisor Committee failed to file late contribution reports for two loans which Ms. Alioto made to her campaign during the last two weeks before the 1988 election.

Ms. Alioto made a \$35,500 loan from her personal funds and an additional loan of \$14,300 to her committee and only reported them in semi-annual campaign statements filed after the election.

ALLEN, BARRY, SI-96/401 (1998)

87100 - Five (5) counts
\$5,000 fine

Barry Allen, Area Supervisor of Office Machine Repair Services for the Department of General Services, violated the conflict of interest provisions when he signed purchase orders to receive computer parts from a company that was a source of income to him.

ALLEN, MORTON, MORT ALLEN FOR CITY COUNCIL, SI-80/58 (1982)

84211 - Two (2) counts
84213 - Two (2) counts
\$8,000 fine

The Commission adopted an administrative law judge's recommendation that found that former Los Angeles City Council candidate Mort Allen and his campaign committee knowingly and willingly concealed a \$50,000 loan during his 1979 council campaign.

In January of 1979, Allen received a \$50,000 loan from Martin and Gladys Robbins, parents of Senator Alan Robbins. When the loan was made, Allen was already a city council candidate and intended to use the loan for his campaign. Instead of turning the loan over to his campaign committee, however, he placed the proceeds into personal and business accounts. He then paid over \$20,000 to his campaign committee, representing the money as a loan from himself. Later in the campaign, Allen transferred the remaining \$30,000 received from the Robbins' to his campaign in the form of a loan from Allen Realty. In two separate campaign statements, Allen stated that the loans were from himself and his company.

ALLEN, PENNY, SI-94/138 (1995)

87100 - One (1) count
87300 - Three (3) counts
\$3,500 fine

Penny Allen, member of the Chula Vista Economic Development Commission, violated conflict of interest disqualification provisions when she voted on a decision in 1993, which would have a material financial effect on a source of income to her. In addition, she failed to timely disclose this source of income on her annual Statement of Economic Interests for three consecutive years.

ALLIANCE FOR MISSION VIEJO - YES ON MEASURE A, YES ON RECALL, SI-90/163 (1990)

84305 - One (1) count
\$2,000 fine

Alliance for Mission Viejo - Yes on Measure A, Yes on Recall failed to provide proper sender identification on a last-minute mailer. The mass mailing in question involved some 24,000 copies of a one-page letter supporting Measure A, signed by Orange County Supervisor Thomas F. Riley. The mailing gave the misleading impression that its sender was Supervisor Riley rather than Alliance. They were sent six days before the election in envelopes displaying the supervisor's name on the outside as the sender.

ALLIANCE TO REVITALIZE CALIFORNIA, A COMMITTEE FOR PROPOSITIONS 200, 201 AND 202; VIRGINIA BOYD, TREASURER, AND THOMAS PROULX, CHAIRMAN, SI-96/89 (1997)

84305 and 84107 - One (1) count
\$2,000 fine

Alliance to Revitalize California, a committee for Propositions 200, 201 and 202; Virginia Boyd, Treasurer, and Thomas Proulx, Chairman, failed to include proper sender identification on a mass mailing sent in 1996 by omitting the names of the committee's proponents and the specific ballot measures supported.

ALMEIDA, THOMAS CHRISTOPHER, SI-96/453 (1999)

87302 - Seven (7) counts
\$14,000 fine

Thomas Christopher Almeida, administrative manager at the California State Department of Education (CDE), in the Career Vocational Education Division during 1992 through 1995, failed to disclose sources of income,

investment interests, and business positions he held over several years on his annual Statements of Economic Interests.

ALONGI, GEORGE, SI-95/112 (1997)

87100 - Four (4) counts
\$6,500 fine

The Commission adopted an administrative law judge's proposed decision in the matter of George Alongi, a Lake Elsinore City Councilman, who violated the conflict of interest provisions in 1994 and 1995 when he participated in two governmental decisions and used his official position on two other separate occasions in an attempt to influence decisions in which he knew he had a financial interest.

ALVARADO, PABLO, SI-2000/575 (2000)

87300 and 87302 – One (1) count
\$300 fine

Pablo Alvarado, a Commissioner for the City of Pasadena, failed to timely file both his assuming office and 1999 annual Statements of Economic Interests.

AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES, AFL-CIO, THE SI-91/206 (1991)

84200.5 - Two (2) counts
84200 - One (1) count
\$4,000 fine

The American Federation of State, County and Municipal Employees, AFL-CIO, a general purpose recipient committee during 1990, failed to timely file two pre-election campaign statements and one semi-annual campaign statement reporting \$52,100 in contributions received and expenditures made in the identical amount.

AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES, AFL-CIO I.D. #745604, AND WILLIAM LUCY, TREASURER, SI-93/404 (1994)

84203 - Three (3) counts
\$5,000 fine

The American Federation of State, County and Municipal Employees, AFL-CIO I.D. #745604, and William Lucy, Treasurer, failed to disclose eleven contributions totaling \$56,500 made to various California candidates and committees in 1989 and 1990.

AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES (AFSCME), AFL-CIO, AND WILLIAM LUCY, TREASURER, SI-95/304 (1995)

84200.5(d) - One (1) count
84203 - Seven (7) counts
\$15,000 fine

The AFSCME, a general purpose recipient committee during 1991-1992, failed to timely file a campaign statement and seven late contribution reports for contributions made amounting to \$75,000.

AMERICAN FEDERATION OF STATE, COUNTY AND MUNICIPAL EMPLOYEES AFL-CIO DISTRICT COUNCIL 36, ARTHUR POND, CLARENCE PALMER, KARLENE GEORGE, JEAN NICHOLAS, RALPH MILLER, DENA WEBB, SANDRA MACATEE, ALICE GOFF, AND JOHN WYROUGH, SI-96/264 (1998)

84200 - Eleven (11) counts
84200.7 - Nine (9) counts
84202.5 - One (1) count
84203 - Four (4) counts
84204 - Three (3) counts
84211 - Eighteen (18) counts
\$85,000 fine

The American Federation of State, County and Municipal Employees AFL-CIO District Council 36, a council of local unions headquartered in Los Angeles, with affiliated local unions in eight southern California counties, and its president, Arthur Pond, and officers, Clarence Palmer, Karlene George, Jean Nicholas, Ralph Miller, Dena Webb, Sandra Macatee, Alice Goff, and Executive Director John Wyrough, failed to file campaign statements and reports and failed to report expenditures made of \$100 or more, including contributions made to candidates for elective office and ballot measure committees, and contributions received between 1991 and 1997.

AMERICAN TELEPHONE AND TELEGRAPH COMPANY, SI-91/341 (1991)

84203 - One (1) count
\$2,000 fine

The American Telephone and Telegraph Company failed to timely file a late contribution report in 1990 for a contribution of \$10,000 made to "Los Angeles Taxpayers for Clean Government," a committee supporting Los Angeles Ballot Measure H.

ANDEN GROUP, THE, MD-92/119 (1993)

84200(b) - Two (2) counts
\$3,500 fine

The Anden Group, a Southern California residential real estate company, failed to timely file major donor campaign statements for contributions totaling \$36,250 made in 1990. The Anden Group had a prior filing history and also paid a \$500 late filing penalty to the Secretary of State.

ANDERSON, DAVID, SI-89/77 (1991)

84200 (a) - Three (3) counts
\$4,000 fine

The Commission issued a Default Decision and Order against David Anderson, director of the Oakland Unified School Board, who failed to timely file three campaign disclosure statements during 1988 and 1989, despite repeated notices by the Oakland City Clerk's office and the Commission staff. The statements were filed from five to 17 months late.

ANDERSON, DOUGLAS, SI-96/174 (2000)

87402 - Four (4) counts
\$8,000 fine

Douglas Anderson, former employee of the Franchise Tax Board (FTB), violated the revolving door provisions in 1995 and 1996 when he assisted in representing a client in filing claims for a refund of taxes in a proceeding in which he had participated while still employed by FTB.

ANDERSON, MICHAEL A., SI-90/667 (1993)

87300 - One (1) count

\$2,000 fine

The Commission took no action on an administrative law judge's recommendation for a \$2,000 fine against Michael Anderson, a member of the California State Board of Forestry, who failed to disclose Georgia-Pacific Corporation as a source of income on his 1989 Statement of Economic Interests. As a result, that recommendation took effect in 30 days.

ANTWIH, ANDREW, SI-99/544 (2000)

87300 - One (1) count
\$500 fine

Andrew Antwih, a senior consultant for the California State Assembly, failed to timely file his 1998 annual Statement of Economic Interests.

ANZA BORREGO FOUNDATION, SI-200/507 (2000)

84203 - One (1) count
\$1,500 fine

Anza Borrego Foundation located in Borrego Springs failed to file a late contribution report during the March 7, 2000, primary election.

APLIN, PHILIP, SI-99/337 (2000)

87203 - One (1) count
\$500 fine

Philip Aplin, member of the Grass Valley Design Review Board, failed to timely file his 1997 annual Statement of Economic Interests.

ARB, INC., SI-98/501 (1999)

84200 - One (1) count
84203 - One (1) count
\$2,500 fine

ARB, Inc., of Lake Forest failed to file a semi-annual campaign statement and a late contribution report for contributions made to Lungren for Governor, a controlled committee, in 1998.

ARCHULETTA, GILBERT, SI-93/486 (1994)

83116.5 - Eight (8) counts

\$16,000 fine

Gilbert Archuletta, a professional lobbyist in the City of Los Angeles, was given money for reimbursement of contributions totaling \$5,000 he made in his own name to various local candidates in Los Angeles during 1989-1991 rather than the names of the true sources.

ARNOLD CONSTRUCTION COMPANY, BROOKHURST SHOPPING CENTER, MIRA MESA SHOPPING CENTER, AND PERRIS PROPERTY PARTNERSHIP, SI-92/110 (1993)

84300 and 84301 - Six (6) counts
\$10,000 fine

Arnold Construction Company, an Anaheim based construction and shopping center development firm, laundered contributions made to six city, county, and state candidates during 1989-1990. The funds used were drawn from one of three partnership accounts.

ASHER, HAROLD, SI-2000/471 (2000)

84203 – One (1) count
\$2,000 fine

Harold Asher of Encino failed to file a late contribution report during the March 7, 2000, primary election.

ASHWILL, BRUCE, SI-84/43 (1985)

See also, John Doolittle, et al., (1987)
84301 - One (1) count
\$2,000 fine

Bruce Ashwill, a real estate executive, agreed to help Senator John Doolittle by making a contribution to a rival candidate Jack Hornsby, in December 1984. Hornsby was the Democratic candidate in a three-way race for the First Senate District that included Doolittle and incumbent Senator Ray Johnson, running as an independent.

Ashwill, a long-time Republican supporter, was concerned about contributing to a Democrat and decided to have one of his employees make the contribution for him. A \$2,000 contribution was made by an Ashwill employee and Ashwill reimbursed the employee for the contribution. Ashwill did not disclose to the Hornsby campaign that he was the true source of the contribution.

ASIA PACIFIC INVESTMENTS AND DEVELOPMENTS CORPORATION, SI-94/534 (1995)

84302 - Twelve (12) counts
\$24,000 fine

The Commission issued a Default Decision and Order against the Asia Pacific Investments and Developments Corporation (APID), a California corporation located in Pasadena, who recruited “strawmen” contributors and distributed \$5,500 on behalf of Grand Sunrise and failed to disclose the true source of the contributions to candidates for Los Angeles City Council in 1991.

ASIA PACIFIC REALTY, SI-94/534 (1995)

84302 - Ten (10) counts
\$20,000 fine

The Commission issued a Default Decision and Order against Asia Pacific Realty, a real estate investment and development corporation located in Pasadena, who laundered contributions totaling \$5,000 on behalf of Grand Sunrise and failed to disclose the true source of the contributions to candidates for Los Angeles City Council in 1991.

ASSAGAI, MEL, SI-97/125 (1998)

86205(f) - One (1) count
\$2,000 fine

Mel Assagai, former Senior Executive for Governmental Affairs with the State Bar of California (State Bar) and presently owner of a lobbying firm, violated the Act when he entered into a contract with the State Bar in 1996, which included a bonus contingent upon the enactment of proposed legislation.

ASSOCIATION FOR CALIFORNIA TORT REFORM POLITICAL ACTION COM. DENNIS O'REILLY, SI-89/07 (1989)

84200(a) - Two (2) counts
84200.5(d) - Two (2) counts
\$4,000 fine

Association for California Tort Reform Political Action Committee and its treasurer, Dennis O'Reilly, failed to timely file four disclosure statements for campaign

activities in 1985 and failed to timely file four disclosure statements required for campaign receipts of \$10,366 and expenditures of \$23,829 during 1986. There were mitigating circumstances for part of the delay since committee records were destroyed by fire.

AU, KAREN, SI-92/286 (1995)

84300 and 84301 - Four (4) counts
\$6,500 fine

Karen Au, a partner and officer of Eagle International, a firm engaged in the business of trading and land development, laundered four \$99 campaign contributions in the names of her employees to a Monterey Park City Council candidate. At times during 1990 through 1992, Eagle International had potential commercial and residential development projects pending in the City of Monterey Park.

AUTHENTIC FITNESS CORPORATION, SI-99/318 (2000)

84200 - One (1) count
\$400 fine

Authentic Fitness Corporation, located in Los Angeles, failed to timely file a major donor campaign statement for a contribution made to Californians for Gray Davis committee in 1998.

AUTOZONE, INC.; AUTOZONE, INC., COMMITTEE FOR BETTER GOVERNMENT, SI-98/500 (1999)

84200 - One (1) count
\$1,250 fine

AutoZone, Inc., of Memphis, Tennessee, and the AutoZone, Inc., Committee for Better Government, an affiliated entity, failed to file a semi-annual campaign statement for contributions made to Lungren for Governor, a controlled committee, in 1998.

AVERY DENNISON CORPORATION, SI-98/502 (1999)

84200 - One (1) count
\$500 fine

Avery Dennison Corporation of Pasadena failed to file a semi-annual campaign statement with the Secretary of State's office for a contribution made to the Governor Pete Wilson Committee in 1998. Campaign statements were, however, filed with three other filing officers.

AZEVEDO, ANTHONY, C-78/16 (1980)

87206 - Seven (7) counts
87207 - Seven (7) counts
\$2,000 fine
\$750 waived

Following a hearing before an administrative law judge, Fremont City Councilman Anthony D. Azevedo was found to have failed to disclose \$348,000 in property investments and income from real estate transactions.

Azevedo failed to disclose a \$70,000 investment in 1975 in the Olive Heights Tract by the firm, Preitas and Azevedo; he failed to disclose the source of his \$11,000 share of income from sale of a lot in the tract in 1976; he failed to disclose the source of income of \$100,250 from the sale of ten more lots in 1977, including eight to Garrick Development, Inc., and he failed to disclose the source of rental income in 1978 of about \$16,950 to the Azevedo Commercial Center.

BACA, JOE, JOE BACA ASSEMBLY 92, FRIENDS OF JOE BACA, AND WILLIAM P. SMITH, TREASURER, SI-96/281 (1997)

84104 - One (1) count
84211 - Two (2) counts
84203 - One (1) count
\$6,500 fine

Joe Baca, a member of the California State Assembly, and his controlled committees, Joe Baca Assembly 92 and Friends of Joe Baca, and their treasurer, William P. Smith, failed to maintain records for travel expenses paid, failed to disclose occupation and employer information for contributions received on campaign statements filed between 1993 and 1994 and failed to timely file a late contribution report. In addition, reimbursements from the State of California for travel expenses paid by the committees were not disclosed. These violations were uncovered through a Franchise Tax Board audit of the committee.

BAILEY, PAUL, SI-96/163 (1997)

87100 - Three (3) counts
\$4,000 fine

Paul Bailey, Mayor and member of the Pismo Beach City Council from 1994 until his recall in July 1996, violated the conflict of interest provisions when he voted on a decision to reject the certificates of sufficiency for a recall election against himself and another councilmember and refused to call the recall election.

BALLY'S CASINO HOLDINGS, SI-98/554 (1999)

84200 - One (1) count
\$2,000 fine

Bally's Casino Holdings failed to file a semi-annual campaign statement for contributions made to the Democratic State Central Committee in 1996.

BAMERT, TOM, AND THE BAMERT CAMPAIGN COMMITTEE, SI-96/660 (1998)

84305 - One (1) count
\$1,750 fine

Tom Bamert, successful candidate for reelection as a member of the Amador County Board of Supervisors, District 2, and the Bamert Campaign Committee, his controlled committee, failed to include proper sender identification on a mass mailing sent in 1996.

BAMIEH, SAM, SI-97/1 (1997)

84300 and 84301 - Two (2) counts
\$3,200 fine

Sam Bamieh, a businessman and resident of the City of San Mateo, laundered two campaign contributions to the Committee to Re-Elect Frank Jordan for Mayor in 1995.

BAN GILLNETS, COMMITTEE TO, KENNETH KUKUDA, SI-87/117 (1988)

84101 (a) - One (1) count
84200.5(f)(1) - One (1) count
84200(a) - Two (2) counts
\$8,000 fine
\$3,000 waived

The Committee to Ban Gillnets and Kenneth Kukuda, who in November 1986 began circulating petitions for a proposed statewide initiative to ban ocean net fishing, failed to file a statement of organization within the required 10 days after qualifying as a campaign committee and failed to file three required campaign disclosure statements.

BANK OF AMERICA CA PAC, SI-2000/505 (2000)

84203 - Five (5) counts
\$2,400 fine

Bank of America CA PAC located in Atlanta, GA, failed to file late contribution reports during the March 7, 2000, primary election.

BARNETT, DONALD, AND COMMITTEE TO ELECT DONALD BARNETT, SI-96/612 (1998)

84101 - One (1) count
84200.5 and 84200.7 - One (1) count
84203 - One (1) count
\$5,000 fine

Donald Barnett, unsuccessful candidate for Los Angeles County Superior Court Judge, and his Committee to Elect Donald Barnett, failed to timely file campaign statements in 1994.

BARRY, RICHARD K., SI-95/82 (1995)

84301 - Eight (8) counts
\$13,600 fine

Richard K. Barry, a volunteer on the finance committee of Frank Jordan's 1991 San Francisco mayoral campaign, laundered contributions to the candidate when he purchased a table of ten seats for the "Jordan Birthday Celebration" and wrote in the names of eight friends and family members on the cashier's checks.

BARTEL, ARTHUR, SI-90/504 (1992)

87100 - Two (2) counts
\$4,000 fine

Arthur Bartel, Mayor of the City of Hanford, violated the conflict of interest provisions by failing to disqualify himself from two governmental decisions which had a foreseeable material financial effect on an individual who

had loaned him \$5,500 twelve months prior to the decisions.

BASHORE, R. WAYNE, SI-87/106 (1987)

87100 and 87103 - Three (3) counts
\$3,500 fine

Willits Mayor Wayne Bashore voted in late 1982 on three city council measures that involved a source of income.

Bashore acknowledged that passage of the three council measures regarding annexation and rezoning of property owned by Margie Handley would benefit Ms. Handley, owner of Hot Rocks, Inc., which was a source of more than \$1,500 in income to the mayor.

BASIC AMERICAN FOODS, MD-87/352 (1988)

84200(b) - Two (2) counts
84203(b) - Three (3) counts
\$3,500 fine

Basic American Foods of San Francisco failed to file major donor committee campaign statements disclosing various contributions totaling \$40,500 in 1986 and failed to file late contribution reports for three donations of \$1,000 or more made during the 16 days before the election.

BASSETT UNIFIED SCHOOL DISTRICT, SI-96/694 (1999)

89001 - Two (2) counts
\$4,000 fine

Bassett Unified School District located in La Puente improperly sent two mass mailings at public expense when it distributed a letter in 1995 directed to parents which was signed by and prominently featured the school board president and another mailing in 1996 which included a photograph which featured three of the five elected school district board members.

BATTIN, JAMES F., JR., SI-96/686 (1998)

87207 - One (1) count
89503 - Six (6) counts
\$14,000 fine

James F. Battin, Jr., successful candidate for California State Assembly in 1994, violated the gift limit prohibition when he accepted gifts with a total value of over \$280 in 1995. In addition, Battin failed to disclose the receipt of these gifts on his 1995 annual Statement of Economic Interests.

BAUGH, SCOTT R., BAUGH FOR ASSEMBLY 98 AND DAVID BAUER, SI-99/363 (1999)

84203 - One (1) count
\$2,000 fine

Scott R. Baugh, successful candidate in the 67th Assembly District, his controlled committee, Baugh for Assembly 98, and its treasurer, David Bauer, failed to file a required late contribution report disclosing the receipt of a \$30,000 monetary contribution from Phillip Morris, Inc., in 1998.

BAUGH, SCOTT R., BAUGH FOR ASSEMBLY, DANIEL A. TRAXLER, AND ADEL ZEIDAN, SI-99/187 (CIVIL SUIT - 1999)

84200
84300
84211
84104
84105
84203
85201
\$47,900 fine - Scott Baugh, et al.
\$5,000 fine - Adel Zeidan

Scott R. Baugh, a special election candidate for State Assembly in the 67th District, his committee, Baugh for Assembly, and its treasurer, Daniel A. Traxler, improperly accepted a cash contribution, made a cash expenditure, failed to properly disclose various campaign contributions and expenditures, failed to maintain adequate records, and failed to deposit contributions in the committee bank account. Adel Zeidan made an illegal cash contribution to candidate Baugh and failed to timely file a major donor campaign statement.

BAZILE, LEO, SI-88/295 (1990)

84200 and 84200.5 - Five (5) counts
87203 and 87300 - One (1) count
\$8,000 fine

Leo Bazile, Oakland City Councilmember, committed campaign reporting and financial disclosure violations involving the failure to timely file six disclosure statements required in 1987 and 1988 and the failure to file a 1987 Statement of Economic Interests timely for his position on the Oakland City Council and the Association of Bay Area Governments. Mr. Bazile had consistently failed to file campaign statements in a timely manner, despite repeated notification of his filing obligations.

BAZILE, LEO, LEO BAZILE FOR MAYOR; FRIENDS TO RE-ELECT LEO BAZILE CITY COUNCIL DIST. #7; JOHN HARRISON, TREASURER; AND VIRTUAL MURRELL, CAMPAIGN CONSULTANT, SI-90/813 (1995)

84211(f) - Sixteen (16) counts
 84211 and 84216 - One (1) count
 84211(f) and 81004 - Six (6) counts
 84211(j) - One (1) count
 \$36,000 fine

Leo Bazile, Oakland City Councilman from 1983 to 1991 and unsuccessful candidate for Mayor of Oakland in 1990 and city council in 1991, omitted and failed to report itemized information about campaign contributions, loans, loan repayments, and expenditures during 1989-1991.

BE, VIET, SI-94/594 (1997)

87100 - Four (4) counts
 \$8,000 fine

The Commission adopted an administrative law judge's proposed decision in the matter of Viet Be, Director of Admissions and Records; Director of Computer Services; and Vice-President of Student Services at Cerritos College in Norwalk, who violated the conflict of interest provisions in 1993 and 1994 when he failed to disqualify himself in four governmental decisions in which he knew he had a financial interest.

BECK, BOB, SI-88/452 (1989)

87100 - One (1) count
 \$2,000 fine

Calistoga City Councilman Bob Beck committed a conflict of interest violation when he voted in May and June of 1988 on a subdivision proposed by developer Pat Brogan,

who had provided a \$15,000 loan to the official during the 12 months prior to the decision.

BECKER, NEWTON, MD-87/389 (1989)

84200(b) - One (1) count
 \$1,000 fine

Newton Becker failed to file a major donor campaign statement for contributions totaling \$15,350 in 1986. His campaign statements due in July 1986 were not filed until November 1988.

BELL CAB, INC., SI-92/278 (1995)

84300(c) and 84301 - Thirty-two (32) counts
 \$45,000 fine

Bell Cab, who operates a taxicab cooperative within the City of Los Angeles, laundered 32 political contributions made in the names of its employees to Los Angeles City Council members and candidates for City Council.

BELL GARDENS, CITY OF, SI-96/187 (1997)

89001 - One (1) count
 \$2,000 fine

The City of Bell Gardens violated the Act when they sent a letter at public expense which contained the signatures of four elected officials.

BELL GARDENS BICYCLE CLUB, THE; GEORGE HARDIE, TAMMY GRANT, CHARLES GERALD WESTLUND, JR., PARK PLACE ASSOCIATES, THE HARDIE FINANCIAL GROUP, INC./ HARDIE GROUP, INC./ THE HARDIE GROUP, INC., DAVID GOULD, CALIFORNIANS FOR FAIR BUSINESS PRACTICES, CALIFORNIA SPORTS, ENTERTAINMENT AND GAMING ASSOCIATION, ALLIANCE FOR SAFE COMMUNITIES, RESIDENTS TO PROTECT OUR NEIGHBORHOODS, CONCERNED TAXPAYERS OF CALIFORNIA, CALIFORNIANS AGAINST GAMING EXPANSION, SAVE OUR NEIGHBORHOODS, AND PUBLIC INFORMATION SERVICE, SI-95/464 (1999 CIVIL SUIT)

84106
 84211
 84305

\$142,000 Fine

The Bell Gardens Bicycle Club, a joint venture with its principal place of business in Bell Gardens; David Gould, treasurer for Californians for Fair Business Practices, California Sports, Entertainment and Gaming Association, Alliance for Safe Communities, Residents to Protect Our Neighborhoods, Concerned Taxpayers of California, Californians Against Gaming Expansion, Save Our Neighborhoods, and Public Information Service, committees sponsored by the casino and formed to campaign against voter approval for card casinos during the 1993 and 1995 elections in the cities of Cypress, Pico Rivera, Compton, Stanton, West Hollywood, Bellflower, Lynwood, South El Monte, Pomona, Irwindale, Ontario, South San Francisco, Hawaiian Gardens, Azusa, Colton, and Stockton, failed to disclose the sponsor of the committees, and failed to disclose expenditures on major donor statements.

BELLI, LIA, CAESAR BELLI AND LIA BELLI FOR STATE SENATE COMMITTEE, SI-84/298 (1988-CIVIL SUIT SETTLEMENT)

84301

84206

84211

84104

84300

\$75,000 fine

Lia Belli was an unsuccessful candidate for State Senate in the 1984 election. During the campaign, Ms. Belli made contributions of \$85,000 to her committee through two other individuals and failed to report herself as the source.

In addition, Ms. Belli and the committee failed to report late contributions received totaling \$231,900, failed to itemize contributions received, failed to itemize expenditures made and made a prohibited cash expenditure. Lia Belli and Caesar Belli failed to maintain adequate records.

BELTRAM, DEBBIE, SI-87/579 (1988)

87300 - Two (2) counts

\$600 fine

Debbie Beltram, a state assembly aide, failed to file two required financial disclosure statements for 1986 until 1988. The assembly aide failed to file the assuming office and annual financial disclosure statements as required by the conflict of interest code of the California State

Assembly despite repeated notifications by the Commission.

BELTRAN, ABE E., SI-94/227 (1999)

87100 - Eight (8) counts

\$16,000 fine

Abe E. Beltran, former member of the Colton City Council and of the Redevelopment Agency for the City of Colton, violated the conflict of interest provisions when he made numerous decisions in 1993 and 1994 on two projects involving a local developer, who was a source of income to him.

BENITEZ, ROGER, COMMITTEE TO ELECT ROGER BENITEZ, AND BILLY CARVER, SI-96/282 (1997)

84203 - One (1) count

84211 - Two (2) counts

\$3,500 fine

Roger Benitez, an unsuccessful judicial candidate for Imperial County Superior Court in 1994, The Committee to Elect Roger Benitez, his controlled committee, and its treasurer, Billy Carver, a certified public accountant, failed to file late contribution reports, failed to disclose subvendor payments, and failed to report accrued expenditures on campaign statements.

BENVENUTI, DANIEL, JR., SI-89/142 (1989)

84301 and 84300 (c) - Four (4) counts

\$8,000 fine

Daniel Benvenuti, Sacramento builder, laundered four \$1,000 contributions to the David Knowles for Assembly Committee when he reimbursed four employees who had written contribution checks to the candidate. Mr. Knowles was not aware of the true source of the contributions until notified by Benvenuti in a letter.

BERDING & WEIL, LLP, SI-2000/500 (2000)

84203 - One (1) count

\$1,500 fine

Berding & Weil, LLP located in Alamo failed to file a late contribution report during the March 7, 2000, primary election.

BERMEJO, ELMY, SI-99/548 (2000)

87300 – Two (2) counts
\$600 fine

Elmy Bermejo, a field representative for Senator John Burton, failed to timely file both a 1997 and a 1998 annual Statement of Economic Interests.

BERRY, JOSEPH C., SI-91/18 (1996)

87100 - Five (5) counts
\$10,000 fine

Joseph C. Berry, a Live Oak City Councilmember, violated the conflict of interest provisions in 1990 and 1991 when he participated in five governmental decisions in which he knew he had a financial interest.

BGP AIRPORT ASSOCIATES, SI-96/472 (1999)

84308 - Three (3) counts
\$6,000 fine

BGP Airport Associates, a joint venture in Pasadena formed to seek a multi-million dollar contract with the Burbank-Glendale-Pasadena Airport Authority (Authority), made campaign contributions of more than \$250 to an officer of the Authority while a proceeding was pending before the Authority and failed to disclose the contributions on the record of the proceedings between 1994 and 1997.

BIANCHI, PETER, SI-98/121 (1999)

87300 and 87302 - One (1) count
\$1,000 fine

Peter Bianchi, a Lassen County Deputy Public Defender, failed to timely file an initial Statement of Economic Interests in 1997.

BLACKHAWK CORPORATION, MD-87/354 (1988)

84200(b) - Two (2) counts
84203(b) - Three (3) counts
84211 - Two (2) counts
\$5,500 fine

Blackhawk Corporation of Danville failed to file two major donor campaign statements and three late contribution reports. The company made 24 contributions totaling \$86,000 in 1986.

BLACKHAWK CORPORATION, DBA BLACKHAWK DEVELOPMENT COMPANY, SI-97/633 (1998)

84301 and 84300 - Thirteen (13) counts
\$26,000 fine

Blackhawk Corporation, dba Blackhawk Development Company, a real property developer located in Danville and doing business in California, laundered thirteen campaign contributions made between 1992 and 1996 to Contra Costa County Supervisor Gayle Bishop's campaign committee.

BLOSSOM VALLEY LANDSCAPING, INC., SI-95/567 (1996)

84300 and 84301 - Four (4) counts
\$8,000 fine

Blossom Valley Landscaping, Inc., a contractor located in San Jose and engaged in landscaping and irrigation, laundered four campaign contributions to San Jose City Council candidate Pat Dando in 1995.

BONE, WILLIAM, MD-87/290 (1989)

84200(b) - Three (3) counts
\$3,000 fine

William Bone failed to properly file three campaign statements disclosing contributions made through seven affiliated business entities that totaled \$50,750 in 1985 and \$53,170 in 1986.

BORCALLI, AMELIA, SI-93/321 (1995)

87100 - Two (2) counts
\$2,500 fine

Amelia Borcalli, a member of the Weed City Council, failed to disqualify herself from governmental decisions during 1993, which had a financial effect on a source of income to her business.

BORDONARO, JR., TOM, BORDONARO FOR STATE ASSEMBLY, AND DAVID L. REDMAN, SI-96/76 (1997)

84211 and 84216 - One (1) count
\$1,250 fine

Tom Bordonaro, Jr., candidate for California State Assembly in 1994, and his committee, Bordonaro for State Assembly, and its treasurer, David L. Redman, failed to properly disclose the source of two loans received. This finding was uncovered during a Franchise Tax Board audit of the committee.

BOSSEN, DAVID A., SI-98/294 (1998)

84203 - One (1) count
\$1,250 fine

David A. Bossen of Palo Alto failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

BOURSEAU, ROBERT I., SI-95/496 (1998)

84300 and 84302 - Three (3) counts
\$6,000 fine

Robert I. Bourseau, a businessman in Rosemead, failed to disclose accurate and complete information about the true source of contributions when he arranged the purchase of three cashiers checks with funds from his company's account to make contributions to two candidates for San Fernando City Council in 1994 and failed to identify the contributor on the checks.

BRADLEY, TOM, SI-88/417 (1990)

84308 (c) - One (1) count
\$2,000 fine

Los Angeles Mayor Tom Bradley, while serving as an appointed member of the Los Angeles Transportation Commission, failed to disclose campaign contributions of \$260 or more received during the preceding 12 months from a party seeking a non-competitive bidding contract and to disqualify himself from participation in the decision affecting the campaign contributor, Gruen Associates.

BRANN, RICHARD, SI-88/109 (1990)

84203 - One (1) count
84303 and 84211 (j) - One (1) count
\$3,000 fine

Richard Brann, former Solano County Supervisor and unsuccessful candidate for state senate in 1986, committed campaign reporting violations, which were discovered during a mandatory state audit of the Brann for Senate Committee. The violations involved the failure of the candidate to report late contributions totaling \$36,075 and the failure to properly disclose subvenders who received payments of \$100 or more from the committee.

BRAUN, KARL, SI-86/364 (1988)

87100 - One (1) count
87206 and 87207 - One (1) count
\$2,200 fine

Karl Braun, Lompoc City Councilman, violated conflict of interest provisions and failed to disclose reportable interests on his Statement of Economic Interests. Mr. Braun had financial interests in commercial property in downtown Lompoc, but participated in discussions and voted to allocate funds to improve the parking situation and make the downtown area more attractive to developers and investors. He also failed to disclose his interest in commercial property.

BRAYTON, GISVOLD & HARLEY, SI-97/651 (1998)

84300 and 84301 - Five (5) counts
\$9,000 fine

Brayton, Gisvold & Harley, a law firm located in Novato, laundered five campaign contributions to the San Francisco mayoral campaign of Willie Brown in 1995.

BRAZIL, LIONEL, AND BRAZIL DAIRY, SI-90/341 (1995)

84300 (c) and 84302 - Nine (9) counts
\$13,000 fine

Lionel Brazil, a developer in Merced County in 1990 and his business, Brazil Dairy, acted as intermediaries for nine campaign contributions made to the campaign of an unsuccessful candidate in the Santa Clara County Board of Supervisors election in 1990.

BROOKS, JOHN, SI-96/456 (1999)

87406 - One (1) count
\$2,000 fine

John Brooks, Waste Management Specialist and Associate Waste Management Specialist in the Governmental and Regulatory Affairs Division, California Integrated Waste Management Board, from 1990 to 1996, made written communications to his former state agency on behalf of his new employer for the purpose of influencing an action involving the awarding of a grant within one year after leaving state service.

BROOKS, MARIE K., SI-96/250 (1997)

84300 and 84301 - Eight (8) counts
\$14,400 fine

Marie K. Brooks, principal owner of Ellis Brooks Chevrolet, a car dealership located in San Francisco, laundered contributions made to the campaign of incumbent San Francisco Mayor Frank Jordan in 1995.

BROWN, HARVEY, SI-84/349 (1986)

87100 and 87103 - One (1) count
87200 and 87206 - Two (2) counts
87207 - One (1) count
\$4,000 fine

The Commission accepted an administrative law judge's recommended findings that Dr. Harvey Brown, a former Rancho Palos Verdes Planning Commissioner, violated the conflict of interest and personal financial disclosure provisions in September 1983, when he voted to approve a coastal permit which allowed a local construction firm to build a 25-unit residential project.

The construction firm, Burrell, Ltd., had agreed in August 1983 to loan Brown over \$100,000 to purchase a lot on which Burrell, Ltd. was to design and build a home for him.

Brown should have disqualified himself from participating in the permit decision and should have disclosed Burrell, Ltd. as a source of income on his 1983 annual Statement of Economic Interests.

BROWN, KATHLEEN, FRIENDS OF KATHLEEN BROWN, AND MARY ELLEN PADILLA, TREASURER, SI-97/84 (1999)

84211 - Nine (9) counts
84203 - Eight (8) counts
\$24,000 fine

Kathleen Brown, candidate for Governor in 1994, her controlled committee, Friends of Kathleen Brown, and Mary Ellen Padilla, its treasurer, failed to itemize campaign contributions and failed to file late contribution reports for contributions totaling over \$1.2 million received between 1993 and 1994.

BRUNNER, JANE, AND THE FRIENDS OF JANE BRUNNER COMMITTEE, SI-96/190 (1998)

84305 - One (1) count
\$1,750 fine

Jane Brunner, successful candidate for the Oakland City Council, District 1, and her controlled committee, Friends of Jane Brunner, sent a political mass mailing in 1995 which did not have proper sender identification.

BRUNS BELMONT CONSTRUCTION, INC., SI-95/567 (1996)

84300 and 84301 - Five (5) counts
\$10,000 fine

Bruns Belmont Construction, Inc., a San Jose based company providing general contractor services, laundered five campaign contributions to San Jose City Council candidate Pat Dando in 1995.

BRYAN, CURTIS V., SI-93/275 (1996)

87100 - One (1) count
87203 - One (1) count
\$3,000 fine

Curtis V. Bryan, Ridgecrest City Councilmember, in his official capacity influenced the reconsideration of a denied application for annexation in which he had a financial interest based on income. Bryan also failed to disclose this income on his annual Statement of Economic Interests.

BRYAN, WILLIAM, BRYAN FOR SUPERVISOR COMMITTEE AND PATRICIA BRYAN, SI-83/03 (1988 - CIVIL SUIT SETTLEMENT)

87206
87207
84211
\$292,500 fine

William Bryan was a member of the Sacramento County Board of Supervisors who failed to disclose numerous gifts, loans, real property interests, and investments on his Statements of Economic Interests covering 1981 through 1983. In addition, several disclosure violations were committed regarding Mr. Bryan's campaign statements.

BTR, INC., JILL LEDERER, YES! REMOVE ELOIS ZEANAH COMMITTEE, AND LINDA TUCKER, TREASURER, SI-97/524 (2000)

84200 – One (1) count
84305 – Two (2) counts
84203 – Three (3) counts
84211 – Five (5) counts
84106 – One (1) count
\$18,000 fine

BTR, Inc., a pizza franchise business located in Thousand Oaks and its owner, Jill Lederer, failed to timely file a major donor campaign statement, failed to file late contribution reports, and failed to include proper sender identification on two mass mailings sent in 1996, all in connection with their support of a local ballot measure. In addition, BTR, Inc., Jill Lederer, the Yes Remove Elois Zeanah Committee, and its treasurer, Linda Tucker, failed to timely disclose contributions, failed to file late contribution reports, and failed to disclose the sponsored committee status in connection with their support of a recall in 1997.

BUCHANAN, ANGELA, "BAY" AND BUCHANAN FOR TREASURER 1990, SI-92/52 (1994)

84211 - Three (3) counts
84303 - Three (3) counts
\$9,000 fine

Angela "Bay" Buchanan, candidate for State Treasurer in 1990, and her controlled committee Buchanan for Treasurer 1990, failed to itemize the source of \$41,100 in contributions for two reporting periods. Buchanan also

failed to report, for a significant number of contributors, the occupation and employer or name of business of contributors for one reporting period and failed to disclose significant subvendor information totaling \$222,998 on three campaign statements for the reporting periods from January 1, 1990, to June 30, 1990.

BUCK, DAVID, SI-80/20 (1980)

87300 - Four (4) counts
\$2,000 fine

David Buck, former executive secretary of the State Board of Funeral Directors and Embalmers, committed violations occurring in a special senatorial election held in Santa Clara County. Buck failed to report on his Statement of Economic Interests a \$30,000 loan received from Melvin Hilgenfeld, president and manager of the Hilgenfeld Mortuary, on December 27, 1978, and a \$65,000 loan received from the Green Acres Memorial Park Cemetery Endowment Care Fund on May 8, 1979. Buck also failed to report an investment in the BruneTalmage Company acquired on December 29, 1978.

BUFORD, ALESCIA, SE-89/396 (1990)

87300 - One (1) count
\$1,500 fine

Alescia Buford, an Assembly consultant, failed to provide timely disclosure of her financial interests in 1989. Ms. Buford did not file her Statement of Economic Interests until after being notified repeatedly by the Commission. The statement disclosed income of more than \$10,000 from her public relations firm and an investment in real property in Sherman Oaks. A year earlier, Ms. Buford filed her statement 63 days late, paying a \$100 late filing fine in August 1988.

BURBANK-GLENDALE-PASADENA AIRPORT AUTHORITY, SI-97/202 (1999)

84200 - One (1) count
84204 - One (1) count
\$3,000 fine

Burbank-Glendale-Pasadena Airport Authority, a public entity which was formed under a Joint Powers Agreement, qualified as an independent expenditure

committee by sending mailers to voters urging a “No” vote in a local ballot measure election, but failed to timely file a campaign statement and a late independent expenditure report in 1997.

BURKE, YVONNE BRATHWAITE, COMMITTEE TO ELECT YVONNE BRATHWAITE BURKE SUPERVISOR L.A. COUNTY, SI-95/182 (1996)

84203 - Two (2) counts

84211 - Three (3) counts

\$9,000 fine

Yvonne Brathwaite Burke, a Los Angeles County Supervisor, and her controlled committee, failed to timely report in-kind contributions received from Nestle USA, Inc., during the November 1992 election.

BUSH, LARRY E., SI-87/59 (1987)

87300 - Two (2) counts

\$1,900 fine

Larry Bush, an administrative aide to Assemblyman Art Agnos of San Francisco, failed to timely file required annual financial disclosure statements in 1985 and 1986 despite prior notification of his obligations by the Commission.

BYRNE, E. BLAKE, SI-2000/458 (2000)

84203 – One (1) count

\$1,500 fine

E. Blake Byrne of Los Angeles failed to file a late contribution report during the March 7, 2000, primary election.

CALDERON, CHARLES, CALDERON CAMPAIGN COMMITTEE, CHARLES CALDERON FOR SENATE 90/SPECIAL, CALDERON FOR SENATE 90, CHARLES CALDERON FOR SUPERVISOR, SI-91/159 (1995)

84303 - Nine (9) counts

89513 - Three (3) counts

\$15,000 fine

Charles Calderon, as an Assembly member, 59th Assembly District or a Senate member, 26th Senate District, and his controlled committees failed to disclose subvendors for

expenditures totaling \$32,407.44. In addition, Charles Calderon and his controlled committees improperly used campaign funds for modeling photographs, a costumed entertainer and a tennis outfit that were not related to campaign purposes.

CALEGARI, GREGOIRE, FC-84/300 (1987)

84211 and 84300 - Numerous counts

\$1,500 fine

Senator Quentin Kopp and his controlled campaign committee, Friends of Quentin Kopp, and Gregoire Calejari, the volunteer treasurer, failed to disclose certain contributor, vendor and expenditure information on nine campaign statements filed between 1982 and 1984 in connection with Kopp’s campaign for county supervisor.

The Friends of Quentin Kopp committee also received unlawful cash contributions of \$100 or more during 1982.

CALIFORNIA ASSOCIATION OF DRINKING DRIVER TREATMENT PROGRAMS AND MICHAEL WOOD, SI-99/814 (2000)

84200.5 and 84200.7 - Two (2) counts

\$2,500 fine

California Association of Drinking Driver Treatment Programs, a general purpose committee, and its treasurer, Michael Wood, failed to timely file first and second pre-election statements in connection with the 1996 general election.

CALIFORNIA ASSOCIATION OF SHEET METAL AND AIR CONDITIONING CONTRACTORS PAC, AND CYNDI MARSHALL, TREASURER, SI-99/88 (2000)

84300 – Three (3) counts

\$5,400 fine

California Association of Sheet Metal and Air Conditioning Contractors PAC, a general purpose committee organized in Sacramento, and Cyndi Marshall, its treasurer, illegally received cash contributions of one hundred dollars or more in 1995 and 1996 totaling \$6,050.

**CALIFORNIA CEMENT PROMOTION COUNCIL,
SI-2000/396 (2000)**

84203 – One (1) count
\$1,500 fine

California Cement Promotion Council of Danville failed to file a late contribution report during the March 7, 2000, primary election.

**CALIFORNIA COALITION FOR IMMIGRATION
REFORM, AND BARBARA ANNE COE,
TREASURER, SI-94/651 (1998)**

84200.8 - One (1) count
84203.5 - One (1) count
84204 - One (1) count
\$3,250 fine

California Coalition for Immigration Reform, Huntington Beach, and Barbara Anne Coe, its treasurer, failed to timely file an independent and a late independent expenditure report disclosing expenditures made in support of Proposition 187 during 1994. In addition, the Coalition and Ms. Coe failed to file a semi-annual campaign statement.

**CALIFORNIA COMMERCE CLUB, SI-95/506
(1997 - CIVIL SUIT SETTLEMENT)**

84200, 84211, 84203.3
\$24,000 fine

California Commerce Club (CCC), a California corporation with its principal place of business in Commerce, failed to file major donor statements to timely disclose in-kind contributions made to Just Say No to Casinos, a committee opposing Measure B in a June 1995 Pico Rivera election.

CCC also retained and paid a consultant, who used part of the monies to produce mailers on CCC's behalf, which identified the Save Our City committee as the sender.

**CALIFORNIA COMMERCE CLUB, INC. (1999
CIVIL SUIT)**

84200
84203.5
84211
\$85,000 fine

California Commerce Club, Inc., a California corporation with its principal place of business in Commerce, failed to report independent expenditures on semi-annual and supplemental independent expenditure campaign statements filed in 1995 and failed to disclose sponsorship of a committee.

**CALIFORNIA COOPERATIVE CREAMERY
STATE PAC, SI-99/818 (2000)**

84200.5 - Two (2) counts
\$3,000 fine

The California Cooperative Creamery State PAC in Petaluma failed to file two preelection campaign statements in 1996.

**CALIFORNIA CREDIT UNION LEAGUE AND
RICHARD MERSEREAU, SI-95/48 (1995)**

86113 and 86117 - Nine (9) counts
\$13,000 fine

Richard Mersereau, a lobbyist, and the California Credit Union League, his employer, failed to timely file nine quarterly lobbyist and lobbyist employer reports from 1992 and 1994.

**CALIFORNIA DEMOCRATIC VOTER
CHECKLIST AND CLINTON REILLY, SI-92/687
(1996)**

84220 and 84203 - Forty (40) counts
84218 - One (1) count
\$42,000 fine

The California Democratic Voter Checklist, a slate mailer organization located in San Francisco, which primarily endorses Democratic candidates and ballot measures in local, state, and federal elections, and Clinton Reilly, treasurer, failed to timely file a campaign statement and late payment reports in 1992 and 1994.

**CALIFORNIA HEALTHCARE COMMITTEE ON
ISSUES, SPONSORED BY CALIFORNIA
HEALTHCARE ASSOCIATION, AND DOUG
HITCHCOCK, SI-99/85 (2000)**

84203 - One (1) count
\$2,000 fine

California Healthcare Committee on Issues, Sponsored by California Healthcare Association, a state general purpose committee domiciled in Sacramento, and its treasurer, Doug Hitchcock, failed to file a late contribution report in 1996.

CALIFORNIA INDEPENDENT PUBLIC EMPLOYEES LEGISLATIVE COUNCIL PAC, SI-99/817 (2000)

84200.5 - Two (2) counts
\$2,500 fine

California Independent Public Employees Legislative Council PAC, of Sacramento, failed to file preelection campaign statements in 1996.

CALIFORNIA INSURANCE GROUP, SI-99/506 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,000 fine

California Insurance Group, located in Monterey, failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to Charles W. Quackenbush Re-election Committee in 1998.

CALIFORNIA JUDGES ASSOCIATION-COMMITTEE FOR PUBLIC RESPONSIBILITY, SI-89/186 (1990)

84203 - One (1) count
84305 - One (1) count
\$4,000 fine

The California Judges Association - Committee for Public Responsibility, committed two campaign reporting violations in 1986. The violations involved the failure of the political committee to provide proper sender identification on a mass mailing and to report \$8,500 in late contributions received during the 1986 general election. The case resulted from a mandatory state audit of campaign statements filed by the committee primarily formed to oppose Proposition 61, a government salary limitation measure defeated in the fall election.

CALIFORNIA LEAGUE OF CONSERVATION VOTERS AND JAMES COMPTON, TREASURER, SI-90/9 (1991)

84204 - One (1) count
84211 (f) - One (1) count
\$4,000 fine

California League of Conservation Voters and James Compton, its treasurer, failed to disclose a \$50,000 contribution received and five late independent expenditures made, each amounting to \$1,927.60, in connection with mailing a statewide "Voters Guide" in 1986.

CALIFORNIA MARKET AND RICHARD RHEE, SI-95/61 (1996)

84200 - One (1) count
\$2,000 fine

California Market, a market based in Los Angeles, Garden Grove, and Gardena, and its Executive President Richard Rhee, failed to file a major donor committee campaign statement for a \$50,000 contribution made to the Ira Reiner for District Attorney Committee in 1992.

CALIFORNIA PHYSICIANS FOR A LOGICAL AIDS RESPONSE AND BRETT BARBRE, TREASURER, SI-88/454 (1991)

84101 (a) - One (1) count
84200 - Four (4) counts
84200.5 (b) - Two (2) counts
\$10,000 fine

The Commission accepted an administrative law judge's recommended findings that the California Physicians for a Logical AIDS Response (CPLAR) and Brett Barbre, treasurer, failed to file campaign disclosure statements in connection with its support of Proposition 102 (the AIDS reporting initiative) on the November 1988 statewide ballot. When the statements were filed a year later in November 1989, it was disclosed that CPLAR had raised over \$160,000 in campaign contributions.

CALIFORNIA PODIATRY POLITICAL ACTION COMMITTEE, SI-91/207 (1992)

84200(a) - Four (4) counts
84200.5(d) and 84200.7 - Four (4) counts

84202.7 - One (1) count
\$9,000 fine

The California Podiatry Political Action Committee failed to file campaign statements in 1988, 1989, and 1990, disclosing receipts and expenditures made to numerous candidates. After discovering the reports had not been filed, the PAC's sponsoring organization, California Podiatric Medical Association, hired an accounting firm to audit its records, and the statements were filed in December 1990. The Secretary of State fined the PAC \$7,000 for the late filings.

CALIFORNIA PRO LIFE COUNCIL, INC., SI-97/582 (1998)

84211 - One (1) count
84200 - One (1) count
\$3,000 fine

California Pro Life Council, Inc., a general purpose committee audited by the Franchise Tax Board for the years 1993 and 1994, failed to list contributor occupation and employer information and failed to timely file a semi-annual campaign statement.

CALIFORNIA REFUSE REMOVAL COUNCIL NORTH POLITICAL ACTION COMMITTEE, SI-99/816 (2000)

84200.7 and 84200.8 - Three (3) counts
84202.7 - One (1) count
\$5,750 fine

California Refuse Removal Council North Political Action Committee, a state general purpose committee, failed to timely file three pre-election campaign statements and one odd-year campaign report in 1995 and 1996.

CALIFORNIA REPUBLICAN ASSEMBLY COMMITTEE AGAINST TAX INCREASES AND JAMES HARNBERGER, SI-96/305 (2000)

84200 - Five (5) counts
84202.3 - One (1) count
84200.5 and 84200.7 - Two (2) counts
\$13,000 fine

The Commission issued a Default Decision and Order against the California Republican Assembly Committee

Against Tax Increases, a ballot measure committee primarily formed to qualify a tax-cut initiative for the November 1996 election in the City of El Cajon, and its treasurer, James Harnsberger, who failed to timely file campaign statements in 1996.

CALIFORNIA REPUBLICAN ASSOCIATION, MEMBER ACTION COMMITTEE, SI-2000/443 (2000)

84203 - Two (2) counts
\$2,527.11 fine

California Republican Association, Member Action Committee, located in Huntington Beach failed to file late contribution reports during the March 7, 2000, primary election.

CALIFORNIA REPUBLICAN PARTY, SI-96/374 (1998)

84203.5 - One (1) count
84204 - One (1) count
\$3,000 fine

The California Republican Party failed to file a late independent expenditure report within 24 hours of the time the independent expenditure was made and failed to file a supplemental independent expenditure report for a mass mailing sent in 1996, which was directed to Republican voters in the 40th Assembly District, including the cities of Fresno and Bakersfield, opposing Assemblyman Brian Setencich for Assembly.

CALIFORNIA REPUBLICAN PARTY, PAUL D. HANCOCK, TREASURER, AND JOE WEBER; JOHN DOOLITTLE, FRIENDS OF JOHN DOOLITTLE, AND DAVID LOPEZ; ROBERT "TIM" LESLIE, TIM LESLIE FOR SENATE/1991, AND JOHN B. ALLARD II, SI-93/250 (1994)

83116.5 - One (1) count
84211 - Three (3) counts
84300(c) and 84301 - One (1) count
\$8,000 fine

In 1991, Friends of John Doolittle (Friends) issued a check for \$1,750 to the California Republican Party (CRP), which was actually intended for Tim Leslie for Senate/1991. After receiving this check, the CRP then

issued a check for \$1,750 to the Leslie campaign. The Tim Leslie for Senate Committee failed to properly report that Friends was the true source of this \$1,750 campaign contribution. The committee reported that the contribution came from the CRP, which was only an intermediary, not the actual source of the funds. Both friends and the CRP also incorrectly reported this transaction on their campaign statements.

**CALIFORNIA REPUBLICAN PARTY/VICTORY
1990 CAL PLAN COMMITTEE, AND PAUL
HANCOCK, TREASURER, SI-93/331 (1994)**

84202.5 - One (1) count
84203 - Ten (10) counts
84211 - Three (3) counts
\$25,500 fine

The California Republican Party/Victory 1990 Cal Plan Committee, and Paul Hancock, Treasurer, who actively supported various Republican candidates for legislative office in 1989 and 1990 failed to timely report late non-monetary contributions totaling \$555,144 made to seven legislative candidates and failed to report non-monetary contributions to two legislative candidates totaling \$43,024 on pre-election campaign statements. The committee also failed to file a pre-election campaign statement reporting non-monetary contributions totaling \$18,411 to one legislative candidate.

**CALIFORNIA REPUBLICAN PARTY/VICTORY
1990 STATE OPERATING ACCOUNT, SI-94/77
(1996)**

84202.5 - Two (2) counts
84203 - Twenty-Three (23) counts
84211 - One (1) count
84305 - Five (5) counts
\$56,000 fine

California Republican Party/Victory 1990 State Operating Account failed to timely file two pre-election campaign statements in connection with 1991 special elections and 23 late contribution reports for late non-monetary contributions totaling \$270,977 made to four legislative candidates. The committee also failed to properly report the guarantor of a \$100,000 loan made to the committee in 1991 and to include proper sender identification on five mass mailings which were sent in 1992.

**CALIFORNIA SOCIETY OF PLASTIC SURGEONS
PAC, SI-92/374 (1995)**

84200(a) - Six (6) counts
84200.5(d) and 84200.7 - Two (2) counts
84203 - Three (3) counts
\$9,500 fine

The California Society of Plastic Surgeons Political Action Committee failed to timely file various campaign reports to disclose contributions received and expenditures made, mostly to members of the California State Legislature or candidates for state constitutional office from 1987 to 1991. These statements included six semi-annual statements, two pre-election statements, and three late contribution reports.

**CALIFORNIA THOROUGHBRED BREEDERS
ASSOCIATION POLITICAL ACTION
COMMITTEE, AND RODNEY F. PITTS AND
NATHANIEL B. WESS, TREASURERS, SI-92/734
(1994)**

84211 - Three (3) counts
84200.5 - Three (3) counts
\$9,000 fine

California Thoroughbred Breeders Association Political Action Committee and Rodney F. Pitts, treasurer, failed to itemize contributions amounting to \$43,492 and expenditures amounting to \$31,536 during the campaign reporting period from July 1, 1989, through December 31, 1989. The committee and its subsequent treasurer, respondent Nathaniel B. Wess, failed to timely file three pre-election statements for reporting periods in 1990. The committee also failed to itemize contributions of \$27,600 on the semi-annual campaign statement that was due on June 30, 1990.

**CALIFORNIA VICTORY FUND SPONSORED BY
THE NATIONAL REPUBLICAN SENATORIAL
COMMITTEE AND MARY M. DOTTER,
TREASURER, SI-98/289 (1998)**

84102, 84106, 84211 - One (1) count
84203 - Two (2) counts
\$5,000 fine

The California Victory Fund, a committee established by the National Republican Senatorial Committee located in Washington, D.C., and Mary M. Dotter, its treasurer, failed

to identify its sponsor and to include the name of its sponsor in the name of the committee on its statement of organization and on its semi-annual campaign statement.

In addition, it failed to file late contribution reports for a contribution made and received in 1998.

CALIFORNIA WASTE REMOVAL SYSTEMS, INC., ALSO KNOWN AS CALIFORNIA WASTE RECOVERY SYSTEMS, INC., SI-97/252 (1998)

84301 - Ninety (90) counts
\$150,000 fine

California Waste Removal Systems, Inc., also known as California Waste Recovery Systems, Inc., a family owned and operated refuse and recycling business, laundered ninety campaign contributions made to the campaigns of several local candidates in San Joaquin and Sacramento Counties in 1994 and 1996.

CALIFORNIA YOUNG REPUBLICANS PAC, E. DANIEL DELLICOMPAGNI, TREASURER, SI-87/228 (1990)

84200 - Five (5) counts
94200.5 (d) - Three (3) counts
\$16,000 fine

The California Young Republicans PAC and its treasurer, E. Daniel Dellicompagni, failed to file six semi-annual campaign statements and two pre-election campaign statements by the deadlines in 1986, 1987, 1988, and 1989. The committee only disclosed its pre-election campaign activities in a semi-annual statement filed June 4, 1987. The required campaign disclosures failed to be provided despite notices from the Secretary of State and the Commission.

Information made available by the treasurer during the investigation showed expenditures by the committee of nearly \$145,750, including a payment of \$67,881 to a company for the telephone solicitation of contributions for the group and \$18,360 to candidates.

CALIFORNIANS AGAINST CORRUPTION, CARL RUSSELL HOWARD, TREASURER, AND STEPHEN J. CICERO, TREASURER, SI-93/336 (1995)

84200 and 83116.5 - Two (2) counts
84203 and 83116.5 - One (1) count

84211(a) and 83116.5 - One (1) count
84211(b) and 83116.5 - One (1) count
84211(f) and 83116.5 - Three Hundred Fifty-Two (352) counts
84211(j) and 83116.5 - Thirty-Nine (39) counts
84105 and 93116.5 - One (1) count
84104 and 83116.5 - Seven (7) counts
\$808,000 fine

The Commission issued a Default Decision and Order against the Californians Against Corruption, Carl Russell Howard, Treasurer, and Stephen J. Cicero, Treasurer, who substantially failed to comply with the disclosure and/or recordkeeping provisions and failed to file or timely file required campaign reports.

CALIFORNIANS AGAINST UNFAIR TAX INCREASES/NO ON PROPOSITION 99, AND PHILIP MORRIS, U.S.A., SI-89/508 (1992 - CIVIL SUIT SETTLEMENT)

84203
\$125,000 fine

Prior to the November 1988 election, Philip Morris and the Californians Against Unfair Tax Increases (CAUTI) filed late contribution reports to disclose approximately \$700,000 of non-monetary contributions which Philip Morris was planning to make in opposition to Proposition 99, which would increase the cigarette tax. However, during the late contribution period, Philip Morris actually made late contributions totaling \$2.5 million, so the reported amount was understated by \$1.8 million. Neither-CAUTI nor Philip Morris filed reports to disclose this additional amount of late contributions.

CALIFORNIANS FOR HEALTH SECURITY, HOWARD OWENS, VISHWANATH LINGAPPA, AND PAUL MILNE, SI-97/86 (1999)

84105 - Five (5) counts
84203 - One (1) count
84211 - Six (6) counts
84104 - One (1) count
\$21,000 fine

Californians for Health Security, located in Sacramento, Howard Owens, its treasurer, Vishwanath Lingappa, the state proponent, and Paul Milne, its campaign manager, failed to send notification letters to major contributors, failed to disclose occupation and employer information for

individual contributors, failed to timely disclose contributions, and failed to maintain adequate records for non-monetary contributions received during 1993 and 1994.

CALIFORNIANS FOR HIGHER EDUCATION AND CHARLES H. BELL, JR., TREASURER, SI-91/575 (1992)

84211 (f) - Two (2) counts
\$2,000 fine

Californians for Higher Education and Charles H. Bell, Jr., treasurer, failed to timely disclose non-monetary contributions on two separate pre-election campaign statements. This committee supported Proposition 111 and Proposition 121 in the June 1990 election and Proposition 143 in the November 1990 election. It failed to report \$61,985 in non-monetary contributions on campaign statements due May 24, 1990, from 18 university alumni and faculty associations. On another report due October 25, 1990, it failed to disclose \$66,525 received in non-monetary contributions from 20 university alumni and faculty associations.

CAMERON, TERRY W., AND T.C. CONSTRUCTION COMPANY, INC., SI-2000/140 (2000)

84300 and 84301 – Thirty-eight (38) counts
\$70,000 fine

Terry W. Cameron, president and owner of T.C. Construction Company, Inc., a general contracting company headquartered in the City of Santee, laundered campaign contributions to candidates for the Santee City Council in 1998.

CAMPBELL FOR CONTROLLER COMMITTEE, SENATOR WILLIAM CAMPBELL AND KENNETH RAMMELL, TREASURER, SI-88/210 (1990)

84203 - One (1) count
84303 - One (1) count
\$4,000 fine

Former state Senator William Campbell and his committee failed to file late contribution reports for \$30,767 or 26 percent of the total received during the final two weeks of

the November 1988 election. His committee and its treasurer, Kenneth Rammell, also failed to itemize each of the subvendors to whom payments totaling \$100 or more were made.

CANTRALL, PATRICIA, SI-82/10 (1983)

87300 - One (1) count
\$2,100 fine
\$2,000 waived

Patricia Cantrall, board member of the Modoc Joint Unified School District, failed to file her 1980 annual Statement of Economic Interests until 289 days after the filing deadline.

CARE ENTERPRISES, MD-90/380 (1991)

84200 (b) - One (1) count
\$1,750 fine

Care Enterprises, Inc., owners and operators of skilled nursing facilities, failed to timely file a campaign disclosure statement. During the first half of 1988, Care Enterprises made contributions totaling \$21,500 to political candidates and committees and failed to report these contributions on a major donor statement.

CARPENTER, PAUL, SI-84/296 (1985)

84305 - One (1) count
\$2,000 fine

Senator Paul Carpenter sent two mailings just before the November 1984 election that lacked sender identification. One of the mailings was an attack on his opponent Margaret Vineyard.

Several proofs of the campaign mailings that were checked by the committee contained Senator Carpenter's name and address as required. However, the committee was negligent by not examining the final proof and allowing the mailings to be sent to voters with no sender identification.

CARPENTER, PAUL, SENATOR PAUL CARPENTER COMMITTEE, FC-87/122 (1989)

84203 - One (1) count
\$2,000 fine

Former state Senator Paul Carpenter, a Democrat elected to the state Board of Equalization in November 1986, failed to properly report a late contribution of \$5,000 from his controlled committee, made less than a week before the special election in Senate District 33. The contribution was paid to a committee that was formed for the sole purpose of opposing the republican candidate in the special election held March 17, 1987. The contribution paid for a last minute mailer critical of the republican candidate.

The Senator Carpenter Committee filed a late report three days after the election, reporting the contribution made on March 20, 1987. However, records showed that the contribution should have been reported by March 15, 1987.

CARTER, DOUG, SI-88/443 (1990)

84104 - One (1) count
\$1,000 fine

Doug Carter, 1988 candidate for San Joaquin County Supervisor, failed to properly document \$9,320 in expenditures made by his controlled committee, Friends of Doug Carter. The expenditures by the committee involved more than 55 checks of \$25 or more which were made to or cashed by the candidate. The failure to maintain adequate records made it impossible to determine whether any one person received payments of \$100 or more so as to require disclosure on campaign statements.

CARTER, DOUG, FRIENDS OF CARTER COMMITTEE, SI-78/121 (1981)

84201 (currently 84200.5) - One (1) count
84214 (currently 84203) - One (1) count
84300 - One (1) count
\$1,500 fine
\$1,000 waived

Doug Carter, an unsuccessful candidate for the 26th Assembly District in 1978, failed to timely file pre-election campaign statements, failed to report late contributions totaling \$43,000, and accepted cash loans and made cash payments in excess of the then \$50 limitation.

CASILLAS, JUAN, SI-79/69 (1980)

81005.2 - (currently 87500) - One (1) count
\$250 fine

Dr. Juan F. Casillas of Los Angeles failed to file a personal financial disclosure statement for 1978 with the Department of Consumer Affairs. Casillas served as a member of the Board of Examiners in Veterinary Medicine in the department.

CEDAR VALLEY HOLDING COMPANY, SI-94/22 (1995)

84301 - Fifty-seven (57) counts
\$96,900 fine

The Cedar Valley Holding Company, with various businesses and real property in Contra Costa County, laundered contributions in the names of various individuals associated with the company to candidates for supervisor in Contra Costa County, two ballot measure campaigns, and candidates to the East Bay Municipal Utility District during 1990 through 1992.

CENTRAL CALIFORNIA DISTRICT COUNCIL OF CARPENTERS, HARVEY H. LANDRY, JR., AND J.H. LEE ACCOUNTANCY CORPORATION, SI-97/369 (1999)

84200.5 - Two (2) counts
\$2,500 fine

Central California District Council of Carpenters, a general purpose committee, and its treasurers, Harvey H. Landry, Jr., and J.H. Lee Accountancy Corporation, failed to file the first and second pre-election statements during 1994.

CENTRAL FINANCIAL ACCEPTANCE CORP., SI-2000/449 (2000)

84203 - One (1) count
\$2,000 fine

Central Financial Acceptance Corp. of Commerce failed to file a late contribution report during the March 7, 2000, primary election.

CENTURY PACIFIC EQUITY CORPORATION, SI-99/322 (2000)

84200 - One (1) count
\$400 fine

Century Pacific Equity Corporation, located in Los Angeles, failed to timely file a major donor campaign statement for contributions made to Californians for Gray Davis committee in 1998.

CERTE MANAGEMENT GROUP, MD-87/251 (1989)

84200 (b) - One (1) count
\$2,000 fine

Certe Management Group of La Jolla failed to file a major donor campaign statement. This firm and its Kentucky-based affiliated entity, Cobra Oil & Gas, made two contributions totaling \$17,500 to an unsuccessful statewide candidate. The company failed to file the required campaign statement despite repeated notices from the Commission and notification earlier by the candidate receiving the contributions.

CERVANTES, LORRAINE, AND THE COMMITTEE TO ELECT LORRAINE CERVANTES, SI-95/286 (1999)

84200 - One (1) count
84200.5 and 84200.8 - Two (2) counts
\$2,500 fine

Lorraine Cervantes, unsuccessful candidate for Compton City Council in 1995, and her controlled committee, Committee to Elect Lorraine Cervantes, failed to file two pre-election campaign statements and a semi-annual campaign statement in 1995.

CHACON, MARIA; RAMIRO MORALES, ELIZABETH CORONA, AND COMMITTEE TO RE-ELECT CHACON AND MORALES, SI-99/246 (2000)

84200.5 - Two (2) counts
84203 - Three (3) counts
\$8,500 fine

Maria Chacon and Ramiro Morales, members of the City of Bell Gardens City Council, their controlled committee,

Committee To Re-Elect Chacon and Morales, and its treasurer, Elizabeth Corona, failed to timely file pre-election campaign statements and late contribution reports in 1999.

CHACON, PETER, PETE CHACON 1990 COMMITTEE, AND JEAN CHACON, TREASURER, SI-91/424 (1993)

84211 - Six (6) counts
84104 - One (1) count
87207 - One (1) count
\$14,000 fine

Former State Assemblyman Peter Chacon, his campaign committee, and its treasurer committed campaign disclosure violations when they misreported campaign expenditures on Chacon's 1989 semi-annual statements. Chacon also failed to report personal gifts received from his campaign committee on his 1989 Statement of Economic Interests.

Chacon improperly reported the nature of credit card expenditures made in 1989. Over \$20,000 in expenditures were not travel related as reported, but instead were for purchases at department stores, mail order companies, and building supply houses. Chacon and his committee also failed to maintain proper source documentation for credit card purchases.

CHAMLIAN, VAL, SI-99/505 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,000 fine

Val Chamlian of Fresno failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to Charles W. Quackenbush Re-election Committee in 1998.

CHAMPS OPERATING GROUP, INC., AND LEO CHU, SI-95/437 (1998)

84204 - Four (4) counts
\$7,500 fine

Champs Operating Group, Inc., and Leo Chu, the designated responsible officer, made late independent expenditures for and against candidates in the City of

Pomona in 1995 and failed to file late independent expenditure reports.

CHARLES, ZORA, SI-2000/422 (2000)

84203 – One (1) count
\$1,500 fine

Zora Charles of Beverly Hills failed to file a late contribution report during the March 7, 2000, primary election.

CHEAP, JAMES, SI-95/49 (1998)

87406 - One (1) count
\$2,000 fine

James Cheap, a data processing manager with the California State Lottery Commission (CSLC) from 1986 until 1993, then a retired annuitant from January 1993 until March 31, 1994, violated the revolving door provisions when he submitted a bid to contract with the CSLC within one year of leaving his employment with this agency.

CHEN, CHI MAY, SI-93/75 (1993)

84302 - Nineteen (19) counts
\$38,000 fine

Chi May Chen acted as an agent or intermediary for another party in the making of contributions without disclosing the actual contributor to the recipient. With the money of another person, Chen reimbursed four individuals for 14 campaign contributions to various Los Angeles City Councilmembers and other candidates since 1990. Chen also made an additional five campaign contributions in her own name for which she was illegally reimbursed.

CHRISTIANI, LARRY D., SI-97/647 (1998)

84301 and 84300 - Six (6) counts
\$11,000 fine

Larry D. Christiani, a Los Gatos general building contractor and principal owner of a construction company, laundered six campaign contributions to the Committee to Elect Pete Sinclair, candidate for the Woodside City Council in 1995.

CITIZENS AGAINST MORE TRAFFIC - NO ON G COMMITTEE AND SUNSET DEVELOPMENT COMPANY, SI-89/221 (1990)

84102 - One (1) count
84305 - Four (4) counts
\$9,000 fine

Citizens Against More Traffic - No on G Committee failed to disclose on its statement of organization and on four mass mailings that it was sponsored by a developer who opposed a “slow-growth” measure in Walnut Creek. The committee raised \$31,000 and sent four mass mailings opposing the measure within the last two weeks before the June 8, 1989, election.

Sunset Development Company qualified as the committee’s sponsor by setting fundraising policies and providing all or nearly all of the administrative services for the committee.

CITIZENS FOR A BETTER FAIRFIELD, SI-99/724 (2000)

84305 – One (1) count
\$1,200 fine

Citizens for a Better Fairfield, a general purpose city committee, failed to include proper sender identification on a mass mailing sent in 1999.

CITIZENS FOR A LIVABLE LOS ANGELES SEYMOUR LAURETZ, TREASURER, ZEV YAROSLAVSKY, AND MARVIN BRAUDE, SI-88/384 (1990)

84303 - Two (2) counts
\$3,000 fine

Citizens for a Livable Los Angeles, a city ballot measure committee controlled by Los Angeles Councilmembers Zev Yaroslavsky and Marvin Braude failed to properly report expenditures of \$1.84 million, which amounted to 70% of the total expenditures during two reporting periods, made for broadcast advertising during the November 1988 local election on two competing oil drilling ballot measures. The controlled committee was primarily formed to support passage of Measure O, which opposed oil drilling off the coast of Los Angeles County. The competing Measure P was defeated.

CITIZENS FOR A STRONG CHULA VISTA AND CHRIS MILLER, TREASURER, SI-90/887 (1992)

84204 - One (1) count
\$2,000 fine

Citizens for a Strong Chula Vista and Chris Miller, its treasurer, failed to timely file a late independent expenditure report disclosing \$3,047 spent to produce a mailer supporting Leonard Moore for reelection to the Chula Vista City Council.

CITIZENS FOR CARDROOM REFERENDUM, AND TED FRAZIER, AKA THEO FRAZIER, TREASURER, SI-92/483 (1997)

89517 - Two (2) counts
89513(f) - Three (3) counts
84200 and 84200.5 - One (1) count
\$3,000 fine

The Commission issued a Default Decision and Order against Citizens for Cardroom Referendum, and Ted Frazier, its treasurer, who failed to file campaign statements in 1992 and 1995. Frazier also violated the personal use provisions by using campaign funds to pay rent on his and another person's apartment and use of personal and other persons' residential phone services, and purchased a television set for another person.

CITIZENS FOR HONEST GOVERNMENT AND CECILIA B. HERNANDEZ, SI-96/703 (1999)

84200.5 and 84200.8 - Two (2) counts
\$1,500 fine

The Commission issued a Default Decision and Order against Citizens for Honest Government, a Colton City general purpose committee, and its treasurer, Cecilia B. Hernandez, for failure to timely file the first and second pre-election statements in connection with the 1996 General Election.

CITIZENS FOR LEGAL IMMIGRATION REFORM/SAVE OUR STATE, RON PRINCE, AND BETTY PRESLEY, SI-95/281 (1997)

84105 - One (1) count
\$1,000 fine

Citizens for Legal Immigration Reform/Save Our State, a state ballot measure committee formed to support the passage of Proposition 187, its principal officer, Ron Prince, and its treasurer, Betty Presley, failed to notify a contributor of \$5,000 or more that she may be required to file major donor campaign statements in 1994.

CITIZENS FOR RESPONSIBLE LOCAL REPRESENTATION, AND SCOTT C. BEARD, TREASURER, SI-92/700 (1994)

84200 - Two (2) counts
84204 - One (1) count
\$4,000 fine

Citizens for Responsible Local Representation, a noncontrolled recipient committee formed to support various candidates for local governmental offices within San Bernardino County, and Scott C. Beard, Treasurer, failed to timely file semi-annual statements and late independent expenditure reports for \$4,315 of postage in conjunction with mailers distributed in 1992.

CITIZENS TO PROTECT OUR COMMUNITY AND HAROLD S. TRUBO, SI-96/411 (1998)

84211 - One (1) count
84203 - Three (3) counts
\$4,000 fine

Citizens to Protect Our Community, a recipient committee primarily formed to support Utility Tax Measure "O" in the city of Moreno Valley, and its treasurer, Harold S. Trubo, failed to disclose contributions on campaign statements and failed to file late contribution reports in 1996.

CITY OF BURBANK, BILL WIGGINS, BOB KRAMER, DAVE GOLONSKI, TED MCCONKEY, SUSAN SPANOS, AND STACEY MURPHY, SI-97/194 (2000)

89001 - Three (3) counts
\$6,000 fine

The Commission adopted an administrative law judge's proposed decision in the matter of City of Burbank, Bill Wiggins, Bob Kramer, Dave Golonski, Ted McConkey, Susan Spanos, and Stacey Murphy, who, acting in their official capacities as members of the Burbank City

Council, sent three mass mailings which contained their signatures to residents in Glendale and Pasadena at public expense in 1997.

CLIFT, MARY LOU, FRIENDS OF MARY LOU CLIFT, AND LAURA A. DUNLAP, TREASURER, SI-97/145, SI-97/145 (2000 CIVIL SUIT)

84300
84304
84203
84211
84104
\$9,100 fine

Mary Lou Clift, an unsuccessful candidate for Vista City Council in 1996, received and deposited an illegal, anonymous cash contribution. Ms. Clift, her committee Friends of Mary Lou Clift, and its treasurer, Laura A. Dunlap, failed to properly report the receipt of this contribution and also failed to maintain other required records.

COALITION TO ACHIEVE RESPONSIBLE ENFORCEMENT AND SARAH SKINNER, TREASURER, SI-95/196 (1997)

84203 - Three (3) counts
\$3,000 fine

The Coalition to Achieve Responsible Enforcement, a local ballot measure committee in San Francisco formed to oppose the passage of Proposition G, the Building Inspection Commission charter amendment, and its treasurer, Sarah Skinner, failed to file late contribution reports in 1994.

COBOS, ALFRED, AND COMMITTEE TO ELECT AL COBOS, SI-97/326 (1998)

84200.5 and 84200.8 - One (1) count
84200 - Two (2) counts
85201 - One (1) count
\$4,000 fine

Alfred Cobos, member of the Bassett Unified School District Board of Directors in Los Angeles County, and his Committee to Elect Al Cobos, failed to timely file a preelection campaign statement in 1993, failed to file semi-annual campaign statements in 1993 and 1994, and failed to deposit all contributions or loans made to

himself or his committee into, and failed to make campaign expenditures from, the campaign bank account.

COCA-COLA COMPANY, THE, SI-91/238 (1991)

84203 - One (1) count
\$1,750 fine

The Coca-Cola Company failed to timely file a late contribution report for a \$25,000 contribution made on October 25, 1990, to the "No on 128 - The Hayden Initiative," a committee opposing the statewide initiative commonly known as "Big Green."

COHEN MEDICAL CORPORATION, SI-2000/450 (2000)

84203 - Three (3) counts
\$4,425 fine

Cohen Medical Corporation of Long Beach failed to file a late contribution report during the March 7, 2000, primary election.

COHN, JEROLD, SI-94/209 (1996)

89503 - One (1) count
87206 and 87207 - Five (5) counts
\$7,000 fine

Jerold Cohn, a workers' compensation appeals judge with the Department of Industrial Relations, violated the conflict of interest provisions of the Act when he accepted a honorarium for a speech given in 1991 from a law firm which appeared before the Workers' Compensation Appeals Board. Cohn also failed to timely file his annual Statements of Economic Interests for 1991 and 1992, and did not disclose complete information on his statements filed for 1993-1995.

COLEMAN, LEWIS W., SI-98/319 (1998)

84203 - One (1) count
84200 - One (1) count
\$2,500 fine

Lewis W. Coleman of San Francisco failed to file a late contribution report and failed to file a semi-annual campaign statement for contributions made to the

Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

COLLIS, CONWAY, MD-90/391 (1991)

84200 (b) and 83116.5 - Two (2) counts
\$2,500 fine

Conway Collis, former member, Board of Equalization, caused Martin Massman, a major donor, to violate campaign reporting requirements. Collis promised to have his controlled committee take full responsibility for the filing of Mr. Massman's two 1989 major donor statements and subsequently failed to file the statements.

COLLIS, CONWAY, CITIZENS FOR COLLIS, ALBERT GERSTEN, JR., THE GERSTEN COMPANIES, AND THOMAS HOUSE, SI-82/24 (1984)

84200 - Three (3) counts
84211 - Fifteen (15) counts
84213 - One (1) count
\$12,000 fine - Conway Collis and Citizens for Collis
\$2,000 fine - Albert Gersten, Jr. and The Gersten Companies
\$1,000 fine - Thomas House

Board of Equalization member Conway Collis, Albert Gersten, one of Collis' major campaign contributors, and Thomas House, a campaign accountant, committed multiple campaign reporting violations during the 1982 primary election. The most serious violations involved intentional over reporting of campaign contributions to the Citizens for Collis campaign committee by \$145,000 during the primary period because Collis felt he needed to catch up with his fundraising if he was to have a shot at getting endorsements from Howard Berman and because of his desire to make a strong showing of his ability to raise funds on his own behalf by showing at least \$300,000 on his campaign statement at the end of 1981.

Collis reported cash on hand of \$280,485 on his December 11, 1981, campaign statement - \$145,000 more than the committee had in the bank as of that date. Five checks in that amount to the Collis committee were dishonored, four because payment had been stopped by the contributors and one because of insufficient funds.

Tom House, the accountant for Citizens for Collis committee, Albert Gersten, Jr., and The Gersten Companies, failed to report contributions to Collis.

Gersten failed to timely report a \$25,000 contribution made to Citizens for Collis in 1982.

COLLISHAW CONSTRUCTION, INC., SI-95/567 (1996)

84300 and 84301 - Six (6) counts
\$12,000 fine

Collishaw Construction, Inc., located in San Jose and engaged in construction and development, laundered six campaign contributions to San Jose City Council candidate Pat Dando in 1995.

COMBS, JESSE, SI-84/322 (1987)

87100 - Six (6) counts
87206 - One (1) count
87207 - Five (5) counts
\$12,000 fine

Former Lake County Supervisor Jesse Combs committed violations by voting on matters that clearly benefited business partners and developers who were sources of income. These same sources of income were not disclosed on his Statement of Economic Interests. The conflicts of interest centered on a development project known as Lakeside Heights, expansion of the Lampson Airport, and contracts with Ruzicka Engineering. In several of the conflicts, Combs provided the requisite third vote.

Combs participated in the governmental decisions despite contrary advice from the county counsel and the Fair Political Practices Commission. Combs failed to disclose on his 1983 and 1984 Statements of Economic Interests several sources of income of \$10,000 or more to his construction company and his 50% investment in Lake County property.

COMMITTEE FOR EXCELLENCE IN EDUCATION, PHILIP ARNOLD, JIM HUTCHERSON, AND TAL COWAN, SI-95/189 (1997)

84200.5 and 84200.7 - Five (5) counts
84203 - One (1) count

\$6,000 fine

Philip Arnold, Jim Hutcherson, and Tal Cowan, candidates for the Board of Trustees for the Fallbrook Union High School District, and the Committee for Excellence in Education, their controlled committee, failed to file preelection statements until after the election in 1994 and failed to file a late contribution report.

COMMITTEE FOR FAIR LAWYERS FEES, SPONSORED BY THE INSURANCE INDUSTRY, SI-88/247 (1990)

84106 and 84305 - One (1) count
\$2,000 fine

The Committee for Fair Lawyers Fees, Sponsored by the Insurance Industry, failed to provide proper sender identification on its mass mailing sent while trying to qualify what became unsuccessful Proposition 106 on the November 1988 statewide ballot.

The mass mailing was designed to look like an official government publication but nowhere on the outside envelope or on any of the enclosures of the committee mailing was the sponsorship of the committee identified.

COMMITTEE FOR LOCAL AWARENESS AND ARMEN TASHJIAN, SI-99/711 (2000)

84200.5 and 84200.7 - One (1) count
84204 - Two (2) counts
\$5,000 fine

Committee for Local Awareness, a committee formed to oppose Hawthorne City Council candidates in the November 1999 election, and its treasurer, Armen Tashjian, failed to timely file a preelection campaign statement and two late independent expenditure reports in 1999.

COMMITTEE FOR RESPONSIBLE WOODSIDE TOWN GOVERNMENT AND RALPH OSWALD, SI-95/552 (1998)

84102 - One (1) count
84200.5 and 84200.8 - One (1) count
84203 - One (1) count
84203.5 - One (1) count
84204 - One (1) count
84211 - Two (2) counts

\$14,000 fine

Committee for Responsible Woodside Town Government, formed to support the candidacies of four individuals running for Woodside Town Council, and its organizer, Ralph Oswald, failed to timely file a preelection campaign statement, supplemental independent expenditure reports, late contributions reports, and a late independent expenditure report, failed to itemize contributions received, and also failed to truthfully report information on a statement of organization in 1995.

CONROY, MICKEY, AND MICKEY CONROY FOR ASSEMBLY 1994, SI-95/537 (1998)

89513 - One (1) count
\$2,000 fine

Mickey Conroy, member of the California State Assembly, and his committee, Mickey Conroy for Assembly 1994, made an illegal expenditure of campaign funds in 1994 to reimburse a penalty imposed upon his chief of staff for sexual harassment in the legislative office.

CONSTRUCTION CONTROL SERVICES CORPORATION AND DR. RONALD ROHADFOX, SI-96/2 (1997)

84300(c) and 84301 - Ten (10) counts
\$20,000 fine

Construction Control Services Corporation, a North Carolina corporation with offices in Los Angeles, and Dr. Ronald Rohadfox, its president, laundered contributions made to Los Angeles city and county officials in 1992 through 1994.

CONTRA COSTA COMMUNITY COLLEGE DISTRICT, SI-96/520 (1998)

84211 - Three (3) counts
84211 and 84203.5 - One (1) count
84203 - Three (3) counts
84105 - One (1) count
\$16,000 fine

Contra Costa Community College District, comprising three colleges, placed Measure D, a \$145 million bond measure to finance reconstruction, repairs, and a rehabilitation program for district institutions, on the

ballot in the November 1996 election. Prior to the election, the District failed to disclose the receipt of any contributions and failed to fully disclose certain of its expenditures.

COOMBS, INC., SI-96/149 (1998 CIVIL SUIT)

84200
\$2,000 fine

Coombs, Inc., made contributions to California Gaming Control Committee sponsored by Palm Springs Gaming Corporation in 1996 and failed to file a major donor campaign statement.

CORBALEY, CAREY, SI-94/305 (1997)

87100 - Two (2) counts
\$3,600 fine

Carey Corbaley, a member of the Benecia City Council, and past Vice Mayor, violated the conflict of interest provisions in 1994 when she participated in a governmental decision and used her official position in an attempt to influence a decision in which she had a financial interest.

CORCORAN, THOMAS, SI-82/09 (1984)

87100 - Two (2) counts
87200, 87203, and 87206 - One (1) count
87300 - Five (5) counts
\$15,000 fine

A conflict of interest violation occurred in March 1982, when Thomas J. Corcoran, as mayor of Richmond, participated in and voted on a decision allowing Standard Oil to proceed with construction of a \$600 million lube oil plant in his city. It was one of the largest such projects ever undertaken by the oil company. The decision also had a material financial effect on Pacific Gas & Electric Co., which was required to provide substantial amounts of new electrical power to the lube oil plant. Corcoran held approximately \$40,000 in PG&E stock at the time and should have disqualified himself for that reason alone. Additionally, he held an investment interest in Standard Oil, his former employer, worth more than \$100,000.

The second conflict of interest violation occurred in December 1980, when Corcoran participated in a

Richmond City Council decision allowing Standard Oil to convert its 560-acre Hilltop Tank Farm property, adjacent to the Richmond Golf and Country Club, into an office building complex.

In addition to the conflict of interest votes, Corcoran failed to properly disclose all of his financial interests required by law in his role as an elected Richmond city official and as an appointed member of the Association of Bay Area Governments (ABAG) and the Bay Area Air Quality Management District (BAAQMD).

Corcoran failed to report in a timely fashion investments, which for each of three years, 1980 through 1982, averaged 30,081 shares with a value of approximately \$337,960.

CORDOVA, RAYMOND, SI-99/293 (2000)

87302 - Two (2) counts
\$4,000 fine

The Commission issued a Default Decision and Order against Raymond Cordova, field representative, California State Senate Rules Committee, who failed to timely file both a 1997 annual and a 1999 leaving office Statement of Economic Interests.

CORL, CECELIA, ALSO KNOWN AS CECELIA CORL-UBER, SE-91/258 (1994)

87200 One (1) count
\$2,000 fine

The Commission issued a Default Decision and Order against Cecelia Corl-Uber, City of Fillmore Planning Commissioner, who failed to timely file her annual Statement of Economic Interests (SEI) covering 1990, filing the SEI over 14 months late. Even after having been interviewed by an enforcement staff investigator, Corl-Uber took almost four additional months before filing the SEI.

CORNELIUS, ANN, SI-99/435 (1999)

87300 - One (1) count
\$500 fine

Ann Cornelius, Provost of Eleanor Roosevelt College at the University of California in San Diego, failed to

timely file her 1998 annual Statement of Economic Interests.

COURTRIGHT, DONNA; DONNA COURTRIGHT FOR STATE ASSEMBLY #24, AND MICHAEL ERICKSON, SI-98/202 (2000)

84200 – One (1) count
84200.5 and 84200.7 – One (1) count
84203 – Four (4) counts
\$9,000 fine

Donna Courtright, an unsuccessful candidate for the Assembly in 1998, her controlled committee, Donna Courtright for State Assembly #24, and its treasurer, Michael Erickson, failed to timely file both a pre-election and a semi-annual campaign statement and failed to file late contribution reports.

COVINGTON, DALLAS, SI-97/283 (1998)

87100 - One (1) count
\$2,000 fine

Dallas Covington, a Commissioner on the Redondo Beach Harbor Commission and a self-employed general contractor, violated the conflict of interest provisions when he gave a presentation requesting a conditional use permit on behalf of his client during a meeting of the commission in 1997.

COX COMMUNICATIONS BAKERSFIELD, INC., SI-95/538 (1995)

84300(c) and 84301 - Seven (7) counts
\$11,000 fine

Cox Communications Bakersfield, Inc., a subsidiary company providing cable television service, laundered contributions in the names of two executive employees to a Bakersfield city councilperson, two Kern County board of supervisor candidates, and two state candidates between 1993 and 1995.

COX COMMUNICATIONS SAN DIEGO, INC., SI-93/151 (1995)

84300(c) and 84301 - Twenty-four (24) counts
\$42,000 fine

Cox Communications San Diego, Inc., a subsidiary company providing cable television service, laundered contributions in the names of seven executive employees and the spouse of one employee to city councilpersons in San Diego and other statewide candidates between 1991 and 1992.

CRABTREE, KARLEN AND ARZOO, SANDRA EVERINGHAM, SI-86/576 (1988)

84203, 84211(f), and 84211(j) - Numerous counts
\$3,000 fine - Crabtree, Karlen and Arzoo and Sandra Everingham
\$1,500 fine - Crabtree, Karlen and Arzoo

The Riverside accounting firm of Crabtree, Karlen and Arzoo and its employee, Sandra Everingham, failed to accurately report developer Louis Laramore as the source of more than \$7,000 in contributions to Mr. Digati's unsuccessful 1984 campaign and for erroneously reporting campaign refunds of \$8,500 in the final weeks of the November runoff election.

CRAIG, GLENDON B., SI-97/368 (1998)

84104 - One (1) count
84200 - One (1) count
84211 - One (1) count
\$5,000 fine

Glendon B. Craig, sheriff of Sacramento County, failed to report occupation and employer information for contributions received, failed to maintain detailed accounts, records, bills, and receipts, and failed to file a semiannual campaign statement from 1990 - 1994.

CRANE, PATRICK, MD-87/444 (1989)

84200(b) - One (1) count
84203 - One (1) count
\$3,500 fine

Patrick Crane failed to file a major donor campaign report and late contribution report. Mr. Crane qualified as a major donor by making four contributions in 1986 totaling \$66,750. He also failed to file a late contribution report for a \$50,000 contribution made during the final two weeks of the election.

CRESSEL, FRED, AND COMMITTEE TO ELECT FRED CRESSEL, SI-95/286 (1998)

84200 - One (1) count
 84200.5 and 84200.8 - Five (5) counts
 \$12,000 fine

Fred Cressel, successful candidate in the 1995 election for Compton City Council and unsuccessful candidate for Mayor in 1997, and his committee, Committee to Elect Fred Cressel, failed to timely file campaign statements in 1995 and 1997.

CROMARTIE, TIM, SI-99/756 (2000)

87300 - One (1) count
 \$300 fine

Tim Cromartie, Legislative Assistant, California State Assembly, failed to timely file his assuming office Statement of Economic Interests.

CRONK, WILLIAM F., SI-98/493 (1999)

84200 - One (1) count
 \$1,250 fine

William F. Cronk of Lafayette failed to file a semi-annual campaign statement in 1998 for a contribution made to the Governor Pete Wilson Committee.

CROSBY, MEAD, BENTON & ASSOCIATES, SI-98/635 (2000)

84300 and 84301 - Sixteen (16) counts
 \$29,000 fine

Crosby, Mead, Benton & Associates, an engineering firm that does work on subdivisions, primarily for developers, and headquartered in Santa Ana, laundered campaign contributions to two Santee City Council candidates in 1998.

CUNNINGHAM, WILLIAM, SI-92/323 (1996)

87100 - Two (2) counts
 \$3,000 fine

William Cunningham, a member of the Redlands City Council, violated the conflict of interest provisions by voting on two separate matters which effected property within 300 feet of his property.

CURB, MIKE, MIKE CURB COMMITTEE, AND CHARLES M. CURB, TREASURER, SI-89/370 (1990)

84203 - One (1) count
 \$2,000 fine

Mike Curb, candidate for lieutenant governor in 1986, his campaign committee, and its treasurer failed to disclose three late contributions. The contributions were received on the same day and comprised 33 percent of the late contributions received by the committee.

CURLEY, GEORGE, SI-98/740 (2000)

87300 - One (1) count
 \$600 fine

George Curley, an Associate Civil Engineer with the Waste Management Division of the County of Sacramento, failed to timely file his 1997 annual Statement of Economic Interests.

DACLISON, RHODA ANN, SI-99/795 (2000)

87200 - One (1) count
 \$250 fine

Rhoda Ann Daclison, a field representative for Assemblywoman Elaine Alquist, failed to timely file an assuming office Statement of Economic Interests in 1999.

DAILY, SUSAN, SI-86/314 (1987)

87500 - Two (2) counts
 \$500 fine
 \$300 waived*

Susan Daily, the elected city clerk of Maricopa, repeatedly delayed transmittals to the Commission of financial disclosure statements filed by city officials.

*The Commission waived this portion of the fine on condition that Daily not violate the Act for two years and that she attend a Commission seminar for filing officials within six months of the stipulation. During 1991 we determined that Susan Daily had not complied with the Commission's Decision and Order dated September 15, 1987. As a result, the Commission issued an order imposing the waived portion of the fine.

DAILY, SUSAN, SI-89/422 (1991)

87500 - One (1) count
\$2,000 fine

The Commission issued a Default Decision and Order against Susan Daily, Maricopa City Clerk, regarding her failure to forward to the Commission two Statements of Economic Interests received by the April 3, 1989, deadline. Although required to be forwarded within five days of the filing deadline, the financial disclosure statements were received by the Commission in October 1989. The statements were received after two city councilmembers were asked to refile their statements with the Commission. The city clerk ignored repeated notices concerning her duties under the Act.

DAIRYMEN'S COOPERATIVE CREAMERY ASSOCIATION; SUSAN BROWN, TREASURER, SI-97/370 (1998)

84211 - Five (5) counts
\$8,000 fine

Dairymen's Cooperative Creamery Association consisting of dairy farmers who market their milk through the cooperative located in Tulare, and Susan Brown, its treasurer, failed to disclose the true names, addresses, occupations, and employers of contributors to the committee during 1994.

DAL PORTO, DAVID, SI-98/774 (2000)

87300 - One (1) count
\$250 fine

David Dal Porto, an elected Trustee on the Contra Costa County Reclamation District No. 799 Board, failed to timely file a 1997 annual Statement of Economic Interests.

DALLAS, WILLIAM, SI-90/813 (1993 - CIVIL SUIT SETTLEMENT)

84200
84300
84301
\$772,000 fine

Lucas Dallas, Inc., a real estate development company and William Dallas, a partner and president of Lucas Dallas, Inc., laundered campaign contributions in 1990 totaling

\$132,500 to candidates for Oakland City Council and failed to file major donor campaign statements to disclose the contributions.

DAMON, GARY, MD-87/353 (1988)

84200(b) - Two (2) counts
\$2,250 fine

Gary Damon, a Sacramento lawyer, failed to file two required major donor campaign statements for client Bill Dutra Leasing. The company in 1986 made 22 contributions totaling \$18,552.

DAVIDSON, JOAN, BARRY HILDEBRAND, "JOAN DAVIDSON FOR SCHOOL BOARD" COMMITTEE AND "HILDEBRAND FOR SCHOOL BOARD" COMMITTEE, SI-95/590 (1997)

84305 - Three (3) counts
\$3,000 fine

Joan Davidson and Barry Hildebrand, incumbent candidates for the 1995 campaign for trustees of the Palos Verdes Peninsula Unified School District and their controlled committees, failed to include proper sender identification on three mass mailers sent out as a joint project.

DAVIS, JOHN, SI-98/28 (2000)

84211 - Four (4) counts
84300 - One (1) count
\$6,000 fine

John Davis, member of the Encinitas City Council between 1990 and 1998, accepted cash contributions of \$100 or more and failed to properly disclose information regarding campaign contributors during 1994 and 1995.

DAVIS, MARVIN, MD-87/445 (1988)

84200(b) - One (1) count
84203(b) - One (1) count
\$2,000 fine

Marvin Davis of Los Angeles failed to file a required major donor statement for contributions of \$12,400 to four campaign committees, which included a late contribution of \$5,000 to Assembly candidate Tom Hayden during the final 16 days before the 1986 election.

DAY, ROBERT A., SI-92/121 (1993)

84200(b) - Three (3) counts
 84203 - One (1) count
 \$4,500 fine

Robert Day, a New York resident, failed to timely file three major donor reports covering reporting periods in 1990 and 1991. During those reporting periods Day made contributions to California campaigns totaling \$76,250. Day also failed to file a late contribution report disclosing that he made a \$2,000 contribution to the Tom Hayes for Treasurer Committee in 1990.

DE LA FUENTE, ROQUE, SI-84/40 (1985 - CIVIL SUIT SETTLEMENT)

84300
 94301
 \$9,000 fine

During 1982 and 1983, Mr. De La Fuente made 13 contributions totaling \$3,000 in the names of other individuals to the campaign of former San Diego Mayor Roger Hedgecock, without disclosing himself as the true contributor.

DE LOS SANTOS, MARCIE, AND JOSEPH DE LOS SANTOS, SI-97/454 (1999)

84302 - One (1) count
 \$2,000 fine

The Commission issued a Default Decision and Order against Marcie De Los Santos and Joseph De Los Santos, who served as the intermediaries for a campaign contribution to a Fresno County supervisorial candidate in 1996.

DECKER FOR ASSEMBLY AND BRENT A. DECKER, SI-96/284 (1997)

84200 - One (1) count
 \$1,500 Fine

Brent A. Decker, candidate for California State Assembly in a special runoff election in 1995, and his committee, Decker for Assembly, failed to file a pre-election campaign statement until after the election.

DEMOCRATIC STATE CENTRAL COMMITTEE-NORTHERN DIVISION AND BETTY SMITH, SI-80/75 (1981)

81004 - One (1) count
 84200 - One (1) count
 84211 - Two (2) counts
 \$7,000 fine
 \$3,500 waived*

Democratic State Central Committee-Northern Division failed to report \$92,867 in in-kind contributions received from the Operating Engineers Union during the 1980 general election. The union properly reported making the contributions. The committee also failed to file a pre-election statement for the 1980 primary.

The committee treasurer, Betty Smith, signed several of the campaign statements before they were prepared.

*During 1985 we determined that the Democratic State Central Committee had not complied with the Commission's Decision and Order dated December 17, 1981. As a result, the waived portion of the fine was assessed and collected.

DEMOCRATIC STATE CENTRAL COMMITTEE OF CALIFORNIA, SI-98/40 (1998)

84203 - Three (3) counts
 84203.5 - Two (2) counts
 84211 - Nine (9) counts
 \$24,000 fine

Democratic State Central Committee of California, a recipient committee, failed to disclose in-kind contributions to Kathleen Brown, a candidate for Governor, failed to file reports disclosing late contributions and independent expenditures, failed to properly disclose occupation and employer information, and failed to fully disclose subvendor and accrued expenditure information on campaign statements between 1993 and 1994.

DEMOCRATIC STATE CENTRAL COMMITTEE, SOUTHERN SECTION, AND JOHN MEANS, TREASURER, SI-87/213 (1990)

84200(a) - One (1) count
 84200.5 - One (1) count
 84211(f)(3) and (4) - One (1) count
 \$5,000 fine

The Democratic State Central Committee, Southern Section, and John Means, treasurer, failed to timely file semi-annual campaign statements and pre-election campaign statements in 1985 and 1986.

Additional violations involved the failure of the committee to list the occupation and employer for all 29 contributors of \$100 or more reported on the committee's first 1987 semi-annual report.

DENNIS, LENA, SI- 89/391 (1990)

87203 - Two (2) counts
\$4,000 fine

The Commission issued a Default Decision and Order against Lena Dennis, Lynwood Planning Commissioner, who failed to request a hearing regarding her failure to file her 1988 and 1989 financial disclosure statements despite repeated notices from the Commission.

DEVCON CONSTRUCTION, INC., SI-96/432 (1996)

84300 and 84301 - Thirty-nine (39) counts
\$68,500 fine

Devon Construction, Inc., a construction and development corporation based in Milpitas, laundered campaign contributions made to various campaigns, mostly mayoral and city council candidates in San Jose between 1990 and 1995.

D. H. SMITH, INC., SI-99/324 (2000)

84200 - One (1) count
\$600 fine

D. H. Smith, Inc., located in San Jose, failed to timely file a major donor campaign statement for a contribution made to Californians for Gray Davis Committee in 1998.

DHL AIRWAYS, INC., SI-98/323 (1998)

84200 - One (1) count
\$1,250 fine

DHL Airways, Inc., of Redwood City, failed to file a semi-annual campaign statement for a contribution made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

DIABLO VALLEY COLLEGE FOUNDATION, SI-97/493 (1998)

84200 - One (1) count
84203 - One (1) count
\$2,500 fine

Diablo Valley College Foundation, a nonprofit foundation formed to support the Diablo Valley College located in Contra Costa County, failed to file a major donor committee campaign statement and late contribution report in 1996 in connection with a local bond measure campaign.

DICKINSON, HEATHER, SI-99/678 (2000)

87300 - One (1) count
\$300 fine

Heather Dickinson, field representative for the California Assembly Rules Committee, failed to timely file her 1998 annual Statement of Economic Interests.

DIGATI, SAM, SAM DIGATI FOR SUPERVISOR COMMITTEE, SI-86/576 (1988)

84203, 84211(f), and 84211(g)-Numerous counts
\$15,500 fine
\$7,500 waived

The money laundering case involved Riverside County developer Louis Laramore and the unsuccessful 1986 campaign of Riverside Councilmember Sam Digati. Mr. Laramore made \$12,000 in laundered contributions to the Digati campaign during the highly contested race and failed to file required late contribution reports for \$7,920 made immediately before the November 4, 1986, election.

The laundered contributions were made through associates who obtained money orders in the names of others for payment to the candidate because the developer wanted to keep his support hidden.

Mr. Digati's penalties involved various campaign reporting violations, including the failure to disclose Mr. Laramore as the true source of \$12,000 in contributions.

Mr. Digati also failed to disclose Mr. Laramore's late contribution of \$7,920 and another \$10,000 contribution from the developer, who paid Digati's campaign manager to work on the campaign.

DIMAS, JAMES B., COMMITTEE TO ELECT JAMES B. DIMAS, SR., PROVEN LEADERSHIP & HONESTY, SI-89/181 (1990)

84104 - One (1) count
\$1,000 fine

James Dimas, unsuccessful candidate for state Assembly and Los Angeles City Councilmember for 16 years, failed to maintain adequate records on contributions received in April 1987.

The violations stemmed from a fundraising event held by the candidate for Assembly District 56. While reporting the total amount of the contributions received at the April 1987 event, the committee failed to maintain required records for approximately \$10,000 in cash contributions of \$25 or more and \$1,000 in checks of less than \$100 each. The candidate acknowledged that some supporters bought as many as four tickets at \$25 each, but the sales were treated as if each attendee in the group personally paid for a ticket.

DOAN, GLENDA KRAFT, SI-94/710 (1996)

87200 and 87207 - Three (3) counts
\$6,000 fine

Glenda Kraft Doan, a judge of the Justice Court for the Corcoran Judicial District, County of Kings, from 1983 to 1992, and a judge of the Kings County Municipal Court District from 1992 to 1995, failed to disclose informal loans received from friends on her annual Statement of Economic Interests.

DOOLITTLE, JOHN, FRIENDS OF JOHN DOOLITTLE, JACK HORNSBY, FRIENDS OF JACK HORNSBY, JOHN FELIZ, SI-84/43 (1987)

See also Bruce Ashwill, SI-84/43 (1985)

84206 (currently 84203) - Two (2) counts
84211 - Two (2) counts
\$3,000 fine - John Doolittle and Friends of John Doolittle
\$2,000 fine - Jack Hornsby, Friends of Jack Hornsby & John Feliz
\$1,000 fine - Jack Hornsby and Friends of Jack Hornsby

An administrative law judge found that state Senator John Doolittle, Doolittle's aide John Feliz, and 1984 state Senate candidate Jack Hornsby violated campaign reporting laws in connection with the November 1984 general election.

Shortly before the election, Doolittle campaign consultant John Feliz coordinated the production of a mass mailer sent on behalf of Doolittle's Democratic opponent, Jack Hornsby. The consulting services of Feliz and a Doolittle fundraiser, Jim Grubbs, along with 60,000 mailing labels, were contributed by the Friends of John Doolittle Committee to the Friends of Jack Hornsby Committee. The mailer was sent to Democratic households as a tactic to bring Democratic candidate Hornsby into the three-way race with Doolittle and former state Senator Ray Johnson, thus pulling votes away from Johnson to Hornsby for the benefit of Doolittle.

Doolittle was negligent prior to the election for not making further inquiry into the matter once Feliz informed him of the mailer's existence.

DORAN, SHELLEY, SI-96/541 (1997)

84308(b) - One (1) count
\$1,500 fine

Shelley Doran, Chairman of the Los Altos Hills Planning Commission and candidate for Los Altos Hills City Council in 1996, violated the Act when she accepted a \$1,000 contribution from a contributor only 24 days after she voted on the contributor's project as a planning commissioner.

DOREY, WILLIAM G., SI-96/149 (1998)

84200 - One (1) count
\$2,000 fine

William G. Dorey, senior vice president of Granite Construction Company located in Riverside County, failed to timely file a major donor campaign statement in 1996 to disclose a loan he made that was used to gather signatures for a statewide gaming initiative.

DOWDS, SCOTT, SI-99/461 (1999)

87300 - One (1) count
\$250 fine

Scott Dowds, an appointed member of the Project Area Committee #2 in the City of Fullerton, failed to timely file his 1998 annual Statement of Economic Interests.

DOWNS, MICHAEL, SI-98/256 (2000)

87300 - Two (2) counts
\$900 fine

Michael Downs, an architect at the University of California at San Diego, failed to timely file his assuming office and 1998 annual Statements of Economic Interests.

DRAGONE, JOHN, JR., SI-96/202 (1998)

84200 - Three (3) counts
\$6,000 fine

The Commission issued a Default Decision and Order against John Dragone, Jr., an elected member of the governing board of the Centinela Valley Union High School District in Los Angeles County, who failed to file semi-annual campaign statements in 1995 and 1996.

DREXEL, BURNHAM, LAMBERT, INC., MD-87/358 (1988)

84200(b) and 84203(b) - Eight (8) counts
\$8,000 fine

Drexel Burnham Lambert, Inc., of New York City, failed to timely file three major donor campaign statements and five late contribution reports. The company contributed a total of \$100,226 in 1986 and 1987.

DRIVE - DEMOCRATIC, REPUBLICAN, INDEPENDENT VOTER EDUCATION - THE PAC OF THE INTERNATIONAL BROTHERHOOD OF TEAMSTERS, AND DAVID A. SWEENEY, TREASURER, SI-93/403 (1995)

84200(a) - One (1) count
84200.5(d) and 84200.7(b) - Six (6) counts
84202.5 - One (1) count
84202.7 - Three (3) counts
84203 - Five (5) counts
\$24,000 fine

DRIVE, a general purpose recipient committee, failed to timely file campaign statements from 1989 to 1991 which included a semi-annual statement, six pre-election statements, two odd-numbered year statements, and a supplemental pre-election statement. In addition, DRIVE failed to file five late contribution reports.

DUDEK & ASSOCIATES, SI-2000/198 (2000)

84301 and 84300 - Two (2) counts
\$3,600 fine

Dudek & Associates, a California corporation that does land use planning and full environmental impact reports specializing in environmental assessments, hazardous waste assessments and removal, and waste water management issues headquartered in Encinitas, laundered campaign contributions to a candidate for the Santee City Council in 1998.

DYER, G. PATRICK, SI-99/616 (2000)

87203 - One (1) count
\$200 fine

G. Patrick Dyer, member, Nevada City Council, failed to timely file his 1998 annual Statement of Economic Interests.

EAVES, GERALD, SI-87/596 (1989)

87207 - One (1) count
\$1,500 fine

State Assemblyman Gerald Eaves failed to provide timely disclosure of income received from his campaign consulting business, Creative Campaigns. The business was a partnership he formed which received a \$10,000 payment from the Friends of Gerry Eaves, a campaign committee controlled by the state lawmaker. The payment was for purchases and fees and a retainer for services. The income and ownership of Creative Campaigns was not disclosed until after news reports and the Commission began investigating the matter.

EAVES, GERALD R., SI-95/557 (1999)

84308 - Ten (10) counts
\$12,500 fine

Gerald Eaves, member of the San Bernardino County Board of Supervisors, appointed to the Inland Valley Development Agency (IVDA), failed to publicly disclose contributions and disqualify himself from participating and voting on matters concerning contracts of his contributors which were before the IVDA board in 1994 and 1995.

ECKARD, KEVIN P., KEVIN ECKARD FOR ASSESSOR, AND CAROLE ONORATO, TREASURER, SI-92/115 (1995)

84211 and 84216 - Four (4) counts
 84213 and 81004 - One (1) count
 84300(a) - One (1) count
 85201(d) (e) - One (1) count
 89513 - One (1) count
 84104 - One (1) count
 87302 - One (1) count
 \$10,000 fine

Kevin Eckard, unsuccessful candidate for Placer County Assessor in 1990, his controlled committee, and the committee treasurer failed to disclose the true source of loans received, failed to report loan repayments, failed to make campaign expenditures solely from the campaign bank account, failed to maintain adequate records, accepted an illegal cash contribution, used campaign funds to make impermissible loans, and verified the campaign statements though they were incomplete.

In addition, Mr. Eckard, in his capacity as a member of the Placer County Fish and Game Commission, failed to disclose reportable sources of income on his 1990-1992 Statements of Economic Interests.

EDGEComb, CHRIS E., SI-98/494 (1999)

84200 - One (1) count
 \$2,000 fine

Chris E. Edgcomb of Santa Barbara failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

EDWARDS, WILLIS, SI-79/49 (1980)

84203 (currently 84200.5) - Three (3) counts
 84206 (currently 84200) - One (1) count
 84209 (currently 84213) - One (1) count
 84210 (currently 84211) - One (1) count
 84212 (currently 84206) - One (1) count
 84214 (currently 84203) - Four (4) counts
 \$1,000 fine
 \$500 waived

Willis Edwards, an unsuccessful democratic candidate for the 49th Assembly District, failed to file three candidate campaign statements and committed various reporting

violations, including the failure to properly report four late contributions totaling \$12,000.

E.I. INDUSTRIES, INC., SI-95/567 (1996)

84300 and 84301 - Four (4) counts
 \$8,000 fine

E.I. Industries, Inc., located in San Jose and engaged in the business of selling construction supplies and materials, laundered four campaign contributions to San Jose City Council candidate Pat Dando in 1995.

EICHMAN, J. RICHARD, SI-92/407 (1994)

84203.5 - One (1) count
 84211 - One (1) count
 \$1,000 fine

J. Richard Eichman, treasurer of Western Mobilehome Association Political Action Committee, failed to timely file two independent expenditure reports and also failed to adequately disclose candidates on whose behalf four independent expenditures were made on the semi-annual campaign statement of the Western Mobilehome Association PAC for the period from July 1, 1991, through December 31, 1991.

EJE, INC., DBA ESCONDIDO JEEP EAGLE, AND NORTH COUNTY FORD, INC., SI-97/145 (1999)

84300 and 84301 - Four (4) counts
 \$8,000 fine

EJE, Inc., dba Escondido Jeep Eagle, an automobile dealership located in Escondido, and North County Ford, Inc., an automobile dealership located in Vista, who are both California corporations, laundered four campaign contributions to a Vista City Council candidate in 1996.

ELIOT, LEONARD, SI-90/302 (1992)

87100 - Four (4) counts
 87300 - Five (5) counts
 \$18,000 fine

Leonard Eliot was the Assistant City Manager and Controller of the City of West Covina. He was also Assistant Executive Director of the West Covina Redevelopment Agency. Mr. Eliot violated the conflict of

interest disclosure and disqualification provisions by failing to disclose his interests in an Orange Julius franchise located in a shopping mall known as the West Covina Fashion Plaza and by participating in decisions relating to a major expansion and restoration of the WCFP.

ELSBERG ACCOUNTANCY CORPORATION AND MARK ELSBERG, SI-96/187 (1996)

84300 and 84301 - Four (4) counts
\$8,000 fine

Elsberg Accountancy Corporation, an accounting firm located in Walnut Creek, and Mark Elsberg, its sole shareholder, laundered contributions made to a candidate for the Contra Costa County Board of Supervisors.

EMAMI, ROBERT, SI-2000/401 (2000)

84203 – One (1) count
\$1,500 fine

Robert Emami of Los Gatos failed to file a late contribution report during the March 7, 2000, primary election.

ERICKSON, MICHAEL, SI-98/202 (2000)

84200 – One (1) count
84203 – Five (5) counts
\$3,000 fine

Michael Erickson, treasurer for the Donna Courtright for State Assembly #24 Committee, failed to timely file late contribution reports and a semi-annual campaign statement as a major donor committee in 1998.

ETHICS IN GOVERNMENT, A COMMITTEE OF CITIZENS FOR THE REPUBLIC, AND CAROLYN ROBERTSON, TREASURER, SI-91/574 (1992)

84204 - One (1) count
84211 (f) - One (1) count
\$3,500 fine

This general purpose committee supported Proposition 119 in the June 1990 election and Proposition 140 in the November 1990 election. Respondent failed to file a late independent expenditure report to report payments of \$20,974 made in support of Proposition 119. Respondent

also failed to itemize 29 contributions of \$100 each received from contributors.

EU, MARCH FONG, BAY AREA ASIANS TO REELECT MARCH FONG EU COMMITTEE, AND CATHERINE LEE, SI-89/310 (1990)

84102 - One (1) count
84104 - Two (2) counts
84200 - Five (5) counts
84213 - Two (2) counts
\$20,000 fine

A state audit found that one of March Fong Eu's controlled committees in San Francisco, and its treasurer, failed to timely file five campaign statements disclosing receipts of \$40,400 and expenditures of \$37,247. The committee also failed to maintain adequate campaign records of contributions and expenditures and the candidate and treasurer failed to use reasonable diligence in preparation of the campaign disclosure reports. The Commission reached a stipulated settlement on ten violations of the Act prior to the filing of a civil action.

EU, MARCH FONG, AND FRIENDS OF MARCH FONG EU COMMITTEE, SI-89/139 (1990)

84203 - Five (5) counts
84213 - One (1) count
84303 - One (1) count
\$8,000 fine

Secretary of State March Fong Eu committed campaign reporting violations during her 1986 election. The violations involved the failure of Eu to file late contribution reports totaling \$10,450 during the primary and general election, failure to properly report subvendors for \$151,278 in expenditures, and failure to "exercise reasonable diligence" in the preparation of the campaign statements. The candidate delegated all reporting responsibilities and supervision to other members of her staff, which prevented her from taking any steps to correct or cause to be checked any portion of the statements.

EVERGREEN AMERICA CORPORATION, SI-93/45 (1993)

84301 and 84300(c) - Two-hundred thirty-six (236) counts

84200(b) - Twelve (12) counts

84203 - Three (3) counts

\$895,000 fine (\$447,500 to the Fair Political Practices Commission; \$447,500 to the Los Angeles City Ethics Commission)

Evergreen America Corporation, the general agent for Evergreen Lines, a containership operator based in Taiwan, violated the law by laundering 236 contributions to statewide candidates and Los Angeles City Council candidates between 1989 and 1991. The campaign contributions amounted to \$172,000 and were made at a time when an Evergreen-related company was planning a land development project in downtown Los Angeles.

Evergreen also failed to file four major donor statements and failed to report contributions and late contributions during 1989 and 1990.

This was a joint investigation conducted by the Los Angeles City Ethics Commission and the Fair Political Practices Commission.

F.E.A. LOGISTICS, SI-88/364 (1990)

84301 - Ten (10) counts

\$20,000 fine

F.E.A. Logistics, a Gardena company, laundered campaign contributions through employees to Los Angeles City Council candidate, Kenneth Orduna. The violations involved 10 contributions of \$500 each made by the company through employees to the candidate. The contributions were laundered through F.E.A. Logistics employees to avoid the local ordinance restricting campaign contributions to \$500 from a single source.

FANG, JAMES, SI-94/658 (1995)

84302 - Four (4) counts

\$8,000 fine

James Fang, a director of the San Francisco Bay Area Rapid Transit District, acted as an agent or intermediary for another party in the making of contributions without disclosing the actual contributor to the recipient, when he caused four contributions to be made to the campaign of San Francisco mayoral candidate Frank Jordan in 1991.

FAR WEST SAVINGS AND LOAN ASSOCIATION, MD-90/385 (1991)

84200 (b) - Two (2) counts

\$3,000 fine

Far West Savings and Loan Association, an affiliated entity of Far West Financial Corporation, failed to timely file major donor campaign disclosure statements.

During 1988, Far West Savings made contributions totaling \$39,500 to political candidates and committees and failed to report these contributions on major donor statements.

FAVILA, RUDY G., RUDY FAVILA FOR ONTARIO, RUDY FAVILA FOR COUNTY SUPERVISOR, AND JANICE E. PHILLIPS, TREASURER, SI-96/366 (1999)

84200.5 and 84200.7 - Two (2) counts

84200 - One (1) count

84211 - Two (2) counts

84203 - One (1) count

84211 and 84303 - One (1) count

84300 - One (1) count

84104 - One (1) count

\$8,000 fine

Rudy G. Favila, former Ontario City Councilman from 1992 to 1996 and unsuccessful candidate for both San Bernardino County Supervisor in 1994 and the Ontario City Council in 1996, his controlled committees, Rudy Favila for Ontario, and Rudy Favila for County Supervisor, and his treasurer, Janice E. Phillips, failed to timely file several required campaign statements, failed to provide itemized information about numerous contributions and expenditures, and failed to maintain required records for the time period between 1993 and 1996.

FEINSTEIN, DIANNE, FEINSTEIN FOR GOVERNOR, AND HENRY BERMAN, TREASURER, SI-90/819 (1992 - CIVIL SUIT SETTLEMENT)

84105

84203

84211

84303

\$190,000 fine

Dianne Feinstein, an unsuccessful candidate for Governor in 1990, her committee, and the committee treasurer failed to properly report campaign contributions and expenditures. The campaign statements did not disclose expenditures of \$3.5 million, accrued expenses of \$380,000, and subvendor payments of \$3.4 million. The guarantor of loans totaling \$2.9 million, Feinstein's husband, Richard Blum, was not disclosed. Monetary and non-monetary contributions totaling \$815,000 were not reported on campaign statements and late contributions of \$90,000 were not reported. Notices were not sent to 166 major contributors who made contributions of \$5,000 or more advising them of possible filing requirements.

FENWICK, RICHARD, SI-89/134 (1990)

87100 - Two (2) counts
\$4,000 fine

Richard Fenwick, former Dorris City Councilmember, committed conflict of interest violations in 1987 and 1988. The violations involved the councilmember's votes to obtain a \$491,520 state grant to extend a water and sewer line to an area of the city where he owned real property and operated the Star Inn and restaurant. The funding produced significant financial gains for Mr. Fenwick. He spent approximately \$14,000 for improvements to his sewer facilities, but saved \$58,000 in sewer hookup costs. Additionally, as a result of the hookup, he could operate a restaurant on the premises, raise rental fees, and list the previously unmarketable property for sale.

FERGUSON, ASSEMBLYMAN GIL, FRIENDS OF GIL FERGUSON POLITICAL ACTION COMMITTEE, AND FREE MARKET POLITICAL ACTION COMMITTEE, SI-87/84 (1990)

84203 - Two (2) counts
84211 (f) - One (1) count
\$4,000 fine

Assemblymember Gil Ferguson and two of his controlled committees committed campaign reporting violations. The Friends of Gil Ferguson Committee received three late contributions totaling \$8,500 during the 1986 primary election, but failed to properly disclose them within 24 hours of receipt.

Ferguson's second controlled committee, the Free Market PAC, failed to report four contributions totaling \$9,890.

FERGUSON, GIL, FERGUSON FOR SENATE 1990, AND DOROTHY HUGHES, TREASURER, SI-91/368 (1993)

84200.5 and 84200.7 - One (1) count
84203 - Two (2) counts
84211 - Two (2) counts
\$6,000 fine

State Assemblyman Gil Ferguson, his committee, and its treasurer failed to properly identify the true source of campaign loans on campaign disclosure statements, failed to file a campaign statement on time, and failed to file two late contribution reports on time in 1990 for two personal loans Ferguson made to the campaign totaling \$22,000.

FIELDSTONE COMPANY, SI-90/259 (1991)

84203 - Four (4) counts
\$4,800 fine

The Fieldstone Company, a Newport Beach real estate development company, which qualified as a "major donor" committee, failed to file late contribution reports on four separate occasions in 1988 and 1989 for contributions made to committees for ballot measures primarily in San Diego and Costa Mesa.

FILNER, BOB, SAN DIEGANS FOR, ROBERT FILNER, SI-87/529 (1989)

84305 - One (1) count
\$2,000 fine

Robert Filner, successful candidate for the San Diego City Council, failed to provide legible sender identification on a mass mailer. The mailer was bright yellow, with sender identification appearing in white. The combination of white letters on a bright yellow background rendered the identification illegible without magnification.

**FIRST CITY DEVELOPMENTS CORPORATION,
SI-92/283 (1993)**

84301 - Thirty (30) counts
\$50,000 fine

First City Developments Corporation, doing business in the City of Fremont, laundered 30 contributions made in support of Fremont City and County candidates William Ball, Alane Loisel, and Gary Mello in 1989.

FISCAL FUNDING CO., INC., AND CALVIN B. GRIGSBY, SI-94/117 (1996)

84200 - Two (2) counts
84300 and 84301 - One (1) count
\$5,000 fine

Fiscal Funding, Inc., an equipment leasing company in San Francisco, and Calvin B. Grigsby, a 50 percent owner and controlling partner, failed to timely file major donor campaign statements in 1993. They also loaned money to make campaign contributions in the name of another person.

**FITZMORRIS, ANDREW, GARY BERGERON,
CHERYL BERGERON, SI-83/14 (1986)**

81004 - One (1) count
84211 - Two (2) counts
84301 - Three (3) counts
\$1,000 fine - Andrew Fitzmorris
\$2,000 fine - Gary Bergeron
\$4,000 fine - Cheryl Bergeron

Dr. Andrew Fitzmorris was a candidate for the Desert Hospital District Board of Directors. Cheryl Bergeron laundered three \$999 contributions to Dr. Fitzmorris' campaign. Dr. Gary Bergeron, who was co-owner with Cheryl Bergeron of the checking account from which the funds for the contributions derived, negligently violated the section of the Act requiring true sources of contributions to be disclosed to the recipient of the contributions.

Fitzmorris knew a substantial amount of money had come in late in the campaign. The three \$999 contributions were unusual amounts received on the same day from individuals whom he did not know. Under these circumstances, Fitzmorris had a duty to inquire about the contributions to ensure that reasonable diligence was used in the preparation of his campaign statements.

**FLORES-MCCLINTOCK, LUPE, COMMITTEE TO
ELECT LUPE FLORES-MCCLINTOCK, DAVID
MCCLINTOCK, SI-95/238 (1997)**

84200 - One (1) count
\$1,500 fine

Lupe Flores-McClintock, an unsuccessful incumbent Los Angeles County school board trustee, failed to timely file campaign statements covering reporting periods from 1993-1996.

FOGG, JOSEPH G., III, SI-98/320 (1998)

84200 - One (1) count
\$1,250 fine

Joseph G. Fogg, III, of Syosset, New York, failed to file a semi-annual campaign statement for a contribution made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

FOLENDORF, TAD, SI-96/19 (1998)

87100 - Ten (10) counts
\$15,000 fine

Tad Folendorf, Mayor of the City of Angels Camp, violated the conflict of interest provisions when he participated and voted on several governmental decisions which had a financial effect on his interests in real property or on his sources of income between 1994 and 1996.

**FORCIER, ARTHUR, SHIRLEY WECHSLER, FC-
81/02 (1982)**

84305 - One (1) count
\$2,000 fine
\$1,000 waived

Political campaign consultants Arthur Forcier and Shirley Wechsler created and paid for a mailing on behalf of Arline Mathews, a Democratic candidate for the 37th Assembly District in 1980. The mailing was a classic deceptive mailing in two ways. First, Forcier and Wechsler pirated the name of a Howard Jarvis committee, listing the sender as the "Spirit of 13" committee. Second, along with Mathews, the mailing endorsed a group of Republican candidates including President Reagan. No party designations were listed so

that someone receiving the mailing would naturally conclude that Mathews was part of the Republican ticket.

FRESNO COUNTY REPUBLICAN CENTRAL COMMITTEE AND ORAN MCNEIL, SI-95/32 (1996)

84200 - Two (2) counts
\$4,000 fine

Fresno County Republican Central Committee, and its treasurer, Oran McNeil, failed to timely file campaign statements disclosing contributions received and expenditures made in 1994.

FRESNO FIREFIGHTERS LEGISLATIVE ACTION GROUP AND JACK COLEMAN, TREASURER, SI-97/244 (2000)

84200 - Seven (7) counts
\$10,500 fine

Fresno Firefighters Legislative Action Group, a general purpose recipient committee, and its treasurer, Jack Coleman, failed to timely file seven semiannual campaign statements during 1996 to 1999.

FRIENDS COMMITTEE ON LEGISLATION OF CALIFORNIA, EMMA CHILDERS, AND DEL O. POWELL, SI-92/349 (1994)

83116.5, 86113 and 86117 - Seven (7) counts
\$6,000 fine

Friends Committee on Legislation of California (FCL), a nonprofit Quaker-sponsored legislative action group, Emma Childers, lobbyist for FCL, and Del O. Powell, a former employee of FCL, failed to timely file seven of the required quarterly lobbying reports during calendar years 1990 and 1991.

FRIENDS OF KEVIN MURRAY AND KEVIN MURRAY, SI-96/132 (1998)

84200 - Two (2) counts
84200.5 and 84200.7 - Two (2) counts
84203 - Ten (10) counts
87207 - One (1) count
\$22,250 fine

The Commission rejected the proposed decision of the Administrative Law Judge and issued its decision and order against the Friends of Kevin Murray and Kevin Murray, successful candidate for State Assembly in 1994, who failed to timely file semi-annual campaign statements, failed to timely file pre-election campaign statements, and failed to timely file late contribution reports. In addition, Kevin Murray failed to report a source of income on his Statement of Economic Interests.

FRIENDS OF THE ALBANY WATERFRONT, COMMITTEE OPPOSED TO MEASURE F SPONSORED BY THE OAKS CARD CLUB, JERRI HOLAN, AND HELEN MILLER, SI-96/262 (1998)

84102 - One (1) count
84211, 84106, and 84102 - Two (2) counts
84305, 84106, and 84102 - Four (4) counts
\$14,000 fine

Friends of the Albany Waterfront, Committee Opposed to Measure F Sponsored by the Oaks Card Club, was formed to oppose a local ballot measure which would have allowed the development of a card room on the waterfront in the City of Albany, Jerri Holan, a responsible officer, and Helen Miller, its treasurer, failed to correctly identify its sponsor and to include the name of its sponsor in the name of the committee on its statement of organization and failed to include the name of its sponsor in its name on its pre-election and semi-annual campaign statements in 1994. It also failed to use proper sender identification on its campaign mailers.

FURTAK, JOHN, SI-90/80 (1992)

83116.5 - Two (2) counts
84306 - Two (2) counts
\$0 fine

John Furtak, a Bakersfield newspaperman and campaign manager for the Thomas Watson for Supervisor race in Riverside County in 1986, was accused of helping to hide the source of \$38,000 in laundered campaign contributions from developer Louis Laramore to Watson. The Commission adopted the recommendation of the administrative law judge to dismiss all charges due to insufficient evidence.

G. H. PALMER ASSOCIATES, SI-89/33 (1992)

84301 and 84300 (c) - Fifteen (15) counts
\$30,000 fine

G. H. Palmer Associates, a real estate development firm located in Los Angeles, laundered seven \$500 campaign contributions to the Committee to Re-Elect Councilwoman Joy Picus in December 1987. In addition, the respondent laundered seven \$950 campaign contributions and one \$350 contribution to the Southern California Caucus, a political action committee which actively opposed the incorporation of the City of Santa Clarita in November 1987. Respondent used company employees to transmit the contributions.

GAGLIARDI, JOSEPH MELVIN, AND J & J SPORTING GOODS, SI-98/83 (1999)

84301 and 84300 - Forty-Eight (48) counts
84200 - Two (2) counts
\$96,000 fine

Joseph Melvin Gagliardi, president and sole owner of J & J Sporting Goods, a sole proprietorship located in San Jose, laundered 48 campaign contributions to two candidates for San Jose Mayor during 1998 and failed to file two campaign statements.

GALLAGHER, MICHAEL T., SI-92/567 (1994)

84300 and 84301 - Two (2) counts
\$4,000 fine

Michael T. Gallagher, an unsuccessful candidate for San Bernardino County Supervisor in 1992, laundered two checks totaling \$10,758.25 to a campaign committee that issued a hit piece mass mailing against his opponent in the race for supervisor. This stipulation is part of an overall settlement agreement between Michael Gallagher, the Commission, and the San Bernardino County District Attorney's Office. As part of this overall agreement, Gallagher has plead guilty to two criminal misdemeanors for violating the Political Reform Act. As part of that plea agreement, Gallagher will pay both a criminal fine of approximately \$32,000 and a court penalty assessment of over \$20,000.

GARCIA, RICHARD A., SI-91/166 (1993)

87100 - Two (2) counts

\$3,000 fine

Richard Garcia, Banning City Councilman, committed conflict of interest violations when he participated in two decisions which affected a source of income received. On November 6, 1990, Garcia sold his partnership interest in a Banning office building. In March 1991, sitting in his capacity as a member of the Banning Community Redevelopment Agency, Garcia made decisions benefiting the remaining partners in the joint venture.

GARCIA, RODOLFO, SI-93/258 (1994)

87100 - One (1) count
\$1,500 fine

Bell Gardens City Councilman Rodolfo "Rudy" Garcia violated the conflict of interest provisions of the Act on March 12, 1993, when he voted to terminate the city manager's employment contract. The city manager had been a source of income within 12 months prior to the decision.

GARCIA, WILLIAM P.V., SI-84/267 (1986)

87300 - Four (4) counts
\$250 fine

The Commission adopted the proposed decision and order of an administrative law judge in the matter of William P.V. Garcia, manager of the Farm and Home Purchase Division of the Department of Veterans Affairs, who failed to disclose his deed of trust interests in real property on four Statements of Economic Interests filed over a period of three years.

Garcia managed a division that approved or disapproved applications by California veterans for farm and home loans. These decisions could have affected the value of Garcia's deed of trust interests.

GARTNER, GARY, SI-99/463 (2000)

87300 - Two (2) counts
\$1,250 fine

Gary Gartner, legislative assistant with the California State Assembly, failed to timely file his 1997 and 1998 annual Statements of Economic Interests.

GATEWOOD, ROBERT, SI-86/72 (1988)

87100 and 87103 - Four (4) counts
 87300 - One (1) count
 \$9,000 fine

Robert Gatewood, a former San Ramon Valley Planning Commissioner, violated state conflict of interest laws and failed to disclose financial interests. The violations resulted when Mr. Gatewood voted in 1984 and 1985 to approve four projects in the Bishop Ranch Business Park that significantly affected a client of his company.

Mr. Gatewood failed to file a financial disclosure statement within 30 days after leaving office in September 1986. That statement would have disclosed the client, whose projects were the subject of decisions before the planning commission.

GATICA, ELEANOR SANCHEZ, AND THE COMMITTEE TO ELECT ELEANOR S. GATICA, SI-99/86 (1999)

84200 - One (1) count
 \$500 fine

Eleanor Sanchez Gatica, an unsuccessful candidate for Maywood City Council in 1997, and her controlled committee, Committee to Elect Eleanor S. Gatica, failed to timely file a semi-annual campaign statement.

GATLIN DEVELOPMENT COMPANY AND FRANK GATLIN, SI-94/189 (1996)

84300 and 84301 - One Hundred Seven (107) counts
 \$192,000 fine

Gatlin Development Company, a real estate development firm located in San Diego which primarily builds shopping center complexes that contain Wal-Mart stores, and Frank Gatlin, its owner and principal officer, laundered contributions made to candidates and members of the San Diego City Council, a candidate for the San Diego County Board of Supervisors, and a campaign committee located in Lake Elsinore.

GAYTAN, KARL E., COMMITTEE TO RE-ELECT KARL E. GAYTAN FOR MAYOR, AND FRANK A. IBARRA, SI-99/198 (1999)

84200 - Two (2) counts
 84200.5 and 84200.7 - One (1) count
 \$1,000 fine

Karl E. Gaytan, successful candidate for Mayor of Colton, his controlled committee, the Committee to Re-Elect Karl E. Gaytan for Mayor, and its treasurer, Frank A. Ibarra, failed to file one pre-election and two semi-annual campaign statements in 1998 and 1999.

GEICO CORPORATION, SI-98/298 (1998)

84203 - One (1) count
 \$1,250 fine

GEICO Corporation of Washington, D.C., failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

GERRED, DAVID, AND DAVID GERRED FOR CITY COUNCIL, SI-99/635 (2000)

84200 - Two (2) counts
 87300 and 87302 - Two (2) counts
 \$1,500 fine

David Gerred, member of the City of Burbank's Transportation Commission in 1997 and 1998, failed to timely file his 1998 annual and his leaving office Statement of Economic Interests. Mr. Gerred and his controlled committee, David Gerred for City Council, formed for his unsuccessful campaign in 1995, failed to timely file semi-annual campaign statements in 1999.

GIAFFOGLIONE, TONI, AND THE COMMITTEE TO ELECT TONI GIAFFOGLIONE, SI-95/80 (1998)

84200 - Two (2) counts
 84200.5 and 84200.8 - One (1) count
 84213 - Three (3) counts
 85201 - One (1) count
 \$8,100 Fine

The Commission adopted an administrative law judge's proposed decision in the matter of Toni Giaffoglione, candidate for, and an elected member of, the Board of Trustees of the Bassett Unified School District in La Puente, CA, and the Committee to Elect Toni Giaffoglione, who failed to timely file campaign statements, misreported campaign activity and falsely verified the accuracy of the campaign statements, and failed to use a campaign bank account to deposit all contributions or loans made between 1993 and 1995.

GIANT GROUP, LTD., SI-99/414 (2000)

84200 - One (1) count
\$600 fine

Giant Group, Ltd., located in Beverly Hills failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

GILBERT, GLENN T., SI-99/796 (2000)

87300 - One (1) count
\$500 fine

Glenn T. Gilbert, a principal consultant at the California Assembly Rules Committee for Assemblyman John Longville, failed to timely file his 1998 annual Statement of Economic Interests.

GILLIES, DUGALD, SI-79/60 (1980)

86107 (currently 86113) and 86110 (currently 86117)
Twelve (12) counts
\$2,500 fine
\$1,250 waived

Dugald Gillies, lobbyist for the California Association of Realtors, failed to file 12 financial disclosure reports timely between February 1, 1978, and August 31, 1979.

GIRARDI, KEESE AND CRANE, MD-90/387 (1991)

84200 (b) - One (1) count
\$2,000 fine

Girardi, Keese and Crane, a law firm, failed to timely file a campaign disclosure statement. During 1988, this law firm made campaign contributions totaling \$148,500 to candidates and committees and failed to report these contributions on a major donor statement.

GOGGIN, TERRENCE, SI-81/11 (1984)

87206 - Five (5) counts
87207 - Nine (9) counts
\$13,500 fine

Assemblyman Terry Goggin committed state financial disclosure law violations with respect to financial statements filed by him reporting personal and business

investments and interests in calendar years 1977 through 1982 which contained errors and omissions.

GOLDMAN, JOHN & MARCIA, SI-2000/484 (2000)

84203 - One (1) count
\$2,000 fine

John & Marcia Goldman of San Francisco failed to file a late contribution report during the March 7, 2000, primary election.

GOLDSMITH, MARVIN, SI-95/173 (1996)

87100 - Three (3) counts
\$4,500 fine

Marvin Goldsmith, former Senior Assistant Attorney General of the Tort and Condemnation Section of the Civil Law Division, Department of Justice, violated the Act when, in his capacity as section chief, he made recommendations to employ as outside counsel, and to pay the costs of legal services of, a law firm which had been a donor of a gift to him within 12 months prior to the time the decisions were made.

GONSALVES, JOE, SI-81/13 (1982)

86203 - One (1) count
\$2,000 fine
\$1,000 waived

In mid-November 1980, lobbyist Joe Gonsalves wrote a number of legislators inviting them to attend a dinner being sponsored by a group of California cities represented by Gonsalves. The dinner was to be held at the Firehouse restaurant in Sacramento on January 28, 1981, and the legislators were requested to RSVP to Gonsalves at his office. A second series of letters were sent out by Gonsalves on December 2, 1980, informing the same legislators that the date had been changed.

In addition to handling the invitations and acceptances for the dinner, Gonsalves and/or his staff made all the necessary arrangements for the dinner, including selection of the place, menu, and wine. A sign proclaiming the "GONSALVES DINNER" was posted in the Firehouse restaurant the night of the dinner.

The total cost of the dinner was \$4,573.60 with each of Gonsalves' eight client cities paying \$571.70 of the total.

The cost per person came to \$43.56 - well above the \$10 limit. One hundred and five people attended the dinner, including 32 state legislators, the State Treasurer, and one legislative aide.

GONZALEZ, JIM, SUPERVISOR JIM GONZALEZ CAMPAIGN COMMITTEE, AND MARGARET KITAMURA, TREASURER, SI-91/170 (1992)

84211 - One (1) count
\$500 fine

Jim Gonzalez, San Francisco City and County Supervisor, his committee and its treasurer, failed to disclose 33 contributions received totaling \$14,150 on a semi-annual statement covering January 1 through June 30, 1988. The contributions were omitted accidentally when the campaign statement was filed on time on July 31, 1988.

GONZALES, LEONARD, SI-86/383 (1987)

87300 - Two (2) counts
\$4,000 fine

Leonard Gonzales failed to file required financial disclosure statements in 1984 and 1985 while a member of the California Veterans Board. The violations were part of a pattern which began with respondent's late submission of his assuming office Statement of Economic Interests in 1983.

GONZALES, LEONARD JR., SI-94/495 (1996)

87203 - Two (2) counts
\$4,000 fine

The Commission issued a Default Decision and Order against Leonard Gonzales, Jr., a planning commissioner for the City of San Bernardino, who failed to file his annual Statements of Economic Interests for 1993 and 1994.

GOOD DRIVER INITIATIVE, SPONSORED BY A CONSUMER, LEGAL, FINANCIAL & HEALTH COALITION/YES ON PROPOSITION 100, AND LANCE OLSON, TREASURER, SI-90/620 (1992)

84211 - Three (3) counts
84211 and 84303 - Five (5) counts
\$16,000 fine

The Good Driver Initiative Committee failed to properly report campaign expenditures in 1988. This committee, which was sponsored by the California Bankers Association, the California Chiropractic Association, the California Trial Lawyers Association, and the Insurance Consumer Action Network, was formed to support Proposition 100 on the November 1988 ballot.

The Committee made over \$16 million worth of expenditures on behalf of Proposition 100 (dealing with automobile insurance), but failed to report \$245,000 in accrued expenditures and a \$20,000 contribution to the No on 106 Committee. Subvendor information was either omitted or understated for payments totaling over \$1 million.

GORDON HOLDINGS LP, SI-2000/469 (2000)

84203 - One (1) count
\$1,500 fine

Gordon Holdings LP of Corona failed to file a late contribution report during the March 7, 2000, primary election.

GORDON, R.J., SI-2000/398 (2000)

84203 - One (1) count
\$1,500 fine

R.J. Gordon of Los Angeles failed to file a late contribution report during the March 7, 2000, primary election.

GORMAN, STEPHEN B., COMMITTEE TO ELECT STEPHEN GORMAN JUDGE DISTRICT #3 AND JOHN F. WARDEN, JR., SI-99/82 (2000)

84203 - Three (3) counts
\$2,250 fine

Stephen B. Gorman, unsuccessful candidate for El Dorado County Superior Court, his controlled committee, the Committee to Elect Stephen Gorman Judge District #3, and its treasurer, John F. Warden, Jr., failed to file three late contribution reports disclosing the receipt of \$38,000 in loans in 1996.

GRAHAM, JAMES M., SI-83/402 (1987)

86110 (currently 86117) -Three (3) counts

\$3,000 fine
\$1,000 waived

James M. Graham, an attorney and part time lobbyist failed to file quarterly lobbyist disclosure statements during 1983 and 1984. These failures were aggravated by Graham's repeated refusals to file the statements and by his refusal to cooperate with a Franchise Tax Board audit of the statements.

GRAND SUNRISE, INC., SI-94/534 (1995)

84300(c) and 84301 - Twenty-two (22) counts
\$41,000 fine

Grand Sunrise, Inc., a California corporation with headquarters in Taipei, Taiwan, and in the business of real estate investment, laundered contributions totaling \$10,500 in the names of various individuals to three Los Angeles City Council candidates in 1991. Grand Sunrise was developing property in Los Angeles at the time.

GRANITE CONSTRUCTION COMPANY, SI-96/149 (1998 CIVIL SUIT)

84200 and 84211
\$2,000 fine

Granite Construction Company, doing business in California, builds highways, freeways, and grades, and paves roads and performs other construction services, failed to disclose loans made for the purpose of signature gathering for the Gaming Control Act of 1996 on a major donor campaign statement filed in 1996.

GRAY, GLORIA, AND FRIENDS OF GLORIA GRAY, SI-95/219 (1996)

84200 and 84200.8 - Two (2) counts
\$6,000 fine

The Commission issued a Default Decision and Order against Gloria Gray, a candidate for Inglewood School Board, and her committee, who failed to timely file campaign statements in 1995.

GREEN-GETER, CYNTHIA, FRIENDS OF CYNTHIA GREEN-GETER, AND COMMITTEE TO ELECT CYNTHIA GREEN-GETER FOR STATE ASSEMBLY, 52ND DISTRICT, SI-96/68 (1998)

84200 - Five (5) counts
84200.5 and 84200.8 - One (1) count
\$12,000 fine

The Commission issued a Default Decision and Order against Cynthia Green-Geter, successful incumbent candidate for the Lynwood Unified School District in 1995 and candidate for State Assembly in 1996, and her committees Friends of Cynthia Green-Geter, and Committee to Elect Cynthia Green-Geter for State Assembly, 52nd District, who failed to timely file campaign statements in 1995 and 1996.

GREEN VALLEY CORPORATION, SI-95/568 (1996)

84300 and 84301 - Twelve (12) counts
\$20,000 fine

Green Valley Corporation, a construction and development company located in San Jose, laundered campaign contributions made to Pat Dando, a candidate for the San Jose City Council, in 1995.

GREENE, BILL, BILL GREENE FOR SENATE, AND JAMES SHELTON, SI-78/72 (1980)

84210 (currently 84211) - Twenty-eight (28) counts
87203 and 87207 - One (1) count
\$36,000 fine
\$24,000 waived

Senator Bill Greene, the Bill Greene for Senate Committee, and treasurer James L. Shelton failed to report 77 transactions involving expenditures of \$13,737 and improperly reported an additional 12 expenditures of \$5,648. They also failed to report 20 contributions totaling \$8,215 and improperly reported an additional 22 contributions totaling \$12,800.

Greene also personally failed to disclose on his annual Statement of Economic Interests gifts received from his campaign committee amounting to \$7,618, of which \$5,208 was used to pay his income taxes.

The other violations included recordkeeping violations and failure to use due diligence to ensure accurate campaign reporting.

GREER, PHIL, SI-84/114 (1985)

84305 - One (1) count
\$1,250 fine

Former Long Beach City Council candidate Phil Greer violated the campaign mailing identification requirements in the April 1984 city election by failing to properly identify a hit piece mailed to Long Beach voters.

A mailing sent by Greer to 1,000 4th District residents was in the form of a personal letter that appeared to be from a concerned citizen of Long Beach, Kelly Green. Green, an employee of the print shop where the mailing was produced, lent her name to the mailing at Greer's request, and was the person identified as the sender on the outside of the mailing.

GRESHAM, VARNER, SAVAGE, NOLAN & TILDEN, MARK OSTOICH, PATRICE DALMAN, AND JAMES ROBERTSON, SI-95/455 (1996)

84300 and 84301 - Seventy-Five (75) counts
84300 and 84302 - Forty-Six (46) counts
\$228,000 fine

Gresham, Varner, Savage, Nolan & Tilden, a business and real estate law firm located in San Bernardino, and Mark Ostoich, a partner, Patrice Dalman, a staff member, and James Robertson, a business associate and friend of Mark Ostoich, laundered contributions made to candidates and members of the San Diego City Council or San Diego County Board of Supervisors.

GRIFFIN HOMES, SI-88/528 (1989)

84300 (c) - Twenty-two (22) counts
84211 (h) (j) (m) - Two (2) counts
\$47,000 fine

Griffin Homes, a southern California developer, laundered more than two dozen contributions through employees in 1985, 1987, and 1988. A majority of the contributions involved amounts which would avoid having the employees listed by name, occupation, and employer. Griffin Homes also failed to itemize \$4,820 in

contributions made in 1986 and to properly disclose \$3,768 in contributions made in 1987 on major donor campaign statements.

GRIFFITH COMPANY, SI-99/416 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,000 fine

Griffith Company, located in Santa Fe Springs, failed to timely file a major donor campaign statement for contributions made to Richard Katz Senate Committee; Yes on Proposition 2 Committee; and Lungren Committee for Common Sense Conservatism in 1998. In addition, it failed to file a late contribution report for a contribution made to Lungren Committee for Common Sense Conservatism.

GRIMM, BARBARA, SI-99/366 (2000)

84200 - One (1) count
\$1,500 fine

Barbara Grimm of Bakersfield failed to timely file a major donor campaign statement for monetary and in-kind contributions made in 1998 to gubernatorial candidate Dan Lungren, Citizens for Julie Alban Committee, Friends of Marilyn Brewer Committee, Tim Leslie for Governor Committee, and Quackenbush for Senate 1998 Committee.

GRISET, DANIEL, GRISET CAMPAIGN COMMITTEE, AND THE SANTA ANA PROGRESS COMMITTEE, SI-88/442 (1991)

84305 - Five (5) counts
\$10,000 fine

The Commission accepted an administrative law judge's findings that Daniel Griset, Santa Ana City Councilmember, and his controlled committees, sent five mass mailings without proper sender identification during his successful 1988 re-election campaign.

Four mailings, identified as coming from the "Santa Ana Progress Committee," and one mailer, purportedly from the "Washington Square Neighborhood Association," attacked Griset's opponent in the November 1988 city council election. None of the mass mailings identified Griset as the controlling

candidate on the outside of each piece of mail. In addition, one mailing did not contain the name of the committee that was the sender. (At the time of this publication, the decision is still under court review.)

GUERRA, REY, SI-96/144 (1997)

87100 - One (1) count
\$2,000 fine

Rey Guerra, a member of the Corcoran District Hospital Board of Directors, violated the conflict of interest provisions by participating in discussions on issues related to a contract for pharmaceutical services by a competitor of a drug company in which he had financial interest.

HAGESTAD INVESTMENT, SI-98/495 (1999)

84200 - One (1) count
\$1,250 fine

Hagestad Investment of Irvine failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

HALL, JAN, FRIENDS OF JAN HALL COMMITTEE, AND ROBERT GOUTY, SI-88/95 (1992)

84305 - Four (4) counts
\$6,000 fine

Jan Hall, candidate for Mayor of the City of Long Beach, her committee, and Robert Gouty, a paid political consultant failed to identify the committee as the sender of four mass mailings.

HALL, LARRY, AND SAGWA DEVELOPMENT CORPORATION, SI-91/418 (1993)

84300 and 84301 - Three (3) counts
\$4,500 fine

The Commission issued a Default Decision and Order against Larry Hall and Sagwa Development Corporation, which laundered contributions in 1989 totaling \$747 to Fremont City Council candidates Alane Loisel and Bill Ball.

HALLORAN, WILLIAM J., SI-99/173 (1999)

84301 - Fifteen (15) counts
\$26,000 fine

William J. Halloran, president and major owner of an asphalt crushing and recycling plant located in Vista, laundered campaign contributions to two candidates for Vista City Council in 1996.

HALTENHOFF, RICK, SI-90/299 (1993)

87100 - Eight (8) counts
\$15,000 fine

Rick Haltenhoff, former Santa Clara County geologist, committed conflict of interest violations in 1990 by recommending that additional geological work be done on projects which he knew would probably be awarded to his firm, Associated Terra Consultants (ATC). The projects were necessitated because of damage caused by the Loma Prieta earthquake in late 1989. In each instance, the project was approved and ATC received the contract.

HAMMOND, CHARLES, SI-89/360 (1991)

87100 - One (1) count
87207 - One (1) count
\$4,000 fine

Charles Hammond, Fairfield City Councilman, committed a financial disclosure violation in 1988 based on a source of income in the form of a loan, which he failed to report on his annual Statement of Economic Interests. Mr. Hammond participated in a decision benefiting this source of income by voting to approve and adopt an ordinance allowing the outdoor display of retail merchandise in the Fairfield business district.

HAMMOND, CHARLES E., SI-95/432 (1996)

87200 and 87207 - One (1) count
87200 and 87206 - One (1) count
\$4,000 fine

Charles E. Hammond, Mayor of the City of Fairfield, failed to disclose receipt of a loan and failed to disclose a reportable leasehold interest in real property on his 1992 through 1994 annual Statements of Economic Interests.

HANYECZ, RICKI; MICHAEL HANYECZ, AND SIMA KATZIR, SI-96/117 (1998)

84301 and 84300 - Forty-Seven (47) counts
\$94,000 fine

Ricki Hanyecz and Michael Hanyecz, owners and corporate officers of Rimi Security Systems, Inc., which did most of their business in Orange County, Los Angeles County, and various cities in Northern California, and Sima Katzir, Ricki Hanyecz's sister, reimbursed persons for making 47 campaign contributions during 1992 to 1994 to various candidates for state and local elected office in California.

HARD ROCK CAFE AMERICA, L.P., AND THE MELROSE CORPORATION, SI-95/28 (1995)

84300(c) and 84301 - Eight (8) counts
\$10,200 fine - Hard Rock Cafe
\$3,400 fine - Melrose Corporation

Hard Rock Cafe America and the Melrose Corporation laundered \$12,750 in contributions in the names of the company president and his wife during 1990 and 1992 to eight state and local candidates.

HARNESS HORSEMEN'S POLITICAL ACTION COMMITTEE AND IVAN AXELROD, TREASURER, SI-92/405 (1993)

84200 - Four (4) counts
84200.6 and 84200.7 - Two (2) counts
\$6,000 fine

Harness Horsemen's Political Action Committee and Ivan Axelrod, treasurer, failed to timely file four semi-annual campaign statements and failed to file two pre-election campaign statements between July 1989 and June 1991.

HARO, RON, SI-99/276 (1999)

87302 - One (1) count
\$300 fine

Ron Haro, a governing board member of the Minarets Joint Union High School District in Madera County, failed to timely file his 1998 annual Statement of Economic Interests.

HART, WILSON, FRIENDS OF WILSON HART COMMITTEE, SI-89/95 (1990)

84305 - Two (2) counts
\$4,000 fine

The Commission accepted the administrative law judge's findings that Wilson Hart failed to provide required sender identification on two campaign mailers sent to approximately 20,600 voters during his unsuccessful campaign to return to the Santa Ana city council.

The mailing did not identify on its outside the name or address of the Friends of Wilson Hart Committee or respondent as the sender of the mailing, as required.

HARVEY, TRICE, COMMITTEE TO ELECT TRICE HARVEY, SI-88/225 (1989)

84203 - Three (3) counts
\$5,000 fine

Assemblyman Trice Harvey and his campaign committee failed to properly disclose 16 late contributions during the 1986 general election totaling \$88,000. A state campaign audit found that the 16 late contributions received were either not disclosed or were reported two to seven days late during the final two weeks of the election.

HARVEY, TRICE, COMMITTEE TO ELECT TRICE HARVEY, MIKE ABERNATHY, FC-86/512 (1987)

84305 - One (1) count
\$1,000 fine

The Committee to Elect Trice Harvey was not identified on a mass mailer which appeared to be an official letter from Governor Deukmejian's office, sent in an envelope bearing a facsimile of the Great Seal of California, and the Governor's return address. Inside the envelope was a letter of endorsement for Trice Harvey on what appeared to be Governor Deukmejian's official stationery and signed by the Governor. Also enclosed was a pre-printed return envelope for contributions addressed to the committee, which paid for the cost of the mailer.

HATCH, BRIAN, SI-84/224 (1985)

86107 (currently 86113) - One (1) count
 86110 (currently 86117) - One (1) count
 86203 - One (1) count
 \$7,000 fine
 \$4,000 waived

Brian L. Hatch, the Director of Governmental Affairs for the Federated Fire Fighters of California and a registered lobbyist since 1974, violated the gift limitations prohibition and reporting and recordkeeping requirements for California lobbyists.

Hatch failed to file disclosure statements for the second and third quarters of 1983 until the day of a Franchise Tax Board audit in January 1985. His fourth quarter statement for 1983 was not filed until September 1984.

An audit showed that Hatch failed to timely report more than 80 gifts made to public officials during 1983 and 23 gifts made to public officials during 1982.

Hatch also violated the gift limitations prohibition for lobbyists by providing gifts to officials in excess of \$10 on 13 occasions in 1982 and on 15 occasions in 1983.

HAUSEY, WILLIE E., SI-88/66 (1988)

86116(f) - One (1) count
 86205(a) - One (1) count
 \$3,000 fine

Sacramento lobbyist Willie Hausey made a personal loan to the chairperson of the state Senate Health and Welfare Committee, Senator Diane Watson. Mr. Hausey failed to provide timely disclosure of the \$5,000 loan and placed the senator under personal obligation to him.

The loan was first disclosed on March 14, 1988, in Sacramento County Superior Court where a suit involving Senator Watson was pending. Mr. Hausey did not disclose the activity expense of the loan on a required lobbyist statement until two days after the disclosure in court.

HAWTHORNE, JAMES T., SI-89/18 (1991 - CIVIL SUIT SETTLEMENT)

87100
 87207

\$165,000 fine

Mr. Hawthorne as an appointed member of the California Transportation Commission participated in 14 decisions during 1987-1989 to approve the allocation of funds for specific construction projects in San Diego County. Since Mr. Hawthorne owned substantial interests in three business entities that sell, rent, or repair construction equipment in San Diego County, it was reasonably foreseeable that the decisions would have a material financial effect on Mr. Hawthorne's investment interests.

On his annual Statements of Economic Interests covering 1986 through 1989, Mr. Hawthorne failed to disclose 3,270 sources of over \$10,000 of income to his business entities.

The case was aggravated by the fact that Mr. Hawthorne received a written warning in 1986 about his conflict of interest obligations.

HAYDEN, TOM, SI-2000/417 (2000)

84203 - One (1) count
 \$1,500 fine

Tom Hayden of Burbank failed to file a late contribution report during the March 7, 2000, primary election.

HAYES, THOMAS, TOM HAYES FOR TREASURER IN 1990, AND THOMAS HILTACHK, TREASURER, SI-93/90 (1994)

84211 - One (1) count
 \$2,000 fine

Thomas Hayes, unsuccessful candidate for State Treasurer in 1990, his controlled committee Tom Hayes for Treasurer in 1990, and Thomas Hiltachk, treasurer, failed to properly itemize expenditures totaling \$285,000 for the reporting period from May 20, 1990, through June 30, 1990.

HELLMAN, F. WARREN, SI-2000/463 (2000)

84203 - One (1) count
 \$1,500 fine

F. Warren Hellman of San Francisco failed to file a late contribution report during the March 7, 2000, primary election.

HELMS, VERNON DONALD, SI-93/248 (1995)

87100 - Two (2) counts
 87207 - Three (3) counts
 \$9,000 fine

Vernon Donald Helms, Superintendent of the Corona-Norco Unified School District in Riverside County, violated the conflict of interest disqualification provisions when he used his official position to influence decisions regarding mitigation tax fees which affected real property, in which he had a financial interest in 1992. In addition, Helms failed to disclose a source of income on his Statements of Economic Interests during 1990 to 1992.

HENDERSON, DEXTER, SI-96/367 (1998)

84200 and 84206 - Two (2) counts
 84200.8 - Two (2) counts
 84200 - One (1) count
 87203 and 87300 - One (1) count
 87204 and 87300 - One (1) count
 \$7,000 fine

Dexter Henderson, a candidate for the Inglewood School Board in 1993 and 1997, failed to timely file campaign statements and failed to timely file statements of economic interests during 1993 through 1997.

HENSEL, GEORGE ROBERT, SI-92/246 (1993)

84300(c) and 84301 - Two (2) counts
 84305 - One (1) count
 \$5,000 fine

George Hensel, candidate for Hacienda Heights City Council, violated mass mailing provisions by failing to properly identify himself as the sender of a mailer sent out during his 1992 campaign. In addition, in 1989 and 1990, Hensel laundered two contributions made in support of Montebello and Monterey Park city council candidates.

HERNANDEZ, LUIS, HUNTINGTON PARK CITIZENS TO ELECT LUIS HERNANDEZ, AND HUNTINGTON PARK CITIZENS FOR RESPONSIBLE GOVERNMENT, SI-89/404 (1993)

84101 - One (1) count
 84104 - One (1) count
 84200 - One (1) count

84200.5 and 84200.8 - Two (2) counts
 84203 - Two (2) counts
 84204 - One (1) count
 84211 - One (1) count
 84300 - Two (2) counts
 \$20,000 fine

The Commission issued a Default Decision and Order against Luis Hernandez, Huntington Park City Councilman, and his two committees for violations of the campaign disclosure provisions. During his 1990 campaign, Hernandez and his committee, Huntington Park Citizens to Elect Luis Hernandez, failed to file any campaign statements or late contribution reports whatsoever until well after the election was over. They also failed to comply with provisions restricting the size of cash contributions and expenditures and did not properly itemize certain contributions.

Also, Hernandez and his committee, Huntington Park Citizens for Responsible Government, failed to timely file a statement of organization and a semi-annual statement. The committee also failed to timely file a late independent expenditure report and failed to maintain adequate campaign records.

HEWITT, JAN, COMMITTEE TO REELECT JAN HEWITT, SI-83/18 (1984)

84211 and 84213 - One (1) count
 84305 - One (1) count
 \$2,000 fine

Solano County Supervisor Jan Hewitt and her campaign committee failed to identify the true sender of a campaign mailer attacking a political opponent and failed to acknowledge control of a local campaign committee. Hewitt and her committee mailed 8,862 pieces of negative literature to Solano County voters a few days before the June 8, 1982, election. The mailers attacked her opponent, Carl Carlson, and were alleged to have been sent by a committee named "Citizens for Fair Play."

HEWLETT PACKARD, SI-2000/473 (2000)

84203 - Four (4) counts
 \$8,000 fine

Hewlett Packard of Sacramento failed to file a late contribution report during the March 7, 2000, primary election.

HIBER, HARVEY, SI-97/322 (1999)

84301 - One (1) count
\$2,000 fine

Harvey Hiber, a San Diego County Municipal Court Judge, laundered a campaign contribution of \$250 through his court clerk to the Paul Pfingst for District Attorney campaign in 1994.

HILMAR CHEESE COMPANY, INC., SI-99/368 (2000)

84200 - One (1) count
\$600 fine

Hilmar Cheese Company, Inc., located in Hilmar failed to timely file a major donor campaign statement for contributions made to Bill Jones for Secretary of State, Lungren Committee for Common Sense Conservatism, Friends of Senator Dick Monteith, Friends of Dennis Cardoza, and Friends of Gray Davis Committee in 1998.

HODGSON, JOHN, CALIFORNIA HOSPITAL ASSOCIATION, SI-86/240 (1987)

84206 (currently 84203) - One (1) count
\$6,500 fine

John Hodgson, treasurer of Californians Against Proposition 41, and the California Hospital Association failed to disclose \$278,000 in late contributions received to oppose a November 1984 welfare reform initiative. The contributions consisted of a \$130,000 loan from the California Hospital Association and a \$148,000 contribution from the association's political arm, California Hospital Association Initiative Fund.

HOGGE, WILLIAM E., SI-95/351 (1997)

87102.5 - One (1) count
\$1,700 fine

William E. Hoge, a member of the California State Assembly, violated the conflict of interest provisions when he initiated a pay raise to a staff member in 1996 to whom he owed a \$10,000 loan.

HOLDEN, HARVEY, SI-87/519 (1988)

87207(a)(4) - One (1) count
\$2,000 fine

Former Mayor Harvey Holden of Walnut failed to disclose on his Statement of Economic Interests gifts of land grading and fill dirt received in 1987 from a developer whose project he had supported.

HOLLOWAY, STANLEY, SI-99/617 (2000)

87203 - One (1) count
\$300 fine

Stanley Holloway, member of the Richmond Planning Commission, failed to timely file his 1998 annual Statement of Economic Interests.

HOLMAN, JAMES E., SI-2000/480 (2000)

84203 - Two (2) counts
\$1,500 fine

James E. Holman of Coronado failed to file a late contribution report during the March 7, 2000, primary election.

HOLMAN, WILLIAM, SI-95/541 (1997)

87100 - One (1) count
\$2,000 fine

William Holman, a member of the Tuolumne County Board of Supervisors, violated the conflict of interest provisions when he voted to authorize county staff to structure a proposal to implement the South Shore project, an entity from which he had received gifts of travel, lodging, food and recreation in 1995.

HOLMES, SHERA, SI-99/294 (2000)

87300 - Two (2) counts
\$4,000 fine

The Commission issued a Default Decision and Order against Shera Holmes, a staff member for the California State Assembly, who failed to file her 1997 and 1998 annual Statements of Economic Interests.

HORCHER, PAUL, AND FRIENDS OF ASSEMBLYMAN PAUL HORCHER, SI-92/71 (1998)

84102 - One (1) count

84200 - One (1) count
 84203 - One (1) count
 84204 - One (1) count
 \$6,000 fine

Paul Horcher, member of the California State Assembly, 52nd Assembly District in Los Angeles County, failed to properly disclose information about himself as the controlling candidate and the purpose of the Southern California Taxpayers Committee ("SCTC") on the statement of organization. In addition, Mr. Horcher as controlling candidate for SCTC, a committee whose only activity was to produce a negative political mailer, failed to timely and properly file a late independent expenditure report disclosing a late independent expenditure made for the mailer in opposition to a candidate in the 1991 Diamond Bar City Council election, and failed to file a semiannual campaign statement. Finally, the Friends of Assemblyman Paul Horcher Committee failed to report a late contribution to SCTC for additional costs to produce the "hit piece" mailer.

HORSEMEN'S BENEVOLENT AND PROTECTIVE ASSOCIATION, INC., SI-87/24 (1988)

84200(b) - One (1) count
 84203(b) - One (1) count
 \$1,250 fine

Horsemen's Benevolent and Protective Association, Inc., contributed \$100,000 to the No on 37 Committee on October 26, 1984, but failed to timely file a major donor campaign statement and a late contribution report.

HORSPPOOL, J. DAVID, COMMITTEE TO ELECT J. DAVID HORSPPOOL, CITIZENS FOR J. DAVID HORSPPOOL, SI-88/148 (1989)

87100 and 87103 - One (1) count
 84211 (c) and 84211 (f) - Four (4) counts
 \$10,000 fine

Moreno Valley City Councilmember J. David Horspool committed conflict of interest and campaign reporting violations.

The four campaign reporting violations involved the failure of the Committee to Elect J. David Horspool and Citizens for J. David Horspool to cumulate and itemize

at least a dozen contributions received in 1985 and 1986. The failure to properly cumulate the contributions, which individually totaled \$99 or less, resulted in the contributions never being identified by name or employer.

The conflict of interest violation involved a March 1985 decision to approve a 48-lot subdivision by Sykes Enterprises. Councilmember Horspool had a financial interest in the decision requiring disqualification because of income received from Albert Sykes, sole owner of the company developing the subdivision. The developer, within 12 months of the decision, had paid the councilmember's law firm approximately \$1,800 in legal fees, resulting in pro rata income to the official of \$600.

HORTON BARBARO & REILLY, SI-2000/475 (2000)

84203 - One (1) count
 \$2,000 fine

Horton Barbaro & Reilly located in Santa Ana failed to file a late contribution report during the March 7, 2000, primary election.

HOWARD, EDWARD, SI-81/03 (1982)

87203 - One (1) count
 87206, 87207, 87300, and 87100 - Two (2) counts
 \$6,000 fine

Edward L. Howard, planning director of Glenn County, violated the conflict of interest code of the Glenn County Planning Agency, when he participated in decisions in which he had reportable financial interests. Mr. Howard also failed to properly disclose those financial interests on his Statement of Economic Interests.

HOY-SUN NING BENEVOLENT ASSOCIATION AND NING YUNG POLITICAL ACTION COMMITTEE (HOY-SUN NING YUNG BENEVOLENT ASSOCIATION), SI-94/167 (1994)

84301 and 84300 - Twenty-four (24) counts
 84200 - Four (4) counts
 \$42,800 fine

The Hoy-Sun Ning Yung Benevolent Association ("Association") and Ning Yung Political Action Committee (Hoy-Sun Yung Benevolent Association),

laundered 24 contributions totaling \$10,950 primarily to Bay Area candidates and failed to timely file semi-annual campaign statements for years 1990-1993.

HSIEH, TOM, “SUPERVISOR TOM HSIEH 92 (RE-ELECT),” “TOM HSIEH FOR MAYOR,” AND ROSALYN KOO, TREASURER, SI-94/655 (1995)

84211 and 84213 - Two (2) counts
84213 - Two (2) counts
\$7,000 fine

Tom Hsieh, a candidate in 1992 for re-election on the San Francisco Board of Supervisors and a San Francisco mayoral candidate in 1991, his committees, “Supervisor Tom Hsieh 92 (Re-elect),” “Tom Hsieh for Mayor,” and Rosalyn Koo, treasurer, failed to learn the true identity of the contributor on two consecutive cashier’s checks, and in two other instances, failed to secure adequate documentation from persons whose contributions were received in a single check.

HUGGINS, BILL, AND THE COMMITTEE TO ELECT BILL HUGGINS, SI-89/190 (1990)

84211 (j) and 84216 (d) - One (1) count
84213 - One (1) count
\$4,000 fine

Bill Huggins, unsuccessful 1986 candidate for the Suisun City Council, loaned his committee \$15,000 for the November election and repaid \$14,000 on the loan three days later, but failed to report the committee expenditure on the pre-election campaign statement. The repayment was not reported until after the November election when Huggins filed his second semi-annual statement in January 1987. Huggins also violated the law by failing to correct any inaccuracies and omissions on the statement of which he was aware.

HUGHES, WALTER, SI-99/547 (2000)

87300 - One (1) count
\$500 fine

Walter Hughes, legislative aide for the California State Assembly, failed to timely file his 1998 annual Statement of Economic Interests.

HUNTER, TRICIA, THE COMMITTEE TO ELECT TRICIA (PATRICIA) HUNTER AND MYRNA R. ALLEN, TREASURER, SI-91/332 (1991)

84203 - Six (6) counts
\$7,000 fine

Tricia Hunter, successful candidate in the 76th Assembly District special election held on August 8, 1989, and the special runoff election on October 3, 1989, the Committee to Elect Tricia (Patricia) Hunter, and Myrna R. Allen, committee treasurer, failed to timely file six late contribution reports for contributions totaling \$36,963.

HYATT SAINTE CLAIRE, SI-95/539 (1996)

84300 and 84301 - Four (4) counts
\$6,800 fine

The Hyatt Sainte Claire, a hotel located in San Jose, laundered three campaign contributions to San Jose City Council candidate Pat Dando and one to Meri Maben in 1995.

HYSEN, CARL L., CARL L. HYSEN FOR SUPERVISOR COMMITTEE, AND RICHARD ULSH, TREASURER, SI-91/10 (1992)

84104 - One (1) count
84300 - Four (4) counts
\$8,000 fine

Carl Hysen, unsuccessful candidate for San Luis Obispo County Supervisor in 1988, his committee, and its treasurer failed to accurately maintain campaign records for cash contributions totaling approximately \$13,000 and received four illegal cash contributions totaling \$500.

INDEPENDENT PAC LOCAL 188 INTERNATIONAL ASSOCIATION OF FIREFIGHTERS AND RICHARD KALAYJIAN, SI-97/533 (2000)

84211 – Eight (8) counts
84216 – One (1) count
\$17,000 fine

Independent PAC Local 188 International Association of Firefighters located in Richmond and its treasurer,

Richard Kalayjian, improperly reported contributions by “retired firefighters” on its 1997 campaign statement and incorrectly reported that a loan was outstanding.

INDUSTRY MANUFACTURERS COUNCIL, SI-80/55 (1981)

84104 - One (1) count
84201 (currently 84200.5) - Two (2) counts
84206 (currently 84203) - Two (2) counts
\$2,000 fine

Industry Manufacturers Council, a nonprofit corporation which contracts with the City of Industry to promote industrial development, failed to file proper campaign statements on four separate occasions, disclosing contributions to the Friends of Van de Kamp, a committee formed to support the re-election of Los Angeles County District Attorney John Van de Kamp.

INGLEWOOD GENERAL HOSPITAL, MD-87/361 (1988)

84200(b) - One (1) count
\$1,900 fine

Inglewood General Hospital of Los Angeles failed to timely file a major donor campaign statement for contributions totaling \$19,550 in 1986.

INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS COMMITTEE ON POLITICAL EDUCATION, SPONSORED BY INTERNATIONAL BROTHERHOOD OF ELECTRICAL WORKERS, SI-99/347 (2000)

84200.5, 84200.7 and 84200.8 – Four (4) counts
84203 – Three (3) counts
\$10,000 fine

International Brotherhood of Electrical Workers Committee on Political Education, a state general purpose committee and sponsored by International Brotherhood of Electrical Workers, failed to timely file four pre-election campaign statements in 1996 and failed to timely file three late contribution reports in 1995 and 1996.

INVESTMENT BUILDING GROUP, SI-91/120 (1991)

84301 and 84300 (c) - Six (6) counts
\$12,000 fine

The money laundering case involved Investment Building Group (IBG), a real estate development and investment company owning several properties in Fremont and throughout Alameda County, and the 1989 campaigns of Fremont City Councilman Gary Mello and Alameda County Supervisor Charles Santana.

In 1989, IBG made five contributions totaling \$1,245 to the re-election campaign of Gary Mello, and an additional contribution of \$150 to Charles Santana in the names of other people. The laundered contributions were made through the names of IBG employees.

IRISH, SETH HENRY, III, SI-94/481 (1998)

87100 - Three (3) counts
87207 - Four (4) counts
\$8,000 fine

Seth Henry Irish, III, a member of the Hollister City Council from 1990 to 1997, and also Mayor of Hollister from 1992 to 1993, failed to disclose sources of income to his sole proprietorship business and from the sale of his residence on statements of economic interests between 1993 and 1996 and participated in or attempted to influence governmental decisions that involved a source of income to his business in 1993 and 1994.

IRVINE, KENNETH, SI-2000/384 (2000)

87300 and 87302– One (1) count
\$200 fine

Kenneth Irvine, a board member of the City of Coronado Visitors Bureau, failed to timely file an 1999 annual Statement of Economic Interests.

IRVINE POLICE ASSOCIATION POLITICAL ACTION COMMITTEE, AKA IRVINE POLICE ASSOCIATION POLITICAL EDUCATION COMMITTEE, SI-93/115 (1996)

84101 - One (1) count
84200 - Three (3) counts
84200/84200.5 and 84200.7 - One (1) count

84203.5 and 84204 - Two (2) counts
 84204 - Two (2) counts
 84214 - One (1) count
 89512.5 - One (1) count
 \$10,000 fine

The Irvine Police Association Political Action Committee, also known as Irvine Police Association Political Education Committee, failed to file numerous campaign statements and late independent expenditure reports, failed to file in a timely manner a statement of organization, improperly filed a termination statement, and filed inaccurate campaign reports. In addition, the political action committee improperly used campaign funds to make expenditures to the general fund account of the committee's sponsor.

J. G. ORBIS CORPORATION AND ISAO NAKAGAWA, CORPORATE SECRETARY, SI-94/451 (1995)

84300(c) and 84301 - Seven (7) counts
 \$11,900 fine

J. G. Orbis Corporation and Isao Nakagawa, corporate secretary, laundered seven contributions of \$750 each in the form of personal money orders from employees of the corporation to the Agnos for Mayor campaign committee.

JACKSON, CLAYTON, SI-80/61 (1982)

86107 (currently 86113) - Three (3) counts
 \$6,000 fine

Lobbyist Clayton R. Jackson failed to report \$10,000 in payments he received for securing passage of an 11th hour amendment which would substantially benefit his undisclosed client.

Mr. Jackson entered into a formal agreement to provide lobbying services to Specialized Systems, Inc. (SSI), a supplier of telecommunications equipment designed for use by deaf and hearing impaired persons. This firm was interested in overturning a PVC ruling requiring deaf persons to pay approximately one half of the monthly costs for the use of this equipment on their telephones, and SSI wanted the telephone company to finance all of the costs. Mr. Jackson agreed to attempt to obtain an amendment to then pending legislation.

JACKSON, THEODORE WARREN, SI-99/606 (2000)

87300 - Two (2) counts
 \$3,000 fine

Theodore Warren Jackson, Commissioner with the Fair Employment and Housing Commission, failed to timely file both a 1997 and a 1998 annual Statement of Economic Interests.

JEANPIERRE, L. ANDREW, SI-97/203 (1997)

84300 - Two (2) counts
 \$3,000 fine

L. Andrew Jeanpierre of San Francisco, made two cash contributions in the amount of \$250 each for the Committee to Re-Elect Frank Jordan Mayor in 1995.

JEFIMOFF, BARBARA, SI-97/204 (1997)

84300 - Three (3) counts
 \$4,500 fine

Barbara Jefimoff of San Francisco, received three cash contributions in the amount of \$250 each for the Committee to Re-Elect Frank Jordan Mayor in 1995.

JELINEK, DON, JELINEK FOR MAYOR COMMITTEE, AND WILLIAM SAMSEL, SI-96/525 (1997)

81004 - Eight (8) counts
 \$15,000 fine

Don Jelinek, candidate for Mayor of Berkeley in 1994, and his committee, Jelinek for Mayor Committee, and its treasurer, William Samsel, failed to exercise reasonable diligence in disclosure of contributors on campaign reports. These violations were uncovered through a Franchise Tax Board audit of the committee.

JOHANNESSEN, K. MAURICE, SI-94/610 (1995)

87102.5 - Two (2) counts
 \$4,000 fine

K. Maurice Johannessen, a member of the California State Senate, voted on legislation in June and August 1994 directly affecting Pacific Bell, a source of income to him.

JOHNSON, EPPAMINONDAS, SI-88/258 (1989)

84300(c) and 84301 - Six (6) counts
 84203 - One (1) count
 84211 (b) and 84211 (j) - One (1) count
 \$16,000 fine

Eppaminondas Johnson, a Sacramento restaurateur, laundered more than \$5,000 in contributions through intermediaries to local and state candidates from 1981 to 1986. The intermediaries were repaid by the businessman for their contributions. Mr. Johnson failed to disclose himself as the true source of the contributions.

Additionally, Mr. Johnson failed to file a late contribution report within 24 hours as required by law for one of the laundered contributions.

JOHNSON, VERNON, COMMITTEE TO ELECT VERNON JOHNSON, AND TONI GIAFFOGLIONE, SI-95/428 (1998)

84200 - Two (2) counts
 84200.5 and 84200.7 - Two (2) counts
 \$2,000 fine

The Commission adopted an administrative law judge's proposed decision in the matter of Vernon Johnson, unsuccessful candidate for the Board of Trustees of the Bassett Unified School District in La Puente and the Committee to Elect Vernon Johnson, and Toni Giaffoglione, treasurer, who failed to timely file required preelection and semiannual campaign statements during 1994 and 1995.

JOHNSON-MORTON, VALERIE C., AND COMMITTEE TO ELECT VALERIE JOHNSON-MORTON, SI-94/220 (1996)

89513 - One (1) count
 84200 - One (1) count
 \$3,000 fine

Valerie C. Johnson-Morton, an unsuccessful candidate for Mayor of Monrovia in 1994, used campaign funds during her campaign to purchase clothing. Johnson-Morton and her committee also failed to file a semi-annual post-election campaign statement due in 1994.

JOHNSTON, PATRICK, PAT JOHNSTON FOR SENATE, AND DANIEL NUTLEY, SI-96/114 (1997)

84204 - One (1) count
 84211 - One (1) count
 \$3,000 fine

Patrick Johnston, state Senator in 1996, and Pat Johnston for Senate, and Daniel Nutley, its treasurer, failed to timely file late independent expenditure reports and failed to properly report and disclose independent expenditures in 1996.

JOINT INDUSTRY COUNCIL, ELBERT W. COOK, EDWARD H. OCHINERO, AND BARRY WEISSWASSER, SI-86/252 (1987)

84101 - One (1) count
 84203 - Three (3) counts
 \$3,000 fine

Joint Industry Council, a political group, failed to file timely campaign disclosure reports in connection with the April 1986 Redding City Council elections. The group was a city general purpose committee, existing to oppose more than one candidate running in the Redding City Council election.

The committee did not file a timely statement of organization from which Redding voters could determine who or what the Joint Industry Council was.

The Joint Industry Council also failed to file late contribution reports for three contributions of \$1,000 or more which were received during the period of March 23 through April 7, 1986.

JONATHAN GREY & ASSOCIATES, SI-99/369 (2000)

84200 - One (1) count
 \$400 fine

Jonathan Grey & Associates, located in San Clemente, failed to timely file a major donor campaign statement for contributions made to Friends of Steve Apodaca committee, Tillotson for Assembly '98 committee, and the Lungren for Governor committee in 1998.

JONES, CLIFTON S., SI-88/56 (1988)

84301 - Six (6) counts

\$12,000 fine

Clifton Jones, Jr., a Cerritos-based developer, laundered \$10,890 in campaign contributions in 1985 and 1986. Jones made the contributions using employees, relatives, and friends, who were reimbursed by the developer. The contributions, ranging from a total of \$396 to \$3,762, were made in \$99 increments to six pro-development candidates for the Moreno Valley City Council.

JONES, M.W. "MICK", SI-84/331 (1987)

87100 - Four (4) counts

87206 and 87207 - Six (6) counts

\$13,500 fine

\$1,500 waived

Modoc County Supervisor Mick Jones voted on matters that affected a source of income involving local development projects owned or operated by Leisure Industries, Inc.

During the 12 months preceding his votes on the projects identified as California Pines Lake Unit No. 5 and Hill Unit No. 6, Jones received income from Leisure Industries which exceeded \$250. Jones received the income through his company Mobile Home Services. Jones failed to properly disclose income and loans to Mobile Home Services in 1983, 1984, and 1985.

JORDAN, FRANK M., COMMITTEE TO ELECT FRANK M. JORDAN MAYOR, AND ROY E. HAHN, TREASURER, SI-94/654 (1996)

84104 - Two (2) counts

84203 - Seven (7) counts

84211 - Seven (7) counts

84211 and 84303 - Six (6) counts

\$35,000 fine

Frank M. Jordan, a successful candidate for San Francisco Mayor in 1991, and his controlled committee and its treasurer, Roy E. Hahn, failed to timely disclose subvendor payments, accrued expenditures, and receipt of contributions, and failed to maintain required records for expenditures and contributions. In addition, they also failed to provide occupation and employer contributor information on campaign statements filed during 1991.

JORDAN II, THOMAS J., SI-2000/416 (2000)

84203 - One (1) count

\$1,500 fine

Thomas J. Jordan II of Santa Rosa failed to file a late contribution report during the March 7, 2000, primary election.

K. C. METAL PRODUCTS, INC., SI-97/208 (1997)

84300 and 84301 - Twelve (12) counts

\$21,600 fine

K. C. Metal Products, Inc., a business which manufactures metal joint hangers for commercial and residential buildings located in San Jose, laundered contributions made to San Francisco Mayoral candidate Willie Brown in 1995.

K. HOVNANIAN COMPANIES OF CA, INC., SI-99/384 (2000)

84200 - One (1) count

\$400 fine

K. Hovnanian Companies of CA, located in Irvine failed to timely file a major donor campaign statement for a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

KWPH ENTERPRISES, INC., DBA AMERICAN AMBULANCE, SI-96/551 (1997)

84300 and 84301 - Two Hundred Seven (207) counts

\$377,000 fine

KWPH Enterprises, Inc., a corporation doing business as American Ambulance, a company providing paramedic ambulance and other emergency medical services in Fresno County, laundered contributions made to various candidates in the Fresno and the San Diego areas between 1991 and 1996.

KARIPINENI, SHAKIRA, SI -91/375 (1993)

84300(c) - One (1) count

84301 - Twelve (12) counts

\$26,000 fine

The Commission rejected an administrative law judge's proposed decision that recommended a \$10,000 fine be assessed against Dr. Shakira Karipineni of Fremont for laundering campaign contributions made to two Fremont city councilmen and a mayoral candidate. After reviewing a transcript of a hearing of the Karipineni case, the Commission fined Karipineni \$26,000.

KASOLAS, GEORGE, AND COMMITTEE TO ELECT GEORGE KASOLAS, SI-88/530 (1990)

84305 - One (1) count
\$1,500 fine

George Kasolas, unsuccessful candidate for Campbell City Council, and his campaign committee failed to provide proper sender identification on a 1988 campaign mailer. The mailing in question involved more than 6,000 letters which purported to be from "Dan McCorquodale, State Senator." The letter of endorsement on the senator's stationery had been prepared and sent by the candidate and his committee.

KATZ, RICHARD, FOR MAYOR COMMITTEE, THE; AND RICHARD KATZ, SI-96/285 (1997)

84101 - One (1) count
84211 - One (1) count
\$4,000 fine

The Richard Katz for Mayor Committee, and Richard Katz, candidate for Mayor of the city of Los Angeles in 1993, failed to maintain source documentation for expenditures and did not disclose subvendor information for expenditures on their campaign statements.

KAUFMAN AND BROAD HOME CORPORATION, SI-98/701 (1999)

84203 - Five (5) counts
\$5,000 fine

Kaufman and Broad Home Corporation, located in Los Angeles, failed to timely file late contribution reports in 1998 for contributions totaling \$20,500.

KAWAR, FAKHRY F., SI-94/22 (1996)

84300 and 84301 - Eleven (11) counts
\$22,000 fine

Fakhry F. Kavar, who manages and represents numerous business interests and properties within Contra Costa County, laundered contributions made to candidates for the Contra Costa Board of Supervisors in 1992.

KAWCZYNSKI, STAN, SI-91/31 (1992)

84305 - One (1) count
\$2,000 fine

Stan Kawczynski was a successful candidate for Sunnyvale City Council in a January 1991 special election. Shortly before the election, he produced and sent a mass mailing allegedly from a supporter, without including himself as the sender on either the outside or inside.

KEEGAN, TODD, SI-96/662 (2000)

87100 - One (1) count
87203 and 87207 - Two (2) counts
\$4,000 fine

Todd Keegan, member of the El Cajon City Council, made governmental decisions which had a reasonably foreseeable material financial effect on sources of income to him in 1996 and also failed to disclose a source of income to him on his 1997 and 1998 annual Statements of Economic Interests.

KELLEY, DAVID AND MICHELLE, SI-2000/436 (2000)

84203 - One (1) count
\$1,500 fine

David and Michelle Kelley of Manhattan Beach failed to file a late contribution report during the March 7, 2000, primary election.

KELLY, JOHN A., JR., SI-87/224 (1988)

87206 - One (1) count
87207 - One (1) count
\$4,000 fine

John Kelly, Huntington Beach councilmember, failed to disclose his interest in real property on his 1986 and 1987 Statements of Economic Interests. The real property is located in an area frequently discussed by the city council.

KENNEDY, JAMES, SI-98/47 (2000)

87100 – Two (2) counts
\$250 fine

James Kennedy, a Clearlake City Council member, violated the conflict of interest provisions when he voted in 1996 and 1997 on the abatement of a public nuisance on properties within 300 feet of his residence.

KENYON, GRANT, SI-86/480 (1988)

84309 - Ten (10) counts
\$10,000 fine

Sacramento lobbyist Grant Kenyon caused a state employed legislative messenger to personally deliver campaign contributions to 10 legislators in the State Capitol. The contributions were personally delivered to legislators on August 29, 1986, because the lobbyist wanted to be sure the legislators received them before they left town at the end of the legislative session.

KERN, JOSEPH P. D., SE-90/888 (1992)

87203 - Two (2) counts
\$4,000 fine

Joseph Kern, City Attorney for the City of Fillmore, failed to timely file his 1989 and 1990 Statements of Economic Interests.

KHASHOGGI, ESSAM, SI-99/370 (2000)

84200 - Two (2) counts
\$800 fine

Essam Khashoggi of Santa Barbara failed to timely file major donor campaign statements disclosing contributions made to Chris Mitchum for Assembly Committee and Lungren for Governor Committee in 1998.

KILLEA, LUCY, LUCY KILLEA FOR SENATE, FRIENDS OF LUCY KILLEA, AND JOHN F. KILLEA, TREASURER, SI-91/203 (1992)

84200.5 - Four (4) counts
84203 - Four (4) counts
\$9,000 fine

State Senator Lucy Killea failed during a 1989 special election to timely file pre-election reports for one of her controlled committees and failed to timely file four late

contribution reports for a second committee. Killea also failed to timely file two additional pre-election reports for 1990, a year when she was not up for election.

KILLEA, LUCY, M. LARRY LAWRENCE, SI-83/16 (1984)

84300 - One (1) count
\$500 fine - Lucy Killea
\$500 fine - M. Larry Lawrence

San Diego Assemblywoman Lucy Killea accepted an illegal \$5,000 cash contribution from San Diego businessman M. Larry Lawrence in August 1983.

On or about September 13, 1983, Ms. Killea drafted a check for cash on her campaign account and returned the \$5,000. She also paid Lawrence interest on the \$5,000 contribution.

KIM, DOUGLAS, SI-83/10 (1984)

81008 - Two (2) counts
\$0 fine

Douglas Kim, Deputy Administrative Officer for the Assembly Rules Committee, on two separate occasions in 1982, required identifying information from an individual as a condition for inspecting and copying Statements of Economic Interests filed with the Rules Committee.

KING, ANITA, SI-94/409 (1996)

87302 - Three (3) counts
\$4,500 fine

Anita King, a member of the Colorado River Board of California, failed to file her annual Statements of Economic Interests for three consecutive years, 1992-1994.

KINGMAN, WOODWARD, SI-99/812 (2000)

87300 and 87302 - One (1) count
\$600 fine

Woodward Kingman, an Alternate Commissioner for the San Francisco Conservation and Development Commission, failed to timely file his 1998 annual Statement of Economic Interests.

KIRWAN, ROGER, SI-99/372 (2000)

84200 - One (1) count
\$400 fine

Roger Kirwan, located in Corona del Mar, failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

KLEE, OSCAR, BETTY FRANKLIN, SI-79/58 (1980)

81004 - One (1) count
84201 (currently 84200.5) - Two (2) counts
84209 (currently 84213) - One (1) count
84210 (currently 84211) - Two (2) counts
\$2,100 fine;
\$1,000 waived - Oscar Klee
\$100 fine - Betty Franklin

Oscar Klee, candidate for state assembly, and the Oscar Klee for Assembly Committee, committed campaign disclosure violations when they failed to file a campaign statement. Betty Franklin, treasurer of the committee, failed to use all reasonable diligence in the preparation of the statement.

KNABE, DON, AND THE DON KNABE FOR STATE SENATE COMMITTEE, SI-88/564 (1991)

84305 - One (1) count
\$500 fine

The Commission rejected an administrative law judge's recommended findings and considered the case after reviewing the entire record. A decision was made by the Commission that Don Knabe, an unsuccessful candidate for the state senate in 1988, and his campaign committee, had violated mass mailing provisions when they sent 1,900 letters to disabled veterans critical of his opponent, freshman Senator Cecil Green, which did not identify Knabe or his committee on the outside of the envelope.

KNEDEL, HAROLD, SI-88/125 (1989)

87100 - One (1) count
\$1,500 fine

Harold Knedel, former director of the Division of Law Enforcement of the state Department of Justice, violated

conflict of interest provisions by his participation in contract negotiations between the Department of Justice and UNISYS Corporation for the purchase of a computer system. Mrs. Knedel received computer equipment valued at between \$2,000 and \$2,800 as payment for consulting services from UNISYS, resulting in community property income to him of more than \$250. It was noted that the income from the computer firm was disclosed on the official's Statement of Economic Interests.

KNIGHT & ASSOCIATES, SI-2000/467 (2000)

84203 - Three (3) counts
\$4,875 fine

Knight & Associates of Chico failed to file a late contribution report during the March 7, 2000, primary election.

KNOWLES, DAVID, AND DAVID KNOWLES FOR ASSEMBLY, GLENN L. ENGLISH, TREASURER, SI-92/382 (1993)

84203 - Five (5) counts
\$6,000 fine

State Assemblyman David Knowles, his committee, and its treasurer, Glenn L. English, committed campaign disclosure violations when they failed to file late contribution reports in the 1990 primary and general elections. The contributions received during those two periods amounted to \$10,700.

KNOX, JOHN, NOSSAMAN, KRUEGER AND KNOX, SI-82/20 (1984)

86105 (currently 86110) - One (1) count
86109 (currently 86114) - Four (4) counts
86110 (currently 86117) - Two (2) counts
\$3,500 fine

Lobbyist John T. Knox and the law firm of Nossaman, Krueger and Knox failed to disclose accurately and in a timely manner payments received from clients totaling \$142,683 and failed to disclose an \$18,750 payment to a former associate, William T. Bagley, for lobbying services on behalf of Texas Air Corporation in 1981. Bagley, a registered lobbyist at the time, properly reported his receipt of the payment.

KNOX, ROBERT A., COMMITTEE TO RE-ELECT JUDGE ROBERT A. KNOX, STEPHEN H. SMITH, TREASURER, SI-90/574 (1991)

84203 - One (1) count
\$1,500 fine

Robert A. Knox, Orange County Superior Court Judge, the Committee to Re-elect Judge Robert A. Knox, and Stephen H. Smith, committee treasurer, failed to file a late contribution report for two contributions received totaling \$21,000 in 1988.

KOJAIAN, C. MICHAEL, SI-98/322 (1998)

84200 - One (1) count
84203 - One (1) count
\$2,500 fine

C. Michael Kojaian, of Southfield, MI, failed to file a late contribution report and a semi-annual campaign statement for contributions made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

KOLODNEY, STEVE, SI-94/237 (1996)

87100 - Seven (7) counts
87401 - One (1) count
87402 - One (1) count
\$16,200 fine

Steve Kolodney, a former state administrative official of the Office of Information Technology (OIT), the agency with statutory responsibility to approve proposed expenditures for electronic data processing projects, who left state service and became a principal shareholder in, and employee of, the Government Technology Group (GTG), violated the "revolving door" provisions of the Act by representing Tandem Computers, a client of GTG, before the OIT and the Department of Motor Vehicles in connection with the Data Base Redevelopment Project in 1990. Kolodney returned to his former position at OIT in 1991 and subsequently violated the conflict of interest provisions on seven occasions when he participated in decisions that had a material financial effect on Tandem, a continuing source of income.

KOPF, MYRA, FRIENDS OF MYRA KOPF, DAVID LOOMAN, AND PATRICIA WIZEMAN, FC-86/560 (1989)

84203 and 84211 (f) - One (1) count
84305 - Two (2) counts
\$5,000 fine

San Francisco school board member Myra Kopf, her committee, treasurer, and political consultant committed mass mailing and campaign reporting violations in 1986. The mass mailings were sent shortly before the November 4, 1986, school board election and failed to properly identify Friends of Myra Kopf as the sender.

Additionally, the candidate, her committee and treasurer, Patricia Wizeman failed to report late in-kind contributions resulting from the use of the bulk mail permit provided by the San Francisco Labor Council.

KOPP, QUENTIN, FRIENDS OF KOPP COMMITTEE, SI-79/57 (1980)

84210 (currently 84211) - One (1) count
84300 - One (1) count
\$2,000 fine

San Francisco Supervisor Quentin L. Kopp accepted an illegal cash contribution consisting of laundered one hundred dollar bills and failed to take steps to report the true source of the money.

The action stems from an occurrence on April 3, 1978, when Kopp contacted San Francisco developer Warren Simmons and solicited a contribution for his Friends of Kopp Committee. Later that day, an unidentified woman delivered an envelope to Kopp containing ten one hundred dollar bills. There was no information inside or outside of the envelope concerning the source of the \$1,000 in cash. Kopp contacted Simmons again and was informed by Simmons that the contribution was from San Francisco investment banker Phillip Greer. The money was deposited in the Kopp campaign committee account after first being converted into a cashier's check.

Later in April 1978, Kopp obtained a confirmation letter from Greer and the Kopp committee campaign report for the period of January 1 through June 30, 1978, and disclosed Greer as the contributor of the \$1,000.

In late May 1978, Kopp was informed that it was illegal at that time to accept cash contributions in amounts of \$50 or more. Kopp contacted Greer and was informed by Greer

that the money was not his. Still, Greer agreed to accept return of the contribution he had not made, and the Kopp committee sent him a check for \$1,000 on December 13, 1978.

After being told by Greer that the contribution was not from him, Kopp took no steps to determine the true source of the contribution. The Kopp committee's campaign report for the period of July 1 through December 31, 1978, disclosed the return of the \$1,000 to Greer. There was no mention of the name, Warren Simmons.

KOPP, QUENTIN, FRIENDS OF QUENTIN KOPP, FC-84/300 (1987)

84211 and 84300 - Numerous counts
\$1,900 fine - Quentin Kopp
\$2,100 fine - Friends of Quentin Kopp

Senator Quentin Kopp and his controlled campaign committee, Friends of Quentin Kopp, failed to disclose certain contributor, vendor and expenditure information on nine campaign statements filed between 1982 and 1984 in connection with Kopp's campaign for county supervisor.

The Friends of Quentin Kopp committee also admitted having received unlawful cash contributions of \$100 or more during 1982.

KORVE ENGINEERING, SI-96/36 (1996)

84301 and 84300 - Eleven (11) counts
\$19,000 fine

Korve Engineering, a corporation that specializes in civil and transportation engineering located in Oakland, laundered campaign contributions made to officials in northern California between 1992-1995.

KRAMER, GUS S., SI-94/230 (1995)

87200 - Three (3) counts
87300 - Six (6) counts
\$6,000 fine

Gus S. Kramer, a real property agent for the Department of Public Works for Contra Costa County, as well as the City Clerk and an elected Planning Commissioner for the City of Martinez, failed to disclose numerous interests in real property and sources of income, including loans, on his

annual Statements of Economic Interests during 1991 through 1993.

KRAUSE, EILEEN, SI-94/201 (1996)

87100 - Two (2) counts
\$2,500 fine

Eileen Krause, an alternate director of the Orange County Transportation Authority in 1993 and 1994, made governmental decisions which had a material financial effect on Disneyland, a source of income to her business.

KUEBLER, RICHARD, SI-89/44 (1992)

84200 (b) - Two (2) counts
84203 - Two (2) counts
84203.5 - One (1) count
84204 - Two (2) counts
84300 (b) - Two (2) counts
84305 - Two (2) counts
\$17,500 fine

The Commission accepted the administrative law judge's findings that San Diego developer Richard Kuebler, owner of the Moonglow Mobile Home Park in San Diego County, failed to file campaign disclosure reports, made improper cash expenditures, failed to include proper sender identification on mass mailings, and failed to report independent expenditures made for mailers, all in 1988.

In August 1991, the administrative law judge dismissed the charges against Kuebler saying there was no proof that Kuebler owned the mobile home park, controlled its campaign donations, or made any of the disputed campaign donations from personal funds. The Commission rejected the administrative law judge's decision and sent the case back for rehearing.

At the rehearing, the mobile home park's fictitious business name filing and business license application showed that the park was a sole proprietorship owned by Richard Kuebler. As a result, the administrative law judge reversed the decision and held Kuebler liable for violations as originally charged.

KUENY, STEVEN, SI-86/261 (1988)

87100 and 87103 - One (1) count
87203 and 87207 - One (1) count

\$2,500 fine

Steven Kueny, city manager of Moorpark, committed financial disclosure and conflict of interest violations, by failing to properly disclose on his 1985 Statement of Economic Interests more than \$2,000 in gifts, including a trip to New York, received from an investment banking firm. He also failed after receiving the gifts to disqualify himself from a decision to award a bond purchase contract to the investment banking firm.

KWON, HOWARD FOR BETTER ABC, HOWARD KWON AND ROBERT M. PARRA, TREASURER, SI-93/400 (1994)

84101 - One (1) count
84200 - One (1) count
\$2,500 fine

Howard Kwon, board candidate in 1993 for the ABC Unified School District, his committee Howard Kwon for Better ABC, and Robert M. Parra, Treasurer, failed to timely file a statement of organization and failed to timely file a semi-annual campaign statement, despite advice from the Commission staff regarding their filing requirements.

KYLE, MORRIS, SI-84/351 (1986)

81010 - One (1) count
\$1,000 fine

Morris Kyle, a superintendent of the Firebaugh Las Deltas Unified School District and designated filing officer for the school board members' Statements of Economic Interests, failed to obtain and forward to the Commission the originals of all Statements of Economic Interests filed by himself and other board members.

LAHAM, MAURICE, SI-90/576 (1991)

87300 - Three (3) counts
\$3,000 fine

Maurice Laham, Environmental Coordinator for the City of Los Angeles Department of Airports, failed to disclose investment interests of stock in a number of airlines and/or their holding companies with a total value of over \$54,000 on his 1987, 1988, and 1989 Statements of Economic Interests.

LAL, JOSEPH, SI-98/497 (1999)

84200 - One (1) count
84203 - One (1) count
\$4,000 fine

Joseph Lal of Sacramento failed to file a semi-annual campaign statement and a late contribution report for contributions made to Lungren for Governor, a controlled committee, in 1998.

LAMDAGAN, PEDRO, SE-83/17 (1984)

87300 and 87302 - One (1) count
\$2,100 fine

Pedro Lamdagan, board member of the Board of Vocational Nurse and Psychiatric Technician Examiners under the Department of Consumer Affairs, failed to file his 1982 Statement of Economic Interests. Enforcement proceedings were initiated when no response was received to the staff notifications of his failure to comply.

LANCASTER, CHRISTOPHER W., SI-91/466 (1992)

87100 - One (1) count
\$1,750 fine

In 1990, Christopher W. Lancaster, Covina City Councilman, committed a conflict of interest violation by voting on a matter affecting a source of income. In 1990, Lancaster received \$8,000 from state senate candidate Gary Miller's campaign committee for his work as a political campaign consultant.

Lancaster, who was then mayor of Covina, voted in favor of a resolution creating a formula for the exchange and division of property tax revenue between Los Angeles County and the City of Covina. The resolution was initiated by Gary Miller to enable him to annex 52 acres of undeveloped land into the City of Covina. Miller held an option to buy this acreage.

LANE, L.W., JR., SI-98/297 (1998)

84203 - One (1) count
\$2,000 fine

L.W. Lane, Jr., of Menlo Park failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure

committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

LANG, RICHARD, LANG FOR ASSEMBLY 1989 AND GERALD CLENDENIN, TREASURER, SI-91/303 (1994)

84211 - One (1) count
84203 - One (1) count
\$4,000 fine

Richard Lang, an unsuccessful candidate for the 27th Assembly District seat in a special run-off election held on January 30, 1990, his controlled committee, and Gerald Clendenin, its treasurer, failed to timely report non-monetary contributions totaling \$59,378 received from the California Republican Party/Victory 1990 Cal Plan Committee on a pre-election campaign statement. Respondents also failed to file late contribution reports on or before January 30, 1990, for non-monetary contributions totaling \$86,627 received from the same committee.

LANGONE, KENNETH G., SI-99/417 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,000 fine

Kenneth G. Langone of New York failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to Lungren Committee for Common Sense Conservatism in 1998.

LANGSTON, GARY, SI-96/26 (1998)

87100 - One (1) count
87202 - One (1) count
87203 - Two (2) counts
\$5,000 fine

Gary Langston, planning commissioner for the City of Delano in Kern County, participated in and voted on a governmental decision which directly involved a company which was a source of income to him. In addition, Langston failed to timely report this source of income on his assuming office, 1995 and 1996 annual Statements of Economic Interests.

LARAMORE, LOUIS, SI-86/576 (1988)

84203, 84300(c), and 84301 - Numerous counts
\$12,000 fine

The money laundering case involved Riverside County developer Louis Laramore and the unsuccessful 1986 campaign of Riverside Councilmember Sam Digati. Mr. Laramore made \$12,000 in laundered contributions to the Digati campaign during the highly contested race and failed to file required late contribution reports for \$7,920 made immediately before the November 4, 1986, election.

The laundered contributions were made through associates who obtained money orders in the names of others for payment to the candidate because the developer wanted to keep his support hidden.

LARAMORE, LOUIS, SI-90/80 (1991)

84300 - Three (3) counts
84301 - Sixty-six (66) counts
\$138,000 fine

The money laundering case involved Riverside County developer Louis Laramore and the unsuccessful 1986 campaign of Tom Watson, candidate for Riverside County supervisor. The violations resulted from Mr. Laramore's efforts to avoid disclosure of his campaign contributions to Mr. Watson's campaign. Three of the violations involved a total of \$38,000 in cash contributions from Laramore to Watson's campaign. An additional 66 violations involved contributions from Laramore that were made in the names of other people.

LARIOS, CECILIA, LARIOS FOR CITY COUNCIL, AND JAVIER MURILLO, SI-98/115 (1999)

84200 - One (1) count
\$1,500 fine

Cecilia Larios, member of the Perris City Council, her controlled committee, Larios for City Council, and its treasurer, Javier Murillo, failed to file a semi-annual campaign statement in 1998.

LARKIN, TOM, LARKIN FOR SENATE, AND JOHN J. MARTINELLI, TREASURER, SI-90/115 (1991)

84200 (a) - One count
84200.5 (a) - Three counts
\$7,000 fine

Tom Larkin, candidate for the 23rd Senate District, his controlled committee, and its treasurer, John J. Martinelli, failed to file four campaign disclosure statements in connection with Larkin's unsuccessful candidacy for the state senate in 1988.

LAWSON, DARLENE, AND THE COMMITTEE TO ELECT DARLENE LAWSON, SI-89/78 (1991)

84200 (a) - Three (3) counts
\$4,000 fine

The Commission issued a Default Decision and Order against Darlene Lawson, director of the Oakland Unified School Board, and her committee, who failed to timely file three campaign disclosure statements during 1988 and 1989, despite repeated notices by the Oakland City Clerk's office and the Commission staff. The statements were filed from six months to nearly two years late.

LEININGER, JAMES R., SI-98/358 (1998)

84200 - Two (2) counts
\$4,000 fine

James R. Leininger, of San Antonio, Texas, failed to file semi-annual campaign statements for contributions made to Entertainment PAC, dba Coalition to Educate America, a general purpose recipient committee, in 1996 and to Campaign Reform Initiative, a ballot measure committee, in 1997.

LEISURE TECHNOLOGY, SI-88/573 (1990)

84204 - One (1) count
84305 - Four (4) counts
\$10,000 fine

Leisure Technology, Inc., an Oceanside company, failed to provide proper sender identification on four mass mailings during the 1988 general election. The company also failed to file a required late independent expenditure report in connection with the mass mailings.

Leisure Technology paid for the mass mailings that supported three local city council candidates. The mass mailings were identified as being from "Oceanside Residents for Managed Growth." The name of the company making the independent expenditure did not appear on the mailer.

The campaign disclosure violation resulted from the failure of Leisure Technology to file a late independent expenditure report for a \$16,000 payment made 12 days before the election. The payment was for expenses of the four mass mailing.

LENNEY, LIDA, SI-92/291 (1992)

87100 - One (1) count
87200 - One (1) count
\$3,000 fine

In 1991, Lida Lenney, Laguna Beach City Councilwoman, committed conflict of interest and financial disclosure violations.

During 1990, Lenney's husband purchased 1,000 shares of stock in Waste Management, Inc., valued at over \$10,000 for his separately held individual retirement account. In 1991, Lenney failed to disclose the Waste Management stock on her 1990 Statement of Economic Interests and voted to approve a refuse agreement directly involving Waste Management, Inc. The agreement increased the fees paid by the city to Waste Management.

LESLIE, ROBERT "TIM", TIM LESLIE FOR SENATE/1991, AND ASSEMBLYMAN TIM LESLIE/1990, SI-93/250 (1994)

84200.5(c) and 84200.8(a) - One (1) count
84203 - Eight (8) counts
84211(f) - One (1) count
\$12,000 fine

Robert "Tim" Leslie, member of the California State Assembly, campaigned as a state senate special election candidate. One of his committees, Tim Leslie for Senate/1991, failed to timely disclose on its campaign statements \$55,698 in non-monetary contributions received from the California Republican Party (CRP). In addition, Tim Leslie for Senate 1991 failed to timely file late contribution reports for an additional \$94,680 in non-monetary contributions received from CRP. Finally, his second committee, Assemblyman Tim Leslie/1990, failed

to timely file a required campaign statement covering a reporting period in 1991.

LEWIS, JAMES LEON, SI-91/53 (1992)

87300 - Three (3) counts
\$4,500 fine

James Leon Lewis, a racing steward for the State of California Horse Racing Board, failed to disclose investments and income interests in a horse boarding business on his 1987, 1988, and 1989 annual Statements of Economic Interests.

LING, JOSEPH K., SI-94/656 (1995)

84301 - One (1) count
\$2,000 fine

Joseph K. Ling, general manager of Tasamak Corporation, a real estate company located in San Francisco, laundered a contribution in the name of a Tasamak employee to the Agnos mayoral campaign in 1991.

LITTON INDUSTRIES, INC., SI-99/379 (2000)

84200 - One (1) count
\$600 fine

Litton Industries, Inc., located in Woodland Hills, failed to timely file a major donor campaign statement for contributions made to Governor Pete Wilson Campaign Committee; Friends of Brad Sherman Committee; Lungren for Governor Committee; Claude Parrish State Board of Equalization 1998 Committee; and Citizens for Andal Committee in 1998.

LOEB & LOEB, SI-99/381 (2000)

84200 - One (1) count
\$400 fine

Loeb & Loeb, located in Los Angeles, failed to timely file a major donor campaign statement for contributions made to the Californians for Gray Davis committee and the Lungren Committee for Common Sense Conservatism in 1998.

LOGAN, KENT A., SI-98/296 (1998)

84203 - One (1) count
\$1,250 fine

Kent A. Logan of San Francisco failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

LONGVILLE, JOHN, SI-87/596 (1990)

84200 - One (1) count
87207 - One (1) count
\$3,500 fine

Rialto Mayor John Longville failed to provide required disclosures of personal financial interests and campaign contributions. Longville was required to disclose on his Statement of Economic Interests income received from his business.

Longville also failed to file a semi-annual campaign statement disclosing approximately \$8,000 in contributions received.

LOPEZ, ARMANDO, SI-92/689 (1995)

87100 - One (1) count
87207 - Two (2) counts
\$4,500 fine

Armando Lopez, member of the Parlier City Council, failed to report his community property interest in his spouse's income from her employer from 1989 to 1992 and income to his printing business from 1990 to 1992 on his Statements of Economic Interests. In addition, in 1990, Mr. Lopez voted on a site plan proposed by his spouse's unreported employer.

LOPEZ, HODES, RESTAINO, MILMAN & SKIKOS, SI-2000/430 (2000)

84203 - One (1) count
\$2,000 fine

Lopez, Hodes, Restaino, Milman & Skikos, of Newport Beach failed to file a late contribution report during the March 7, 2000, primary election.

LOS ANGELES MARATHON, INC., AND GEORGE BEASLEY, SI-92/277 (1994)

84300 and 84301 - One hundred and thirty-one (131) counts

84302 - One hundred and thirty-one (131) counts
\$436,250 fine (\$236,250 to the Fair Political Practices Commission; \$200,000 to the Los Angeles City Ethics Commission)

Los Angeles Marathon, Inc., (LAMI) which has held contracts with the City of Los Angeles since 1985 to conduct the annual Los Angeles Marathon, laundered 131 political contributions. George Beasley was initially an employee of LAMI and later became president and the sole employee of Beasley Business, Inc. (BBI). During the years 1989 until mid-1992, LAMI, through its agent Beasley/BBI, made political contributions through employees and others connected with LAMI. LAMI reimbursed the employees and others through Beasley Business, Inc., and George Beasley.

LOS ANGELES PUBLIC AND COASTAL PROTECTION COMMITTEE AND BARRY FADEM, TREASURER, SI-88/103 (1989)

84106 - One (1) count
\$2,000 fine

The Los Angeles Public and Coastal Protection Committee was formed in 1987 to oppose a proposed ballot measure in Los Angeles, which would have prohibited oil drilling in specified coastal areas of the city. Occidental Petroleum gave the committee \$35,000 on December 28, 1987, the only contribution received during the filing period. As the only contributor during that filing period, Occidental Petroleum qualified as a sponsor of the committee.

An administrative law judge found that the Los Angeles Public and Coastal Protection Committee failed to identify Occidental Petroleum Corporation as its sponsor.

LOUIE'S GENERAL AND ELECTRICAL CONSTRUCTION, YIM N. LOUIE, AKA LOUIE DEAR, YIM NUN LOUIE DER AND LOUIE DER, SI-94/450 (1995)

84301 - Ten (10) counts
\$18,000 fine

Yim N. Louie, a sole contractor operating as Louie's General and Electrical Construction, laundered contributions in the names of various individuals to San Francisco mayoral candidate Tom Hsieh in 1990.

LUCAK, JOHN, SI-2000/523 (2000)

87300 and 87302 - One (1) count
\$300 fine

John Lucak, a Director for the Westport County Water District, failed to timely file an assuming office Statement of Economic Interests in 2000.

LYON, ROY BEN, SI-84/29 (1984)

87100 - One (1) count
87200 and 87203 - Three (3) counts
\$4,000 fine

Madera City Councilman Roy Ben Lyon violated conflict of interest and disclosure law when he participated in a series of votes and decisions, beginning in the spring of 1980, on a proposed sewer line project that was to run along one side of a 32-acre agricultural parcel he owned and would increase the value of his property by making it suitable for development.

Lyon began negotiations in early 1980 to sell his property to Trend Homes. By July 1980, Trend Homes had agreed to pay Lyon \$315,000 for his 32 acres, but could only develop the property if it could be annexed. The sewer line allowed annexation to the city. In August, after agreeing to sell his property to Trend Homes, Lyon also participated in reviewing a staff recommendation that his parcel be annexed.

Lyon also failed to disclose income received from Trend Homes on his 1980, 1981, and 1982 annual Statements of Economic Interests.

LYONS, JOSEPH, SI-99/545 (2000)

87300 - Two (2) counts
\$500 fine

Joseph Lyons, senior consultant for the California State Assembly, failed to timely file both his 1997 and 1998 annual Statements of Economic Interests.

MACBAIN, ALEXANDER, SI-99/549 (2000)

87300 - One (1) count
\$300 fine

Alexander MacBain, an assistant consultant to the California State Senate, failed to timely file his assuming office Statement of Economic Interests.

MAEFIELD DEVELOPMENT LLC, SI-2000/432 (2000)

84203 – One (1) count
\$1,500 fine

Maefield Development LLC, located in Bloomington, Indiana, failed to file a late contribution report during the March 7, 2000, primary election.

MALCOLM, JOHN, SI-98/499 (1999)

84200 - One (1) count
\$500 fine

John Malcolm of South San Francisco failed to file a semi-annual campaign statement with the Secretary of State's office for contributions made to Lungren for Governor, a controlled committee, in 1998. A campaign statement was, however, filed with the San Francisco County Registrar of Voters.

MANN'S SUNNY SHORES, SI-99/402 (2000)

84200 - One (1) count
\$400 fine

Mann's Sunny Shores, located in Salinas, failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

MANTOOTH, LOLA, SI-99/179 (2000)

87300 and 87302 - One (1) count
\$600 fine

Lola Mantooth, member of the Hughes-Elizabeth Lakes Union School District Board, failed to timely file her 1997 annual Statement of Economic Interests.

MARCONI, RICHARD D., SI-99/403 (2000)

84200 - One (1) count
\$400 fine

Richard D. Marconi of Orange failed to timely file a major donor campaign statement for contributions made to the California Republican Party Committee and the Lungren Committee for Governor Committee in 1998.

MARGOLIS, GAIL, SI-99/182 (1999)

87302 - Two (2) counts
\$2,500 fine

Gail Margolis, member of the Los Angeles Care Health Plan, failed to timely file an Initial and a Leaving Office Statement of Economic Interests in 1998.

MARIN UNITED TAXPAYERS ASSOCIATION, INC., SI-95/179 (1999)

84200 - Two (2) counts
\$2,500 fine

Marin United Taxpayers Association, Inc., a membership organization who educates members of the public about tax issues, government spending, and to communicate with legislators, failed to timely file semi-annual campaign statements in 1995 and 1996.

MARKBOROUGH CALIFORNIA, INC., SI-88/526 (1989)

84200 - One (1) count
\$1,500 fine

Markborough California, Inc., a development firm headquartered in Newport Beach, failed to properly file two campaign statements disclosing contributions made to seven entities that totaled \$11,844 in the first half of 1986 and \$249 in the second half of 1986.

MARKHAM, JEFF, THE COMMITTEE TO ELECT JEFF MARKHAM SHERIFF, SI-90/547 (1991)

84305 - One (1) count
\$1,500 fine

Jeff Markham, an unsuccessful candidate for Lake County Sheriff in 1990, and his campaign committee,

failed to include proper sender identification on a mass mailer.

Approximately two months prior to the June 1990 election, the Committee to Elect Jeff Markham Sheriff paid for and mailed 542 identical letters to law enforcement supporters. The letters were endorsements of Markham by the retiring sheriff, and the letters gave the appearance of coming from the retiring sheriff himself. Nowhere on the inside or outside of the mailer was there any identifying information to lead the reader to believe that Markham and his committee were responsible for the mailer.

MARKS, KEN, SI-95/541 (1998)

87100 - One (1) count
\$1,500 fine

Ken Marks, an elected member of the Tuolumne County Board of Supervisors, violated the conflict of interest provisions when he accepted travel, lodging, and recreation accommodations paid with funds from developers interested in seeking public financing of a golf course in 1995 and voted on a proposal to implement the project.

MARKSTEIN, JOHN, SI-85/365 (1986)

84200 - Two (2) counts
84211 - Two (2) counts
\$4,000 fine

The Commission adopted an administrative law judge's proposed decision in the matter of John Markstein, a San Ramon resident, who qualified as a major donor and failed to file campaign statements disclosing \$38,500 in loans and contributions to two state senate candidates during the 1984 elections. Markstein was repeatedly notified by the Commission staff during 1985 of his filing requirements, but he refused to comply.

MARTINEZ, FILIBERTO, SI-96/12 (1997)

87100 - One (1) count
87302 - One (1) count
\$3,000 fine

The Commission adopted an administrative law judge's proposed decision in the matter of Filiberto Martinez, Acting Director of the Office of Internal Audits of the Los Angeles County Metropolitan Transportation Authority,

who failed to disclose a source of income on his Statements of Economic Interests in 1992 through 1994. Martinez also participated in a governmental decision in which he had a financial interest.

MARTINEZ, VALERIE, SI-99/546 (2000)

87300 - One (1) count
\$600 fine

Valerie Martinez, press secretary for the California State Assembly, failed to timely file her 1998 annual Statement of Economic Interests.

MASHBURN, JAMES, AND REFUSE SERVICES, INC., SI-95/246 (1999)

84301 and 84300 - One Hundred Thirty-Three (133) counts
84200 - One (1) count
\$249,500 fine

James Mashburn, president and 50% owner of Mashburn Waste & Recycling Services, Inc., and Refuse Services, Inc., laundered campaign contributions to candidates and members of city councils located within San Diego County, in addition to candidates for the San Diego County Board of Supervisors and the California State Assembly between 1992 and 1995. In addition, respondents failed to file a campaign statement in connection with activity in 1994.

MAXFIELD, ROBERT, SI-90/83 (1991)

87100 - One (1) count
\$2,000 fine

Robert Maxfield, Calistoga City Councilman, committed a violation of the conflict of interest provisions. Mr. Maxfield voted to create an exemption to a water and sewer moratorium in order to allow the development of an affordable housing project in the City of Calistoga on a site in which he held an ownership interest.

MAY, RON, AND FRIENDS OF RON MAY, CECIL MAY, TREASURER, SI-92/617 (1993)

84211 - One (1) count
89519 - One (1) count
\$2,000 fine

Ron May, former Santa Ana City Councilmember, his committee, and its treasurer committed campaign disclosure violations when they failed to disclose campaign contributions in 1990 amounting to \$5,876. In addition, May used his surplus campaign funds to make prohibited contributions to five candidates in local races.

MCCARTHY, KATHLEEN L., SI-99/404 (2000)

84200 - One (1) count
\$400 fine

Kathleen L. McCarthy, residing in Los Angeles, failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

MCCLAIR, LANCELOT, COMMITTEE TO RE-ELECT MAYOR LANCE MCCLAIR, FRIENDS OF LANCE MCCLAIR, JACKIE MATHIS-CRAGHEAD, TREASURER, SI-88/501 (1991)

84200 (a) - Nine (9) counts
84200.5 - Five (5) counts
\$3,000 - Lancelot McClair, the Committee to Re-Elect Mayor Lance McClair, and Jackie Mathis-Craghead
\$3,000 - Lancelot McClair, the Friends of Lance McClair Committee, and Jackie Mathis-Craghead

The Commission issued a Default Decision and Order after reconsideration against Lancelot McClair, Seaside Mayor, his controlled committees, and his committees' treasurer, Jackie Mathis-Craghead, who failed to timely file a total of 14 campaign disclosure statements during 1986 to 1989, and imposed a reduced fine. Mayor McClair had requested reconsideration of an earlier fine of \$16,000 during testimony before the Commission.

The Committee to Re-Elect Lance McClair failed to timely file three pre-election statements and six semi-annual statements due from 1986 to 1989. In addition, the Friends of Lance McClair Committee failed to timely file two pre-election and three semi-annual statements due from 1988 through 1989. All of these non-filings occurred despite repeated notices of filing obligations from the Seaside City Clerk, the Seaside City Attorney, and the Commission.

MCCOLL, GLORIA, AND FRIENDS TO REELECT COUNCILWOMAN GLORIA MCCOLL, SI-91/446 (1993)

84211 - Two (2) counts
85201(e) - Two (2) counts
\$6,000 fine

Gloria McColl, former San Diego City Councilmember, and her committee committed campaign disclosure violations arising out of her unsuccessful 1989 bid for reelection.

McColl failed to disclose that she had hired a private investigator and paid him \$6,819.20 to gather negative information against McColl's opponent to be used in a "hit piece" mailer. McColl also failed to report a payment of \$3,000 to the San Diego Republican Party for an ad in the party's newsletter. Both payments were improperly made from her personal account.

MCCOWN, GEORGE E., SI-99/420 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,000 fine

George E. McCown, of Menlo Park, failed to timely file a major donor campaign statement for contributions made to Ruben Barrales for State Controller Committee; Cunneen for Assembly 98 Committee; Mike Capizzi for Attorney General Committee; Lungren Committee for Common Sense Conservatism; and Delaine Eastin Committee in 1998. In addition, it failed to file a late contribution report for the contribution made to Lungren Committee for Common Sense Conservatism.

MCDONALD, JUANITA, CITIZENS TO ELECT JUANITA MCDONALD, AND CLIFFORD CANNON, SI-95/211 (1997)

84211 - Four (4) counts
84203 - Three (3) counts
84300 - Four (4) counts
84104 - One (1) count
84211(f) - Nine (9) counts
\$39,000 fine

Juanita McDonald, candidate for the assembly between 1992 and 1994, and her committee, Citizens to Elect Juanita McDonald, and Clifford Cannon, its treasurer, failed to report contributions made to another candidate,

failed to file late contribution reports, failed to properly itemize expenditures made by checks made out to cash, failed to maintain the requisite records to support the campaign statements, and failed to disclose required information for contributions received.

MCDONALD, KEITH, FRIENDS FOR KEITH MCDONALD, COMMITTEE TO ELECT KEITH MCDONALD, AND CLIFFORD CANNON, SI-96/1 (1997)

84211 - Six (6) counts
85305 - One (1) count
\$14,000 fine

Keith McDonald, a current member and candidate of the West Basin Municipal Water District and unsuccessful candidate for Carson City Council in 1993 and 1994, Friends for Keith McDonald and Committee to Elect Keith McDonald, his controlled committees, and its treasurer, Clifford Cannon, failed to report contributions received, failed to report the source of loans, and violated the contribution limits imposed for special elections.

MCENEELY, KEVIN P., SI-96/149 (1998 CIVIL SUIT)

84200
\$2,000 fine

Kevin P. McEneely, Executive Vice President and Chief Operating Officer of Nightingale Conant Corporation, which sells audio and video personal development programs, made contributions to California Gaming Control Committee sponsored by Palm Springs Gaming Corporation in 1996 and failed to file a major donor campaign statement.

MCGEE, MARK H., SI-94/352 (1995)

87200 - One (1) count
\$1,000 fine

Mark H. McGee, a judge on the Needles/Calzone Justice Court, failed to timely file his 1993 annual Statement of Economic Interests.

MCGINNIS, MICHAEL, SI-96/312 (1998)

87100 - One (1) count

\$1,000 fine

Michael McGinnis, Chico City Councilman and Mayor in 1994, used his official position to attempt to influence a governmental decision which affected a source of income.

MCHUGH, PETER, MCHUGH FOR MAYOR, MCHUGH FOR SUPERVISOR, MCHUGH FOR ASSESSOR, AND MARY GALDE, SI-94/533 (1997)

84211 - Five (5) counts
84104 - One (1) count
\$8,000 fine

Peter McHugh, Mayor of Milpitas in 1996, candidate for Santa Clara County Assessor in 1994, and successful candidate for County Supervisor in 1996, both of his committees, and Mary Galde, treasurer of the McHugh for Mayor committee, failed to properly disclose occupation/employer and subvendor information on campaign reports and failed to maintain adequate records in 1994.

MCLARAND, VASQUEZ & PARTNERS, SI-99/405 (2000)

84200 - One (1) count
\$400 fine

McLarand, Vasquez & Partners, Inc., located in Costa Mesa, failed to timely file a major donor campaign statement for contributions made to the Ruben Barrales for State Controller committee, the Vickie Castro for Assembly committee, and the Lungren for Governor committee in 1998.

MCLAUGHLIN, EDWARD, SI-90/79 (1992)

87100 - One (1) count
\$2,000 fine

Edward McLaughlin, Butte County Supervisor, violated the conflict of interest provisions when he voted to allow residential subdivision of property located adjacent to his agricultural real property.

MCLEMORE, JOHN, SI-98/160 (2000)

87100 and 87103 - One (1) count
87206 - One (1) count

\$2,500 fine

John McLemore, member of the Santa Clara City Council, violated the conflict of interest provisions in 1997 when he participated and voted to approve an amended and restated personal property tax agreement with an entity in which he had a financial interest. Mr. McLemore also failed to disclose the financial interest on his Statement of Economic Interests for 1995 and 1997.

MENDEZ, ANDRES, SI-83/15 (1984)

84306 - Two (2) counts
 84307 - One (1) count
 87100 - Two (2) counts
 87300 - One (1) count
 \$10,000 fine
 \$2,000 waived

California Department of Veterans Affairs (DVA) Director Andres Mendez violated campaign and personal financial disclosure and conflict of interest provisions. The most serious violations occurred during 1982 when Mendez, as executive secretary of the California Veterans Board, solicited and received political contributions during state-compensated work hours and deposited those contributions, intended to support George Deukmejian's campaign for Governor, in his personal checking account.

Mendez represented himself as an Hispanic agent for the Deukmejian campaign and directed the contributors to make their contribution checks payable to him personally. Some of the contributions deposited into his account were used by Mendez to pay for his personal living expenses, including making a monthly mortgage payment. No records were ever kept and no accounting was made by Mendez to the Deukmejian campaign regarding the contributions.

He also performed and directed others to perform other campaign activities on state time and used office supplies and facilities to prepare campaign related materials. No one involved in the Deukmejian campaign had any knowledge of the activities in support of Deukmejian by Mendez.

In addition to the campaign violations, Mendez participated in decisions as the director of DVA affecting an investment banking firm that had provided gifts to him on two separate occasions totaling \$1,841. Mendez also violated the law by valuing the gifts at \$217 in his annual Statement of Economic Interests, thereby underreporting the value by \$1,624.

L.F. Rothschild, Unterberg and Towbin, an investment banking firm, provided limousine service and dinners to Mendez and his wife during trips to New York in August and October of 1983. In October and November 1983, Mendez participated in approving the companies, including L.F. Rothschild, that would serve as managing underwriters for a \$100 million of Home Purchase Revenue Bonds to make home loans to California veterans and approved the purchase contract between DVA and the underwriters.

In July 1984, Mendez recommended to the State Treasurer's office that L.F. Rothschild serve as the senior underwriter for the issuance of a new series of revenue bonds totaling \$162 million, and subsequently participated in the formal approval by the Veterans Debenture Finance Committee. L.F. Rothschild was directly affected by decisions that Mendez participated in and grossed more than \$100,000 in each of the underwriting ventures.

MERCURY GENERAL CORPORATION, SI-2000/119 (2000)

84211 - One (1) count
 \$2,000 fine

Mercury General Corporation located in Los Angeles failed to disclose on its major donor campaign statement that was filed contributions made to the Republican National Committee-California Account in 1998.

MERRIMAN, DONALD WILLIAM ("BILL"), SI-99/63 (2000)

87100 - One (1) count
 \$1,500 fine

Donald William ("Bill") Merriman, member of the Lake County Board of Supervisors, violated the conflict of interest provisions by participating in making a governmental decision to amend a zoning ordinance to allow mini-storage units in C-2 Zoning Districts. This zoning change was being sought specifically for real estate owned and offered for sale by Mr. Merriman.

MERUELO, RICHARD, SI-99/406 (2000)

84200 - One (1) count
 \$400 fine

Richard Meruelo of Whittier failed to timely file a major donor campaign statement for contributions made to the Valley Families Support Richard Alarcon for State Senate Committee and the Lungren for Governor Committee in 1998.

MEXICAN AMERICAN GROCERS ASSOCIATION AND STEVEN A. SOTO, SI-92/282 (1994)

84300(c) and 84301 - Eighteen (18) counts
84302 - One (1) count
\$36,000 fine - Mexican American Grocers Association
\$2,000 fine - Steven A. Soto

Mexican American Grocers Association, an association of independent grocers transacting business in Los Angeles County, laundered \$14,300 when they made 18 contributions in the names of its officers and employees to various candidates for political office. The Association's president, Steven A. Soto, acted as an intermediary for a \$1,000 laundered contribution.

MEZZETTI, LEON J., SUZANNE MENDENHALL, FC-86/361 (1987)

84211 - Numerous counts
\$9,150 fine - Leon J. Mezzetti
\$3,350 fine - Suzanne Mendenhall

Former Mayor Leon J. Mezzetti and his campaign treasurer, Suzanne Mendenhall, failed to properly report \$10,450 collected at a September 20, 1985, fundraiser arranged by a development company and also failed to identify six contributors of \$100 or more as required by law on campaign statements.

MGC COMMUNICATIONS, INC., SI-99/398 (2000)

84200 - One (1) count
\$400 fine

MGC Communications, Inc., located in Las Vegas, failed to timely file a major donor campaign statement for a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

Mezzetti and his campaign treasurer also failed to disclose cumulative contributions from individuals for the 1985 calendar year.

MIKAN PROPERTIES, SI-94/339 (1998)

84301 and 84300 - Three (3) counts
\$5,100 fine

Mikan Properties, a development and property management firm located in Buena Park, made contributions in the names of others to a campaign committee in Santee in 1993 without disclosing the true source of the funds.

MILLER, CHRIS, INDIVIDUALLY, AND DOING BUSINESS AS MILLER/ROBERTSON & COMPANY, SI-97/232 (1999)

89513 and 89518 - Two-Hundred Ninety-Seven (297) counts
84211 - Twenty-Eight (28) counts
\$300,000 fine

The Commission issued a Default Decision and Order against Chris Miller, who while treasurer for a number of candidates and committees, and doing business as Miller/Robertson & Company, misappropriated campaign funds and filed false and misleading campaign statements between 1994 and 1997.

MILLER, GARY G., AND COMMITTEE TO ELECT GARY MILLER, SI-91/331 (1993)

84203 - Four (4) counts
\$6,000 fine

Gary G. Miller, Diamond Bar City Councilman, and his committee failed to timely file late contribution reports of campaign contributions. Miller was an unsuccessful candidate for State Senate, 31st District, in 1990. Miller made four contributions in the form of loans from his personal funds totaling \$260,000 to his campaign committee. The unreported late contributions amounted to more than 42% of all contributions received by Miller's Senate campaign.

MILLER/ROOS AND COMPANY, MD-90/401 (1991)

84200 (b) and 83116.5 - One (1) count
\$1,000 fine

Miller/Roos and Company, a political consulting firm specializing in, among other things, preparing campaign statements for political committees, failed to timely file a

major donor campaign statement on behalf of Thomas Somermeier in 1988. Mr. Somermeier made 13 contributions totaling \$20,150 to various political committees. A major donor statement was prepared and signed by Robert Miller disclosing these contributions, however, the firm failed to mail this statement to the Secretary of State's office.

MITTON, MARK, SI-95/541 (1998)

87100 - One (1) count
\$2,000 fine

The Commission issued a Default Decision and Order against Mark Mitton, County Administrator of Tuolumne County, who violated the conflict of interest provisions when he accepted an expense paid trip to a North Carolina golf resort and then made a recommendation to the Board of Supervisors in favor of the developer's project in 1995.

MOBLEY, SHIRLEY, SI-99/622 (2000)

87200 and 87203 - One (1) count
\$500 fine

Shirley Mobley, member, Taft Planning Commission, failed to timely file her 1998 annual Statement of Economic Interests.

MONTANA, TONY, SI-91/199 (1992)

87100 - One (1) count
\$2,000 fine

Dr. Tony Montana, a member of the Board of Directors of Selma Hospital District, violated the conflict of interest provisions when he used his position to influence a decision of the Board which would have had a material financial effect on financial interests he had in the Selma Emergency Physicians Medical Group.

MONTEITH, RICHARD, AND DICK MONTEITH FOR STATE SENATE, SI-96/75 (1997)

84203 - One (1) count
84211 - One (1) count
\$3,500 fine

Richard Monteith, member of the California State Senate, and Dick Monteith for State Senate, his controlled committee, failed to file a late contribution report and failed to timely report a non-monetary contribution received in 1994. These violations were uncovered through a Franchise Tax Board audit of the committee.

MONTGOMERY, SCOTT, COMMITTEE TO ELECT SCOTT MONTGOMERY, SI-95/192 (1997)

84211 - Twenty (20) counts
\$7,900 fine

The Commission adopted an administrative law judge's proposed decision in the matter of Scott Montgomery, member of the Moorpark City Council, and his committee who failed to properly disclose on campaign statements two expenditures made in 1992 and contributor information for 18 contributions received in 1994.

MONTOYA, JOSEPH, 81-88/410 (1991 - CIVIL SUIT SETTLEMENT)

87207
81004
\$40,000 fine

Joseph Montoya, former state senator, failed to disclose several sources of income received during 1985 through 1987 on his Statements of Economic Interests. Some income payments originated with Senator Montoya's controlled campaign committees and were passed through other individuals before reaching Senator Montoya. In addition, Senator Montoya failed to exercise reasonable diligence in the preparation of his Statements of Economic Interests.

MORAGANS FOR MORAGA/ORINDA FIRE MERGER, SI-97/275 (1998)

84305 - One (1) count
\$1,500 fine

Moragans for Moraga/Orinda Fire Merger, a committee formed for the campaign on the merger of two fire districts in Contra Costa County, failed to provide sender identification on a mass mailing in 1997.

MORGAN, KILE, SI-85/277 (1987)

87100 and 87103 - Three (3) counts
\$3,000 fine

National City Mayor Kile Morgan failed to disqualify himself from three votes of the National City Community Development Commission that had a reasonable chance of increasing the value of real property held by Morgan.

MORRISSEY, JIM, MORRISSEY FOR ASSEMBLY, AND BETTY PRESLEY, SI-2000/63 (2000)

84203 – Five (5) counts
\$7,000 fine

Jim Morrissey, unsuccessful incumbent candidate for the 69th Assembly District in 1998, his controlled committee, Morrissey for Assembly, and Betty Presley, a political consultant and its treasurer, failed to timely report late contributions received between 1997 and 1998.

MORROW, RICHARD, SI-89/400 (1992)

87100 - One (1) count
\$1,000 fine

The Commission adopted an administrative law judge's proposed decision which concluded that Richard Morrow, Pismo Beach City Councilmember, violated the conflict of interest provisions when he voted on a development issue which would produce a material financial benefit to him based on his interest in real property within the city.

MOSER, KEN, AND THE SAN DIEGO COUNTY CONSERVATIVE POLITICAL ACTION COMMITTEE, SI-97/501 (2000)

84200 – Three (3) counts
84200.5 and 84200.7 – Two (2) counts
84200.5 and 84200.8 – Two (2) counts
\$7,000 fine

Ken Moser, and the San Diego County Conservative Political Action Committee, a general purpose committee organized in 1993 by Moser for the promotion of conservative causes and candidates failed to timely file semi-annual and pre-election campaign statements in 1995 through 1996.

MOWER, MICHAEL, SI-88/87 (1989)

87100 - One (1) count
\$1,000 fine

Michael Mower, a member of the Ridgecrest City Council, violated conflict of interest laws by voting to approve a \$263,000 construction contract that significantly affected a source of income. Mr. Mower was a 25% owner of a hardware store and received more than \$250 in income from the business which was awarded the construction contract.

MULLIN, PETER W., SI-99/419 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,000 fine

Peter W. Mullin, of Los Angeles, failed to timely file a major donor campaign statement for contributions made to Californians for Paycheck Protection Committee; Team Noel '98 Committee; Kathleen Connell for Controller Committee; Lungren Committee for Common Sense Conservatism; and Control Committee for Bruce Thompson in 1998. In addition, it failed to file a late contribution report for the contribution made to Lungren Committee for Common Sense Conservatism.

MUNICICORP, INC., MD-87/364 (1988)

84200(b) - One (1) count
84203(b) - One (1) count
\$3,000 fine

Municicorp, Inc., of Los Angeles failed to file a required major donor statement and a late contribution report for 12 contributions totaling \$26,650 made in 1986.

MURRAY, WILLARD, MURRAY FOR ASSEMBLY COMMITTEE, LONNIE SANDERS, AND UNITED DEMOCRATIC CAMPAIGN COMMITTEE, SI-88/75 (1989)

84203 - Seven (7) counts
\$12,500 fine

The case resulted from a mandatory state audit of the 1986 Murray for Assembly Committee. The audit found that the committee received 16 late contributions totaling \$76,000 which failed to be properly disclosed by the candidate, his committee, and his treasurer, Lonnie Sanders. The audit

also found that the United Democratic Campaign Committee made a \$12,500 contribution to the candidate on May 30, 1986, but failed to file a required late contribution report.

N & S, INC., DBA JIMMY'S FAMILY RESTAURANT, SI-94/339 (1998)

84301 and 84300 - Three (3) counts
\$5,400 fine

N & S, Inc., dba Jimmy's Family Restaurant, located in Santee laundered campaign contributions to the campaign of Jim Romine for Santee City Council in 1992.

NAKAGAWA, TOM, SI-91/425 (1992)

84301 - Two (2) counts
\$4,000 fine

Tom Nakagawa, former senior auditor for the State Board of Equalization and candidate for the Democratic nomination to the first District Board of Equalization, laundered two \$99 contributions made in support of the Republican candidate, Jeff Wallack. Following Nakagawa's defeat, he made these contributions in the name of a relative to avoid disclosure.

NASH, JANICE, SI-84/237 (1986)

See also, A. Ron Rhone, et al., SI-84/237 (1987)

84104 - One (1) count
84211 - One (1) count
\$750 fine

Janice Nash, treasurer of the Friends of A. Ron Rhone Committee, failed to itemize contributions and expenditures which individually exceeded \$100 and did not keep detailed records necessary for the proper preparation of the committee's campaign statements.

NATHANSON, MARK, SI-85/76 (1986)

87300 and 87302 - Twenty (20) counts
\$13,400 fine

Mark Nathanson, a former member of the Commission on California State Government Organization and Economy (the Little Hoover Commission), failed to report numerous investments, interests in real property and sources of

income on his 1983, 1984, and 1985 annual Statements of Economic Interests.

NATHANSON, MARK, SI-91/577 (1994)

87100 - One (1) count
87207 - Four (4) counts
\$10,000 fine

The Commission issued a Default Decision and Order against Mark Nathanson, a member of the California Coastal Commission from 1986 until his resignation in 1992, who, as part of an effort to conceal a bribery scheme, failed to report four sources of income on his 1987, 1988 and 1990 Statements of Economic Interests. In addition, Nathanson committed a conflict of interest violation in 1988 by voting in favor of an application for a person from whom Nathanson solicited and received a bribe.

NATIONAL ALLIANCE INSURANCE CO., SI-99/504 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,000 fine

National Alliance Insurance Co., located in St. Louis, MO, failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to Charles W. Quackenbush Re-election Committee in 1998.

NATIONAL REPUBLICAN CONGRESSIONAL COMMITTEE NON-FEDERAL, SI-98/507 (1999 CIVIL SUIT)

84211
84216
\$30,000 fine

The National Republican Congressional Committee Non-Federal, a non-sponsored, state general purpose committee, failed to properly report loans received.

NATIONAL RIFLE ASSOCIATION, THE NRA POLITICAL VICTORY FUND, AND MARYROSE JENNISON, TREASURER, SI-94/183 (1995)

84202.5 - One (1) count

84202.7 - One (1) count
 84203 - Six (6) counts
 84203.5 - Twelve (12) counts
 84204 - Two (2) counts
 \$33,000 fine

National Rifle Association, the NRA Political Victory Fund, and Maryrose Jennison, treasurer, failed to file or timely file various campaign reports, which cumulatively involved over \$143,420 in political contributions made to California legislators during 1989-1992. These statements included a special odd-year report, twelve supplemental independent expenditure reports, a supplemental pre-election statement, two late independent expenditure reports, and six late contribution reports.

NATIONAL STEEL & SHIPBUILDING CO., SI-99/407 (2000)

84200 - One (1) count
 \$600 fine

National Steel & Shipbuilding Co., located in Arlington, VA, failed to timely file a major donor campaign statement for contributions made to Friends of Denise Moreno Ducheny; Lynn Schenk for Attorney General Exploratory Committee; Goldsmith for Treasurer Committee; Citizens for Andal Committee; Delaine Eastin Committee; Re-Elect Wayne for State Assembly Committee; Lungren Committee for Common Sense Conservatism; Kathleen Connell for Controller Committee; Bruce Thompson; and Californians for Gray Davis Committee in 1998.

NATIONAL UNITED FARM WORKERS POLITICAL ACTION COMMITTEE, SI-82/21 (1983)

84200 - Nine (9) counts
 84203 - Seven (7) counts
 84211 - Four (4) counts
 \$25,000 fine
 \$7,600 waived

The National United Farm Workers Political Action Committee did not timely file campaign disclosure reports in 1982 even though it contributed \$218,431 to candidates in that year's June primary election.

The PAC contributed more than \$1,160,000 to campaigns in 1980 and 1982. The committee disclosed a pattern of

failing to report contributions, underreporting contributions and funneling contributions through intermediaries, all of which had the effect of concealing the union's involvement in various political campaigns.

NAVARRO, PETER, AND ROBIN STARK, SI-93/456 (1996)

84216 and 84203 - Six (6) counts
 \$8,000 fine

Peter Navarro, a candidate for Mayor of San Diego in 1992, and Robin Stark, treasurer for Navarro's campaign committee, failed to properly disclose six loans received during the 1992 general election.

NEIL, MICHAEL, SI-2000/383 (2000)

87300 and 87302 - One (1) count
 \$200 fine

Michael Neil, a Lifeguard Captain for the City of Coronado's Department of Recreation, failed to timely file his 1999 annual Statement of Economic Interests.

NELSON, LINDA, FRIENDS OF LINDA NELSON, SI-84/295 (1987)

84104, 84206 (currently 84203), 84211, and 84300 - Numerous counts
 \$1,000 fine

Linda Nelson, an unsuccessful candidate for the 38th Assembly District in November 1984, committed campaign reporting violations which were found during a state Franchise Tax Board audit of the campaign statements filed by Friends of Linda Nelson.

NELSON, LUCILLE, SI-95/464 (1998)

84302 - One (1) count
 \$2,000 fine

Lucille Nelson, a chiropractor residing in Yorba Linda, failed to disclose the true source of a contribution made in 1993 to Residents to Protect Our Neighborhoods, a committee sponsored by the Bell Gardens Bicycle Club. Nelson also failed to disclose that she was an intermediary for the contribution.

NESTLE USA, INC., SI-95/182 (1996)

84203 - Two (2) counts
\$2,500 fine

Nestle USA, Inc., a major donor committee, failed to timely file late contribution reports for contributions made to Yvonne Brathwaite Burke, a candidate for the Los Angeles County Board of Supervisors, and her controlled committee in 1992.

NEUHAUSER, JAMES C., SI-99/412 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,000 fine

James C. Neuhauser of Irvine failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

NEWDOLL, ROBERT, C-78/28 (1980)

87203, 87206, and 87207 - Thirty-one (31) counts
\$7,000 fine
\$3,500 waived

Coastal Commissioner Robert H. Newdollar of Grover City failed to disclose interests in 14 properties valued at more than \$1 million. Mr. Newdollar was also a member of the Grover City Council in San Luis Obispo.

Mr. Newdollar also failed to disclose purchasers of lots, homes, or apartments as sources of income and in addition failed to disclose investments in three real estate development companies.

NICHOLS, MARY, SI-81/08 (1982)

87100 - Eight (8) counts
\$7,500 fine
\$6,750 waived

Mary Nichols, chairperson of the Air Resources Board violated the board's conflict of interest code when she participated in decisions on resolutions which could have had a reasonable foreseeable financial effect on four companies in which her husband, John F. Daum, as his separate property, had ownership interests in the form of common stock.

NO ON 106 - SPONSORED BY A CONSUMER & LEGAL COALITION AND LANCE OLSON, TREASURER, SI-90/571 (1992)

84211 (f) - One (1) count
84211 (j) - One (1) count
\$3,000 fine

No on 106 and its treasurer, Lance Olson, failed to timely report a contribution and an expenditure in the 1988 general election. This committee was formed to oppose Proposition 106, which would have imposed attorney fee limitations on tort claims. It received a \$20,000 contribution from another political committee, Good Driver Initiative, but failed to report that contribution on the pre-election statement due October 27, 1988. No on 106 also failed to report on the same pre-election statement an expenditure of \$30,000 to Gordon and Schwenkmeyer for professional services.

NO ON 128 - THE HAYDEN INITIATIVE, OPPOSED BY CONSUMERS, BUSINESS AND LABOR; THOMAS HILTACHK, TREASURER, AND MICHAEL D. MEYERS CO., INC., CAMPAIGN CONSULTANT, SI-92/433 (1994)

84211 - One (1) count
84211 and 84303 - Five (5) counts
\$10,000 fine

No on 128 - The Hayden Initiative, Opposed by Consumers, Business and Labor, a recipient committee primarily formed to oppose Proposition 128, its treasurer, Thomas Hiltachk, and Michael D. Meyers Co., Inc., campaign consultants, failed to timely disclose approximately \$660,000 in subvendor payments on reported expenditures to Meyers in 1990. No on 128 also failed to timely disclose two in-kind contributions worth approximately \$10,000 in 1990.

NO ON A IN REDONDO BEACH AND K'S NOT THE WAY, SI-97/649 (1999)

84200.5 and 84200.7 - One (1) count
\$1,000 fine

No on A in Redondo Beach and K's Not the Way, a recipient committee primarily formed to defeat Measure A, a school bond measure in 1997, failed to timely file a pre-election campaign statement.

NO ON PROPOSITION 37/MARVIN REYNOLDS, SI-87/24 (1988)

84206 (currently 84203) - Twenty-three (23) counts
\$15,000 fine

No on Proposition 37 Committee and its treasurer, Marvin Reynolds, failed to file late contribution reports. The campaign committee, also known as Californians Against the Eastern Lottery Fraud, received 23 contributions totaling \$841,250 from various horse racing interests during the final 16 days of the 1984 general election.

NOBLE, RICHARD, SI-86/447 (1987)

84200 - Thirteen (13) counts
\$8,000 fine

Richard Noble, an attorney in Los Angeles, and a member of the Colorado River Board of California, qualified as a major donor committee in 1982, 1984, 1985, and 1986 and failed to file major donor campaign statements for six separate reporting periods in a timely manner.

NORTH COUNTY BLUEPRINT COMPANY, INC., SI-2000/199 (2000)

84300 and 84301 – Four (4) counts
\$7,200 fine

North County Blueprint Company, Inc., a company located in Carlsbad that produces and reproduces blueprints for engineering and architectural firms, laundered campaign contributions to candidates for the Santee City Council in 1998.

NORTHWEST PIPE COMPANY, SI-2000/442 (2000)

84203 – One (1) count
\$2,000 fine

Northwest Pipe Company of Portland, Oregon, failed to file a late contribution report during the March 7, 2000, primary election.

OAK CREEK REALTY, INC., DBA OFFENHARTZ AND ASSOCIATES, AND DOUGLAS OFFENHARTZ, SI-94/22 (1995)

83116.5 - Thirty-one (31) counts
\$62,000 fine

Douglas Offenhartz, a real estate broker in Danville, and his businesses, Oak Creek Realty, Inc., dba Offenhartz and Associates, acted as intermediaries for various contributions made to the campaigns of local key candidates in Contra Costa County. Mr. Offenhartz, as a paid consultant, directed or assisted his client in laundering various other contributions.

OCCIDENTAL PETROLEUM CORP., SI-88/103 (1988)

84211(b) - One (1) count
\$1,900 fine

Occidental Petroleum Corporation failed to provide timely disclosure of a \$35,000 contribution made in 1987 to the Los Angeles Public and Coast Protection Committee, a political group opposed to a Los Angeles city initiative prohibiting offshore oil drilling in specified zones. The contribution was not disclosed on Occidental's major donor statement for the period of July through December 1987 or on any subsequent amendment on file at that time. However, Occidental did disclose the contribution immediately after contact by the Commission's enforcement staff.

OCEAN MIST FARMS, SI-99/410 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,600 fine

Ocean Mist Farms, located in Castroville, failed to timely file a major donor campaign statement for contributions made to Lungren Committee for Common Sense Conservatism; Dave Stirling for Attorney General Exploratory Committee; Alan Style for Assembly Committee; Committee to Elect Peter Frusetta '98 Committee; and Californians for Gray Davis Committee in 1998. In addition, it failed to file a late contribution report for the contribution made to Californians for Gray Davis Committee.

ODYSSEY MORTGAGEES, SI-96/149 (1998 CIVIL SUIT)

84200
\$2,000 fine

Odyssey Mortgagees, a limited partnership, made contributions to California Gaming Control Committee sponsored by Palm Springs Gaming Corporation in 1996 and failed to file a major donor campaign statement.

O'KEEFE, DAN, FRIENDS OF DAN O'KEEFE, FREDERICK SMITH, GUN OWNERS OF CALIFORNIA, JOHN HODGSON, SENATE REPUBLICAN POLITICAL ACTION COMMITTEE, JOHN HODGSON, LAW AND ORDER CAMPAIGN COMMITTEE, AND JOHN HODGSON, SI-80/23 (1980)

84200 - One (1) count

84204.1 - One (1) count

84210 (currently 84211) - Two (2) counts

84214 (currently 84203) - One (1) count

84303 - One (1) count

\$1,000 fine; \$500 waived - Dan O'Keefe, Friends of Dan O'Keefe, and Frederick Smith

\$1,000 fine; \$500 waived - Gun Owners of California and John Hodgson

\$400 fine; \$200 waived - Senate Republican Political Action Committee and John Hodgson

\$100 fine - Law and Order Campaign Committee and John Hodgson, Senator Dan O'Keefe, his treasurer, Frederick J. Smith, and his committee.

Friends of Dan O'Keefe failed to properly report receipt of a late in-kind contribution and failed to properly itemize campaign expenditures. O'Keefe himself failed to file required candidate statements, although his committee filed statements disclosing the receipts and expenditures of the campaign.

The Gun Owners of California and its treasurer, John H. Hodgson II, failed to report late in-kind contributions totaling \$20,982 which it made to the O'Keefe campaign.

Another \$16,600 in late monetary contributions to the O'Keefe committee was reported by the Gun Owners committee, but not in a timely fashion. However, receipt of both the \$20,982 and the \$16,600 in late contributions was properly reported on a timely basis by the O'Keefe committee.

The Senate Republican Political Action Committee and its treasurer, John H. Hodgson II, failed to properly report a late contribution to the Gloria Hom for State Senate Committee. However, that committee reported receipt of the late contribution on time.

The Law and Order Campaign Committee and its treasurer, John H. Hodgson II, failed to report in a timely manner a late contribution of \$2,500 to Friends of O'Keefe. However, the O'Keefe committee properly reported receipt of the contribution on time.

OLAMENDI, RAQUEL, AND COMMITTEE TO ELECT RAQUEL OLAMENDI, SI-99/245 (2000)

84200 - One (1) count

\$250 fine

Raquel Olamendi, candidate for Dana Point City Council, and her controlled committee, Committee to Elect Raquel Olamendi, failed to timely file a semiannual campaign statement for the period ending December 31, 1998.

OMOTO, MARTIN, SI-87/583 (1990)

87302 (b) - Two (2) counts

\$4,000 fine

Martin Omoto, senior consultant with the Office of Information Services, state Assembly, failed to timely file two financial disclosure statements required in 1981 and 1988. The statements failed to be filed despite numerous notices from the Commission of the filing violations and warnings that fines would be imposed for such violations.

O'NEILL, RICHARD J., SI-92/156 (1992)

84200(b) - One (1) count

\$2,000 fine

Richard J. O'Neill, former State Democratic Chairperson, qualified as a major donor committee during the first half of 1988, but failed to file a semi-annual contribution statement. O'Neill filed a combined statement in 1989, covering the entire 1988 year, but failed to disclose a \$10,000 loan made for the Assembly campaign of candidate Leon Ralph.

ORANGE COAST TITLE COMPANY, SI-99/378 (2000)

84203 - One (1) count

\$1,500 fine

Orange Coast Title Company failed to timely file a late contribution report for a contribution made in 1998 to the Lungren Committee for Common Sense Conservatism.

ORANGE COUNTY DEMOCRATIC CENTRAL COMMITTEE, SI-96/301 (1998)

84204 - One (1) count
\$1,500 fine

The Orange County Democratic Central Committee failed to report a \$10,000 expenditure made to create and mail a mass mailing in support of Assembly candidate Lou Correa on late independent expenditure reports prior to the 1996 primary election.

ORCO BLOCK COMPANY, INC., MD-90/395 (1991)

84200 (b) - Two (2) counts
84203 - Two (2) counts
\$4,000 fine

Orco Block Company, Inc., failed to meet deadlines for filing two major donor campaign statements in 1988 and 1989 and failed twice to file late contribution reports in 1988. Orco Block made campaign contributions totaling \$140,022 to various candidates and also late campaign contributions of \$20,000 to a committee in 1988.

ORDAS, WILLIAM J., SI-94/209 (1996)

89503 - Three (3) counts
\$6,000 fine

William J. Ordas, a Workers' Compensation appeals judge with the Department of Industrial Relations, violated the conflict of interests provisions of the Act when he accepted honoraria on three separate occasions for speeches given in 1992 and 1993 from claimants who appeared before the Workers' Compensation Appeals Board.

ORDUNA, KENNETH, ORDUNA FOR CITY COUNCIL, AND LONNIE SANDERS, TREASURER OF ORDUNA FOR CITY COUNCIL, SI-88/364 (1991)

81004 - Three (3) counts
84101 - One (1) count

84104 - One (1) count
84200 - One (1) count
84203 - Six (6) counts
84211 - Ninety-two (92) counts
84213 - Three (3) counts
84300 - Three (3) counts
84304 - Twenty-four (24) counts
\$187,500 fine

The Commission issued a Default Decision and Order against Kenneth Orduna, candidate for the Los Angeles City Council in 1987, Orduna for City Council, his campaign committee, and Lonnie Sanders, committee treasurer, who laundered numerous campaign contributions and committed other campaign reporting violations.

Mr. Orduna was chief of staff to U.S. Congressman Mervyn Dymally and was on leave of absence from that employment during his campaign. Mr. Sanders was special assistant to Congressman Dymally and was treasurer of the congressman's federal campaign committee for approximately three years. He also served as treasurer for Assemblyman Willard Murray during his 1986 Assembly campaign.

During the period in question, the City of Los Angeles had a \$500 limit on the amount of contributions that could be received from a single contributor, other than the candidate. Most of the violations occurred as a result of the respondents purposely trying to evade the \$500 contribution limit. The violations include accepting anonymous contributions, falsely disclosing and failing to properly disclose sources of contributions, making contributions in other than the contributor's legal name, failing to file late contribution reports, over and underreporting the amount of contributions, failing to keep adequate records, failing to exercise due diligence, and failing to timely file campaign statements.

ORGANIZATION MANAGEMENT, INC., SI- 87/143 (1988)

86114 - One (1) count
\$2,000 fine

Organization Management, Inc., a lobbying firm of Donald Burns, failed to properly disclose \$305,128 in payments from clients between April 1, 1985 and December 31, 1985. Through negligence, the firm omitted the payments on its second and third quarter lobbying reports that had

been previously reported on the company's first-quarter lobbying disclosure statement.

OSHMAN LIVING TRUST, SI-2000/415 (2000)

84203 – One (1) count
\$1,760 fine

The Oshman Living Trust located in Mill Valley failed to file a late contribution report during the March 7, 2000, primary election.

OTTO, JOHN F., SI-99/411 (2000)

84200 - One (1) count
\$400 fine

John F. Otto of Sacramento failed to timely file a major donor campaign statement for a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

PACHENGA BAND OF LUISENO INDIANS, SI-2000/425 (2000)

84203 – One (1) count
\$2,000 fine

Pachenga Band of Luiseno Indians, located in Newcastle, failed to file a late contribution report during the March 7, 2000, primary election.

PADILLA & ASSOCIATES, SI-2000/470 (2000)

84203 – One (1) count
\$1,500 fine

Padilla & Associates of Beverly Hills failed to file a late contribution report during the March 7, 2000, primary election.

PADILLA, ALEJANDRO, SI-99/788 (2000)

87300 - One (1) count
\$500 fine

Alejandro Padilla, district director in the California Assembly Rules Committee, failed to timely file his 1998 annual Statement of Economic Interests.

**PALM SPRINGS GAMING CORPORATION;
CALIFORNIA GAMING CONTROL COMMITTEE,
SI-96/149 (1998 CIVIL SUIT)**

84211
84105
\$25,000 fine

Palm Springs Gaming Corporation, with real property in Palm Springs; and California Gaming Control, its sponsored committee formed to qualify and support a ballot measure, the Gaming Control Act of 1996, failed to inform contributors that they may be required to file campaign statements in 1996 and failed to properly report loans received and repaid.

PALMER, JOHN N., SI-99/413 (2000)

84200 – One (1) count
\$400 fine

John N. Palmer of Jackson, Mississippi, failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

**PALMQUIST, EDWIN, MEASURE K, YES FOR
KIDS AND LOCAL SCHOOLS, SI-2000/226 (2000)**

84200 – Two (2) counts
84200.8 – One (1) count
\$3,500 fine

Edwin Palmquist, a certified public accountant and treasurer for the Measure K, Yes for Kids and Local Schools committee formed in El Monte in 1999, failed to timely file pre-election and semi-annual statements in 1999 and 2000.

PAN, ROGER, SI-97/527 (1998)

84301 and 84300 - Six (6) counts
84200 - One (1) count
\$12,600 fine

Roger Pan, a businessman with companies located in San Francisco, laundered six campaign contributions to Willie Brown, candidate for San Francisco Mayor, prior to the 1995 general election. In addition, Pan failed to timely file a major donor campaign statement in connection with these contributions and a contribution made to a candidate for the State Assembly.

PAN, SY ZUAN, MD-87/479 (1988)

84200(b) - One (1) count
\$500 fine

Sy Zuan Pan of San Francisco failed to timely file a major donor campaign statement for contributions totaling \$13,500 in 1986.

PANATTONI DEVELOPMENT, SI-98/480 (1999)

84200 - One (1) count
\$1,250 fine

Panattoni Development in Sacramento failed to file a semi-annual campaign statement for a contribution made to the Governor Pete Wilson Committee in 1998.

PAPARIAN, WILLIAM, SI-96/472 (1999)

84308 - Three (3) counts
\$4,500 fine

William Paparian, former Mayor and member of the Pasadena City Council and serving simultaneously as an appointed member of the Burbank-Glendale-Pasadena Airport Authority until 1999, improperly accepted and failed to disclose a campaign contribution of more than \$250 from a party to a proceeding in 1994 and failed to disqualify himself from voting on or participating in a matter involving that party in 1996 and 1997.

PAUL, GARY, SI-98/40 (1999)

83116.5 and 84211 - One (1) count
\$1,400 fine

Gary Paul, serving as a volunteer treasurer of the Democratic State Central Committee of California between 1994 and 1997, failed to take necessary steps to ensure that contributions and expenditures were properly itemized on campaign statements filed for the Committee.

PENHOET, EDWARD E., SI-98/689 (2000)

87300 - One (1) count
\$500 fine

Edward E. Penhoet, Dean of the School of Public Health at the University of California, Berkeley, failed to timely file an assuming office Statement of Economic Interests.

PENNA, CHARLES EDWARD, SI-97/452 (1999)

87100 - One (1) count
\$2,000 fine

Charles Edward Penna, former Chief Building Official in Lompoc, participated in a governmental decision in which he had a financial interest by approving a roofing permit in 1997 for an apartment complex that he managed also for which he received free rent and salary.

PERATA, DON, SI-97/263 (1999)

87207 - Six (6) counts
\$10,500 fine

Don Perata, member, California State Assembly, failed to disclose income on his candidate Statement of Economic Interests in 1995.

PEREZ, LOUIS, SI-95/444 (1998)

84300 - Two (2) counts
\$2,000 fine

Louis Perez, La Puente City Councilman and/or Mayor from 1990 to 1998, illegally accepted three cash contributions and made one cash expenditure over \$100 in 1995.

PETER C. FOY & ASSOCIATES, SI-2000/426 (2000)

84203 - One (1) count
\$1,500 fine

Peter C. Foy & Associates of Woodland Hills failed to file a late contribution report during the March 7, 2000, primary election.

PETRI, PHYLLIS L., PHYLLIS PETRI RE-ELECTION CAMPAIGN FUND AND INEZ PARSONS, TREASURER, SI-95/353 (1996)

84200 - Two (2) counts
84200.7 - Two (2) counts
\$4,000 fine

Phyllis L. Petri, a member of the Hemet Unified School District Board, and her committee failed to file campaign statements before the November 1994 election.

PICERNE ASSOCIATES, INC., SI-96/553 (1999)

84301 - Thirty-Two (32) counts
 84301 and 84300 - Seven (7) counts
 \$67,000 fine

Picerne Associates, Inc., located throughout Southern California and engaged in a number of corporate activities, including real estate development, laundered campaign contributions to four candidates for Ontario City Council during 1993 through 1996.

PIKE, TYRONE, SI-99/387 (2000)

84200 - One (1) count
 \$400 fine

Tyrone Pike of Woodside failed to timely file a major donor campaign statement for a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

PIPPO, DONALD P., SI-89/503 (1992)

87207 - Three (3) counts
 \$6,000 fine

The Commission accepted the administrative law judge's findings that Donald P. Pippo, a member of the Solano County Board of Supervisors, failed to disclose sources of income in the form of loans on his 1986, 1987, and 1988 Statements of Economic Interests. These loans were received from Jim Cassil, operator of a business known as Cassil Farms, which rendered farming services to respondent.

PLACER HOLDINGS, INC., SI-98/11 (1999)

84301 and 84300 - Six (6) counts
 \$10,800 fine

Placer Holdings, Inc., a California corporation that was involved in developing a planned community and golf course in Placer County, made six illegal campaign contributions to San Francisco Mayoral candidate Frank Jordan in 1995 by reimbursing employees and other persons who were intermediaries.

POLANCO, RICHARD, SI-94/216 (1996)

89513 - Four (4) counts
 \$6,000 fine

Richard Polanco, a member of the California State Assembly, used campaign funds to pay for health club dues during 1991-1994.

POLANCO, RICHARD, FRIENDS OF RICHARD POLANCO, SI-82/22 (1984)

84211 -Two (2) counts
 84300 - One (1) count
 \$3,000 fine
 \$1,500 waived

During the second reporting period, Richard Polanco, a Democratic candidate in the 1982 primary election for the 56th Assembly District, filed an amendment to his first campaign report showing that a \$20,000 loan, accounting for more than one-third of his total contributions during the period, was actually four separate loans totaling \$20,500 from his family and friends. However, none of the loans were received until the middle of the second reporting period after Polanco had verified and filed his first report. The totals reported for contributions on Polanco's first campaign statement were, therefore, inflated.

POLITICAL ACTION BY PEST CONTROL OPERATORS COMMITTEE, SI-86/568 (1987)

84200 - Five (5) counts
 \$2,000 fine

Pest Control Operators Committee failed to file five semi-annual campaign disclosure statements between January 1983 and December 1985. The group was established in 1979 as the political action committee for the trade association, Pest Control Operators of California, Inc.

Although almost all of the campaign contributions by the group were disclosed on lobbyist-employer reports between 1981 and 1986 as required by law, the political action committee did not disclose more than \$35,000 received from its members in 1983, 1984, and 1985 until overdue campaign statements were filed in May 1986.

POLITICAL ACTION THOROUGHbred HORSEMEN, SI-91/132 (1992)

84200 - One (1) count
 84203 - One (1) count
 \$3,000 fine

This general purpose recipient committee failed to file a semi-annual statement for the period July 1 - December 31, 1987, and also failed to file a late contribution report on June 3, 1988, reporting 20 late contributions made that day totaling \$25,600.

POLLARD, NANCY, COMMITTEE TO ELECT NANCY POLLARD JUDGE, AND ANN M. GARTEN, SI-99/227 (2000)

84211 - Two (2) counts
\$5,500 fine

Nancy Pollard, and her controlled committee, Committee to Elect Nancy Pollard Judge, and Ann M. Garten, its treasurer, failed to disclose contributions received and expenditures made and failed to file a second pre-election campaign statement in 1995.

POPE-LUDLAM, VALERIE, AND VALERIE BEAUREGARD, SI-95/74 (1998)

84211 - Three (3) counts
\$4,500 fine

Valerie Pope-Ludlam, San Bernardino City Councilwoman and mayoral candidate, and Valerie Beauregard, treasurer of both Ms. Pope-Ludlam's city council committee and mayoral committee, failed to report the receipt of contributions on campaign statements in 1993 and 1994.

POWAY UNIFIED SCHOOL DISTRICT, ROBERT L. REEVES, SI-89/430 (1991)

89001 and 83116.5 - One (1) count
\$1,500 fine

The Poway Unified School District and its superintendent, Robert L. Reeves, committed a mass mailing violation when it paid for and mailed approximately 36,000 copies of an annual report which contained photographs, names, and biographies of the elected school board members.

PRESS, BILL, GENERAL PRESIDENT'S OFFSHORE COMMITTEE, AND JACK ORMES, SI-80/80 (1982)

84300 - One (1) count
84306 - One (1) count

84201 (currently 84200.5) - One (1) count
\$1,000 fine - Bill Press
\$1,000 fine - General President's Offshore Committee
\$1,000 fine - Jack Ormes

Bill Press, Jack Ormes, and an umbrella organization of all construction trade unions, known as the General President's Offshore Committee, failed to disclose the true source of two \$6,000 campaign contributions.

Press was the proponent of a statewide ballot measure commonly known as the "Tax Big Oil" initiative (Proposition 11 on the June 3, 1980, ballot). The Offshore Committee functioned as a representative of the members of construction unions who engaged in offshore drilling. Ormes is an attorney and president of Jack R. Ormes, Inc., and had represented various unions as well as the Offshore Committee in the past.

The contributor of \$12,000 in contributions was reported on the Coalition Committee's campaign statements as coming from "Corporate Trust Account" rather than from the Offshore Committee. Ormes acted as an intermediary without identifying the true contributor (the Offshore Committee) of the funds. Press also knew the identity of the true contributor of the \$12,000 and had a duty to ensure that the contributor's identity was properly reported to the treasurer.

PRYOR, MARGARET, AND FRIENDS OF MARGARET PRYOR, SI-94/476 (1998)

84104 - One (1) count
84200 - Seven (7) counts
85201 - One (1) count
84211 - Fourteen (14) counts
84213 - Three (3) counts
84300 - Ten (10) counts
89511.5 - Two (2) counts
\$53,000 fine

Margaret Pryor, President of the Bay Area Rapid Transit District's Board of Directors, and her committee, Friends of Margaret Pryor, from 1991 through 1994 failed to timely file campaign statements, failed to report contributions received, failed to report expenditures made, failed to use due diligence in the preparation of campaign statements, illegally made cash expenditures, failed to use campaign account for contributions received and expenditures made, failed to maintain records, and received cash from the committee resulting in substantial personal benefit.

PURSLEY, WILLIAM, SI-90/503 (1992)

87100 - Three (3) counts
 87206 and 87207 - Seven (7) counts
 \$16,000 fine

William Pursley, former Lancaster City Councilman, violated the conflict of interest provisions when he voted on items which had a material financial effect on his sources of income. Pursley is a retired real estate broker who works as a real estate agent. He also failed to disclose investments, interests in real property, and sources of income on his 1989 Statement of Economic Interests.

PUTNAM, GLEN R., SI-92/549 (1995)

87100 - Four (4) counts
 87207 - One (1) counts
 \$9,500 fine

Glen R. Putnam, a member of the City of Fresno Mobilehome Park Rent Review and Stabilization Commission, failed to disclose income received from another commissioner from 1990 to 1992 on Statements of Economic Interests. In addition, on one occasion in 1990 and on three separate occasions in 1992, Mr. Putnam, voted within his official capacity to support issues that benefited that commissioner.

QUACKENBUSH, CHARLES W., QUACKENBUSH CAMPAIGN COMMITTEE, QUACKENBUSH COMMITTEE FOR INSURANCE REFORM, KARL W. DOLK, TREASURER, FRIENDS OF CHUCK QUACKENBUSH, AND PAUL D. HANCOCK, TREASURER, SI-94/633 (1997)

85201- Six (6) counts
 84211 - Thirteen (13) counts
 84303 - Two (2) counts
 84104 - Two (2) counts
 84105 - Five (5) counts
 84203 - Three (3) counts
 \$50,000 fine

Charles W. Quackenbush, successful candidate for State Insurance Commissioner in 1994, his controlled committees, Quackenbush Campaign Committee, Quackenbush Committee for Insurance Reform, and its treasurer, Karl W. Dolk, and Friends of Chuck Quackenbush, and its treasurer, Paul D. Hancock, failed to

properly deposit contributions and improperly made expenditures, failed to disclose subvendor payments, failed to properly disclose expenditures, failed to maintain required records for expenditures, failed to send required notices to major contributors, failed to timely file late contribution reports, failed to correctly disclose contributions and provide occupation and employer information, and failed to properly report the transfer of loans on his campaign statements in 1993 and 1994.

QUALCOMM, INC., SI-98/477 (1999)

84200 - One (1) count
 \$1,250 fine

Qualcomm, Inc., of San Diego failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

QUISENBERRY & BARBANELL LLP, SI-2000/457 (2000)

84203 - One (1) count
 \$1,500 fine

Quisenberry & Barbanel LLP located in Los Angeles failed to file a late contribution report during the March 7, 2000, primary election.

RAINEY, RICHARD K., RAINEY FOR SENATE, AND PAULA L. MILLER, TREASURER, SI-98/1 (2000)

84203 - One (1) count
 \$2,000 fine

Richard K. Rainey, candidate for California State Senate in 1996, his controlled committee, Rainey for Senate, and its treasurer, Paula L. Miller, failed to timely file a late contribution report disclosing receipt of nonmonetary contributions from the Senate Republican Majority Fund in 1996.

RALPH, LEON D., AND FRIENDS OF LEON RALPH, SI-90/572 (1993)

84200 - One (1) count
 84200.5 and 84200.7 - One (1) count
 84203 - Two (2) counts

84211 - One (1) count
 84305 - One (1) count
 \$1,750 fine

The Commission approved an administrative law judge's recommendation for a \$1,750 fine against former Assembly candidate Leon Ralph, an unsuccessful candidate for the 54th Assembly District in 1988. Ralph violated the campaign disclosure provisions when he failed to disclose the true source of a loan and failed to file various campaign statements and late contribution reports on time.

RAMIREZ, ARTURO, SI-99/551 (2000)

87200 and 87203 - One (1) count
 \$300 fine

Arturo Ramirez, Fowler Planning Commissioner, failed to timely file his 1998 annual Statement of Economic Interests.

RAY, MICHAEL D., MD-90/398 (1991)

84200 (b) - One (1) count
 \$1,000 fine

Michael D. Ray failed to timely file a major donor campaign statement disclosing contributions totaling \$22,750 made in 1988.

RAYNOR, OLIVIA, SI-99/809 (2000)

87300 and 87302 - One (1) count
 \$200 fine

Olivia Raynor, board member, State Council on Developmental Disabilities, failed to timely file her 1998 annual Statement of Economic Interests.

REGALADO, RUDY, SI-97/460 (1998)

87100 - One-Hundred Thirteen (113) counts
 \$226,000 fine

The Commission issued a Default Decision and Order against Rudy Regalado, a Los Angeles County Metropolitan Transportation Authority (MTA) assistant buyer, who authorized the awarding of 113 MTA purchase orders or change orders between 1994 and 1996 to John Park, who was a source of cash kickbacks to him.

RENNBERG, DANIEL, SI-2000/452 (2000)

84203 - One (1) count
 \$2,000 fine

Daniel Renberg of Los Angeles failed to file a late contribution report during the March 7, 2000, primary election.

REPUBLICAN CENTRAL COMMITTEE OF COLUSA COUNTY, SI-85/172 (1986)

84211 - Ninety-eight (98) counts
 \$1,000 fine
 \$500 waived

The Colusa County Republican Central Committee failed to itemize nearly 100 contributions totaling \$13,440 received by the committee at a 1982 fundraiser.

REPUBLICAN NATIONAL COMMITTEE-CALIFORNIA ACCOUNT, SI-99/455 (2000)

84203 - One (1) count
 \$2,000 fine

Republican National Committee - California Account, a California general purpose committee, sponsored by the Republican National Committee, failed to file a late contribution report in 1998.

REPUBLICAN NATIONAL STATE ELECTIONS, SI-98/318 (1998)

84203 - One (1) count
 \$2,000 fine

Republican National State Elections failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

REPUBLICAN STATE CENTRAL COMMITTEE OF CALIFORNIA, SI-80/26 (1980)

84305 - Fourteen (14) counts
 \$14,000 fine

Republican State Central Committee violated mass mailing identification requirements. The committee designed and distributed over one million campaign letters falsely

identifying Howard Jarvis as their sender. The letters, which were mailed approximately one week before the 1978 general election, were actually paid for and sent on behalf of 14 Republican candidates for the state Legislature.

Nowhere on the outside of the envelopes did the name of the candidates or their committees appear. Rather, the return address was marked, "Howard Jarvis, Los Angeles, California." Printed on the lower right corner was the notation "IMPORTANT, Tax Reduction Information Enclosed."

REPUBLICAN STATE CENTRAL COMMITTEE OF CALIFORNIA, RSCCC-SPECIAL ELECTIONS, RSCCC-FEDERAL AND STATE, CALIFORNIA REPUBLICAN PARTY, MICHAEL DONALDSON, AND WILLIAM SLOAN, SI-80/59 (1983)

84104 - Three (3) counts
84206 (currently 84203) - Four (4) counts
84210 (currently 84211) - Eight (8) counts
\$25,000 fine

The California Republican Party failed to maintain adequate campaign records of expenditures for the years 1979-80; failed to file campaign statements in a timely fashion (a total of 249 days late in 1980); failed to file reports of late contributions totaling \$53,000 in 1980; and failed to provide complete information for 77% of the contributors listed on the campaign statements.

RESIDENTIAL BUILDERS ASSOCIATION PAC AND JOE CASSIDY, SI-94/447 (1995)

84200(a) - Two (2) counts
84200(b) - One (1) count
84211(f) - One (1) count
84211(j) - Three (3) counts
84203 - Three (3) counts
84204 - Two (2) counts
\$14,000 fine

Residential Builders Association PAC, an association of builders and others in San Francisco, and its treasurer, Joe Cassidy, failed to report contributions received and expenditures made and failed to timely file its campaign statements during 1993 and 1994. Cassidy also failed to file a major donor campaign statement.

RETAILERS GOOD GOVERNMENT COUNCIL AND JAMES DEGNAN, SI-81/10 (1981)

81004 - One (1) count
84206 (currently 84203) - Twenty-five (25) counts
\$4,000 fine
\$2,000 waived

The Retailers Good Government Council Committee made late contributions totaling \$48,900 to 25 candidates, all of which were supposed to be reported to the Secretary of State by mailgram within 48 hours. The 25 contributions not reported comprised 74% of all contributions made during that period.

RHONE, A. RON, FRIENDS OF A. RON RHONE, SI-84/237 (1987)

See also, Janice Nash, SI-84/237 (1986)

84104 - One (1) count
84211 - One (1) count
84300 - One (1) count
\$6,000 fine
\$1,500 waived

A. Ron Rhone, a candidate for the Richmond City Council, and his controlled committee, Friends of A. Ron Rhone, violated campaign reporting laws by receiving cash contributions exceeding \$99, failing to itemize contributions and expenditures exceeding \$99 on campaign reports, and failing to keep detailed records necessary to the preparation of campaign statements.

RICHARDSON, H.L., SI-86/573 (1988)

87206 - Three (3) counts
87207 - Nine (9) counts
\$8,500 fine

Senator H.L. Richardson failed to list numerous interests on his financial disclosure statements for 1983, 1984, 1985, and 1986. Most of the omissions involved clients of the senator's company, Computer Caging Corporation, which received payments and made investments requiring disclosure.

RICK, WILLIAM B., SI-96/524 (1998)

87100 - One (1) count
\$1,750 fine

William B. Rick, a member of the California Coastal Commission, voted on an issue in 1995 that had a financial effect on the Southern California Edison Company, an entity in which Mr. Rick had a financial interest.

RICHMOND AMERICAN HOMES, SI-98/478 (1999)

84200 - One (1) count
\$1,250 fine

Richmond American Homes of Irvine failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

RILES, WILSON, JR., FRIENDS OF WILSON RILES, JR., AND JAMES E. VANN, TREASURER, SI-90/813 (1995)

81004 - Numerous counts
84211(f) - Numerous counts
84300(a) - Numerous counts
\$15,625 fine - Wilson Riles, Jr.
\$10,625 fine - James E. Vann

The Commission adopted an administrative law judge's proposed decision in the matter of Wilson Riles, Jr., Friends of Wilson Riles, Jr., and James E. Vann, treasurer.

Riles and Vann failed to properly itemize on a campaign statement their receipt of over \$60,000 in campaign contributions during Riles' Oakland Mayoral campaign in 1990 and illegally accepted cash contributions of \$100 or more. Riles and his campaign also failed to diligently ascertain the true source of thirty-eight \$1,000 contributions.

RING, DAVID, SI-2000/454 (2000)

84203 - One (1) count
\$2,000 fine

David Ring of San Francisco failed to file a late contribution report during the March 7, 2000, primary election.

RIORDAN, BARBARA, AND THE BARBARA RIORDAN FOR SUPERVISOR COMMITTEE, SI-88/583 (1989)

84305 - One (1) count

\$1,000 fine

San Bernardino County Supervisor Barbara Riordan and her committee failed to include proper sender identification on a mass mailing during her successful 1988 fall re-election campaign.

The mass mailing in question was a four-page newsletter identified as being sent by "Yucaipa Citizens for Supervisor Riordan Re-election" and "Paid for by Yucaipa Citizens for Supervisor Riordan Re-election, P.O. Box 2101, Redlands, CA 92373." The newsletter did not indicate that the mailer actually was paid for or sent by the Barbara Riordan for Supervisor Committee.

RIORDAN, RICHARD J., SI-96/214 (1996)

87100 - Two (2) counts
\$3,000 fine

Richard J. Riordan, Mayor of Los Angeles, violated the conflict of interest provisions when he approved a budget which included the design services of a firm in which he had a financial interest.

RIOS, DELLA, COMMITTEE TO ELECT DELLA RIOS, AND VERNON JOHNSON, SI-97/327 (1998)

84200 - Five (5) counts
84200.5 and 84200.8 - One (1) count
\$1,900 fine

The Commission adopted an administrative law judge's proposed decision in the matter of Della Rios, successful candidate to the Board of Trustees of the Bassett Unified School District in Puente, and the Committee to Elect Della Rios, and Vernon Johnson, treasurer, who failed to timely file required preelection and semiannual campaign statements between 1993 and 1996.

RITCHIE, STEVEN, SI-91/148 (1992)

87300 - Two (2) counts
\$2,500 fine

Steven Ritchie, Executive Officer of the San Francisco Bay Regional Water Quality Control Board, failed to disclose his wife's income on his Statements of Economic Interests for 1988 and 1989.

RIVERA, MARY L., SI-94/528 (1996)

87100 - One (1) count
 87207 - One (1) count
 \$3,500 fine

Mary L. Rivera, employed by the California Employment Development Department, violated the conflict of interest provisions regarding contracts awarded to a training company from which her husband received income. Rivera also failed to disclose this income on her annual statement of economic interests.

RIVERSIDE TOMORROW - RIVERSIDE LANDOWNERS AND ARLINGTON HEIGHTS LANDOWNERS ASSOCIATION COMMITTEE, SI-88/239 (1991)

84305, 84106(a), and 84102(a) - Two (2) counts
 84211(f) - One (1) count
 \$4,000 fine

Riverside Tomorrow-Riverside Landowners and Arlington Heights Landowners Association (AHLA) Committee, a campaign committee sponsored by the AHLA, failed to properly identify itself as a sponsored committee on political mailers which were sent in 1987 and 1988. The committee also failed to timely disclose information regarding individual members of the AHLA, who made contributions to Riverside Tomorrow through their organization, on campaign statements filed during the latter half of 1988.

ROBBINS, ALAN, SI-90/121 (1994)

84207 - Eight (8) counts
 \$15,000 fine

Former Senator Alan Robbins of the 20th District, as part of an effort to conceal a bribery scheme, failed to report three sources of income on his Statements of Economic Interests in 1988 and 1989. Robbins also failed to report five other miscellaneous sources of income not related to the bribery scheme.

ROBERSON, BRUCE, SI-99/797 (2000)

87300 - Two (2) counts
 \$600 fine

Bruce Roberson, designated employee of the California State Assembly, failed to timely file his assuming office and leaving office Statements of Economic Interests.

ROBERTI, DAVID, AND DAVID ROBERTI ELECTION COMMITTEE, SI-92/271 (1995)

84305 - One (1) count
 \$500 fine

Former state Senator David Roberti's controlled committee sent out a mass mailing containing an endorsement by Assemblyman Richard Katz and failed to place proper sender identification on the outside of the mailing, resulting in the appearance that it came from Assemblyman Katz.

ROBERTS, DUANE, SI-98/291 (1998)

84203 - One (1) count
 \$1,250 fine

Duane Roberts of Riverside failed to file a late contribution report for a contribution made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

ROBINS, CHARLES, SI-99/383 (2000)

84200 - One (1) count
 \$400 fine

Charles Robins, La Jolla, failed to timely file a major donor campaign statement for a contribution made to Lungren for Governor committee in 1998.

ROBINSON, CALCAGNIE & ROBINSON, INC., SI-2000/402 (2000)

84203 - One (1) count
 \$2,000 fine

Robinson, Calcagnie & Robinson, Inc., located in Newport Beach, failed to file a late contribution report during the March 7, 2000, primary election.

ROBLES, ALBERT, SI-95/39 (1998)

84203 - One (1) count

84302 - Two (2) counts
\$5,000 fine

Albert Robles, member of the South Gate City Council and candidate for the State Board of Equalization in 1994, failed to file late contribution reports and also failed to properly disclose two contributions that were made through an intermediary.

ROCKWELL INTERNATIONAL, SI-98/475 (1999)

84200 - One (1) count
\$1,250 fine

Rockwell International located in Costa Mesa failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

RODDER, GERALD R., SI-92/549 (1995)

87206 - One (1) count
\$1,500 fine

Gerald R. Rodder, member of the City of Fresno Mobilehome Park Rent Review and Stabilization Commission, failed to disclose various economic interests from 1990 to 1993.

RODDY, CAROLYN A., SI-99/180 (2000)

87302 - One (1) count
\$500 fine

Carolyn A. Roddy, designated employee of the Foothill Private Industry Council, failed to timely file her 1997 annual Statement of Economic Interests.

RODRIGUEZ, MARCIAL "ROD", COMMITTEE TO RE-ELECT MAYOR ROD RODRIGUEZ, SI-86/71 (1987)

84305 - One (1) count
\$1,000 fine

Marcial "Rod" Rodriguez and the Committee to Re-Elect Mayor "Rod" Rodriguez committed a mass mailer violation in January 1986 by failing to properly identify the sender. The mailer sent by Rodriguez stated on the outside, "Important! Voter Information Enclosed." It provided as the sole sender identification: "Office of the Mayor, Norwalk, CA 90650."

RODRIGUEZ, MIKE, SI-99/327 (2000)

87300 - One (1) count
\$500 fine

Mike Rodriguez, member of the City of Madera's Civil Service Commission, failed to timely file his 1998 annual Statement of Economic Interests.

ROGERS, GARY, SI-98/479 (1999)

84200 - One (1) count
\$1,250 fine

Gary Rogers of Oakland failed to file a semi-annual campaign statement for a contribution made to the Governor Pete Wilson Committee in 1998.

ROLLED STEEL PRODUCTS CORPORATION, MD-87/366 (1988)

84200(b) - One (1) count
\$1,500 fine

Rolled Steel Products Corporation failed to file a major donor campaign statement for contributions totaling \$13,500 in 1986.

ROSENTHAL, HERSCHEL, FRIENDS OF ROSENTHAL, AND BERMAN AND D'AGOSTINO CAMPAIGNS, SI-92/389 (1996)

84305 - One (1) count
83116.5 - One (1) count
\$4,000 fine

Senator Herschel Rosenthal and his campaign committee did not comply with the sender identification requirements when they sent constituents a large campaign mailing where the envelopes did not state the name and address of the candidate or committee. Berman and D'Agostino Campaigns, consultants to Friends of Rosenthal, was responsible for the mass mailing violation.

ROTELLI, LARRY, SI-96/158 (1996)

87100 - One (1) count
\$1,500 fine

Larry Rotelli, a member of the Tuolumne County Board of Supervisors, violated the conflict of interest provisions of the Act when he participated in a board vote that had a

material financial effect on a source of income to his spouse.

ROTTEVEEL, NEAL, SI-90/727 (1994)

87100 - Five (5) counts
\$9,000 fine

Neal Rotteveel, a member on the Dixon Unified School District Board, committed conflict of interest violations when he participated in five governmental decisions in 1990 pertaining to the Board's purchase of real property from Pheasant Run, a source of income to him within the preceding 12 months.

ROWE, FRANCES, SI-95/316 (1998)

84211 - Fourteen (14) counts
\$28,000 fine

Frances Rowe, member of the Sunnyvale City Council and unsuccessful candidate for reelection in 1995, failed to disclose that she was the true source of campaign contributions made with her own money to her campaign in an attempt to create the deceptive appearance of public support from the community.

RUBIN, DANIEL, SI-2000/453 (2000)

84203 – One (1) count
\$1,500 fine

Daniel Rubin of Atherton failed to file a late contribution report during the March 7, 2000, primary election.

RUBIN FOR JUDGE COMMITTEE, SI-83/02 (1984)

84305 - One (1) count
\$2,000 fine

The Rubin for Judge Committee, a campaign organization controlled by Charles G. Rubin who was elected to the municipal court bench in June 1982, violated campaign mailing provisions when it distributed Republican and Democrat slate mailers, with Team "82" identified as the source of the mailings. Team "82" was just another name for the Rubin for Judge Committee, which actually designed and paid for the mailings.

RUFFIN, ROBERT S., SI-93/291 (1996)

87407 - One (1) count
\$1,500 fine

Robert Ruffin, a former state employee with the Department of Health Services, violated the conflict of interest provisions when he made recommendations which influenced a decision to grant MEDIQ's application for Medi-Cal subacute care for the Clovis Community Hospital. Ruffin's participation in this decision occurred after he received an offer of employment from MEDIQ.

RUSSELL, CHRISTINE H., SI-2000/397 (2000)

84203 – Two (2) counts
\$2,400 fine

Christine H. Russell of San Francisco failed to file late contribution reports during the March 7, 2000, primary election.

RV MERCHANT, INC., SI-97/187 (1998)

84301 and 84300 - Thirteen (13) counts
\$26,000 fine

RV Merchant, Inc., a corporation engaged in the sale of recreational vehicles in Escondido, laundered campaign contributions to the campaign of Keith E. Beier for Escondido City Council in 1996.

SABATINO, CARMEN, SI-90/369 (1993)

84305 - One (1) count
\$2,000 fine

The Commission approved an administrative law judge's recommendation for a \$2,000 fine against Carmen Sabatino, a former Stanislaus County Supervisorial candidate, for failing to include proper sender identification on a campaign mailing during his unsuccessful 1990 campaign for supervisor.

SACRAMENTO, COUNTY OF, SI-93/345 (1996)

84202 - One (1) count
84203.5 - Three (3) counts
84204 - One (1) count
\$10,000 fine

The County of Sacramento failed to report expenditures relating to ballot measure communications in 1993 and 1994. The County produced an insert included in county utility bills endorsing Proposition 172 and Measure Q and a brochure endorsing Measure B.

SACRAMENTO COUNTY DEPUTY SHERIFFS' ASSOCIATION PAC, AND MARK M. IWASA, TREASURER, SI-99/90 (2000)

84203 - Two (2) counts
84104 - One (1) count
84211 - One (1) count
\$3,250 fine

Sacramento County Deputy Sheriffs' Association PAC, a general purpose committee, and its treasurer, Mark M. Iwasa, failed to timely file late contribution reports, failed to maintain required records, and failed to timely disclose expenditures during 1995 and 1996.

SAFEWAY, INC., SI-2000/395 (2000)

84203 - Two (2) counts
\$1,650 fine

Safeway, Inc., located in Pleasanton failed to file late contribution reports during the March 7, 2000, primary election.

SAGOUSPE, JEAN P. JR., AND JEAN SAGOUSPE FARM ACCOUNT, SI-90/341 (1995)

84300(c) and 84302 - Twelve (12) counts
\$21,000 fine

Jean P. Sagouspe, Jr., a developer in Merced County in 1990 and his business, Jean Sagouspe Farm Account, acted as intermediaries for 12 campaign contributions made to the campaign of an unsuccessful candidate in the Santa Clara County Board of Supervisors election in 1990.

SANDERS, J. STANLEY, SANDERS FOR MAYOR, SI-94/711 (1998 CIVIL SUIT)

89517
84203
84211
85201
\$59,490 fine

J. Stanley Sanders, candidate for Mayor of Los Angeles in 1993, and his controlled committee Sanders for Mayor, made an unlawful expenditure of campaign funds for an office lease, failed to file late contribution reports, failed to disclose contributions received on

campaign statements and made expenditures from an account that was not the designated campaign bank account, all during 1993.

SAN DIEGO CITY FIRE FIGHTERS LOCAL 145 I.A.F.F., SI-91/493 (1992)

84204 - Two (2) counts
\$1,500 fine

San Diego City Fire Fighters, Local 145, I.A.F.F., failed to timely file two late independent expenditure reports. One was for \$13,500 spent for a mailer opposing the recall of City Councilmember Linda Bernhardt; the other was for \$1,945 spent on a mailer in support of then City Councilmember Bruce Henderson.

SAN FRANCISCANS FOR COMMON SENSE AGAINST PROPOSITION K, DAVID GILMOUR, AND THOMAS C. SCANLON, TREASURER, SI-90/928 (1993)

84203 and 83116.5 - One (1) count
84211 and 83116.5 - One (1) count
84203 - One (1) count
84211 - One (1) count
\$7,000 fine

San Franciscans for Common Sense Against Proposition K, a group that opposed a "domestic partners" ordinance, its committee campaign manager, David Gilmour, and its treasurer, Thomas C. Scanlon, committed campaign disclosure violations in 1990.

The committee and Gilmour falsely reported on a campaign statement and late contribution report that a \$6,000 contribution received from the Traditional Values Coalition was anonymous. The committee and treasurer also failed to timely file a report disclosing a late contribution received and failed to provide occupation, employer, and cumulative contribution information on their campaign statements.

SAN FRANCISCO BAY PROFESSIONAL SOCCER CLUB, INC., SI-96/188 (1997)

84300 and 84301 - Twenty-two (22) counts
\$39,000 fine

San Francisco Bay Professional Soccer Club, Inc., who owned a soccer team located in San Jose from 1991

through 1994, laundered contributions to San Jose City Council members between 1992 and 1993.

SAN FRANCISCO CONSTRUCTION MANAGEMENT, INC., (FORMERLY O'BRIEN KREITZBERG & ASSOCIATES, INC.), SI-96/519 (1997)

84300 and 84301 - Twenty-three (23) counts
84200 - One (1) count
\$40,800 fine

San Francisco Construction Management, Inc., one of two entities formed under Dames & Moore after the sale of O'Brien Kreitzberg & Associates, laundered contributions mostly made either to Bay Area Rapid Transit (BART) director campaigns or to mayor and city council candidates in Los Angeles, Long Beach, and San Diego between 1993 and 1995. The largest laundered contributions were made to two opposing measures on the ballot in San Francisco in 1994, Proposition I, supported by BART, and Proposition H, supported by the San Francisco Airport Authority. In addition, San Francisco Construction failed to file major donor campaign statements.

SAN FRANCISCO FORTY-NINERS AND KEITH SIMON, CFO, SI-94/657 (1995)

84301 - Fifteen (15) counts
84302 - Fifteen (15) counts
\$60,000 fine

The San Francisco Forty-Niners, a professional football team, laundered fifteen contributions in the names of various individuals to the San Francisco Agnos for Mayor committee in 1991. Keith Simon, Chief Financial Officer, acted as an agent of the San Francisco Forty-Niners in making reimbursements to individuals used to launder the contributions.

SANDOVAL, MANUEL, SE-84/39 (1984)

87200 and 87203 - One (1) count
\$600 fine

Manuel Sandoval, a San Jose City Planning Commissioner, failed to file his 1982 Statement of Economic Interests. Enforcement proceedings were initiated when no response was received to the staff notifications of his failure to comply.

SANG, CHING, SI-99/552 (2000)

87300 - One (1) count
\$200 fine

Ching Sang, a member of the Board of Governors of the Museum of Natural History in Los Angeles, failed to timely file her 1998 annual Statement of Economic Interests.

SANTA CLARA NO ON PROP. 13 COMMITTEE AND ALF OFTEDAHL, SI-80/13 (1981)

81004 - One (1) count
84100 - One (1) count
84101 - One (1) count
84201 (currently 84200.5) - One (1) count
84206 (currently 84203) - One (1) count
84210 (currently 84211) - Three (3) counts
84214 (currently 84203) - One (1) count
\$100 fine

The Santa Clara County No on Proposition 13 Committee and Alf L. Oftedahl, treasurer, committed violations involving failure to timely file campaign disclosure statements properly. The committee also failed to keep records required and reported expenditures that were not incurred.

SANTA CLARITA BUSINESS PARK CO., SI-99/393 (2000)

84200 - One (1) count
\$400 fine

Santa Clarita Business Park Co., located in Newhall, failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

SANTA NELLA PARTNERS, STEPHEN MACIE, PATRICIA MACIE, MACIE DEVELOPMENT CORPORATION, GORDON GRAVELLE, BLACK DIAMOND LAND & REALTY COMPANY, ELEANOR PEAN, GULL LAND COMPANY, INC., EDWARD PARDUE, AND MARGUERITE PARDUE, SI-90/341 (1995)

84300(c) and 84301 - Thirteen (13) counts
\$23,000 fine

Santa Nella Partners, a real estate investment association in Merced County and its members, laundered contributions in the names of various individuals and businesses to Carl Guardino's unsuccessful campaign for Santa Clara County Board of Supervisors in 1990.

SANTANA, CHARLES, GC-87/216 (1988)

84308 - One (1) count
\$1,500 fine

As an appointed LAFCO member, Alameda County Supervisor Charles Santana voted to approve annexation of a 15-acre parcel of unincorporated land by the City of Dublin. The action was sought by a developer to proceed with a multi-unit townhouse project. The developer was a regular participant in annual fundraising golf tournaments held by the supervisor and had been disclosed by Santana's campaign as having contributed more than \$250 within 12 months of the LAFCO decision.

SANTEE MOBILE ESTATES, A CALIFORNIA LIMITED PARTNERSHIP, SI-94/339 (1998)

84301 and 84300 - Ten (10) counts
\$17,000 fine

Santee Mobile Estates, a California Limited Partnership which owns a mobile home park located in the City of Santee, made contributions in the names of others to a campaign committee and three candidates for Santee City Council in Santee in 1994 and 1996 without disclosing the true source of the funds.

SARACINO, WILLIAM E., FREE MARKET POLITICAL ACTION COMMITTEE, CITIZENS FOR RESPONSIBLE REPRESENTATION, AND FUND FOR A RESPONSIBLE LEGISLATURE, SI-95/310 (1997)

84200 - Nine (9) counts
84200.5 and 84200.7 - One (1) count
84104 - Sixteen (16) counts
\$36,000 fine

William E. Saracino, executive director and treasurer of the Free Market Political Action Committee, and also treasurer to the Citizens for Responsible Representation and Fund for a Responsible Legislature committees, failed to timely file campaign statements and failed to

collect and maintain required records for payments to himself between 1993 and 1996 for these committees.

SARE, DALE L., COMMITTEE TO ELECT DALE L. SARE, SUPERIOR COURT JUDGE, AND JOHN N. CEFALU, TREASURER, SI-99/83 (2000)

84104 - One (1) count
84203 - Two (2) counts
84300 - One (1) count
\$3,500 fine

Dale L. Sare, an unsuccessful candidate for Superior Court Judge in El Dorado County in 1996, his controlled committee, Committee to Elect Dale L. Sare, Superior Court Judge, and its treasurer, John N. Cefalu, failed to file late contribution reports, failed to maintain detailed accounts, records, bills, and receipts necessary to properly prepare campaign statements, and received illegal cash contributions in 1996.

SAVE OUR PORT HUENEME POLICE DEPARTMENT, JILLYNN TAYLOR, AND VALORIE MORRISON, SI-94/539 (1996)

84200.5 and 84200.7 - Two (2) counts
\$2,250 fine

Save Our Port Hueneme Police Department, a committee active in supporting the passage of Measure Z in the City of Port Hueneme, and Jillynn Taylor, Treasurer, and Valorie Morrison, Chairperson, failed to file campaign statements reporting the contributions received and expenditures made.

SCAIFE, RICHARD, SI-98/324, SI-98/346 (1998)

84203 - One (1) count
84200 - Three (3) counts
\$7,000 fine

Richard Scaife, a citizen of Pennsylvania, failed to file a late contribution report and failed to file a semi-annual campaign statement for contributions made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998. In addition, Mr. Scaife failed to file two semi-annual campaign statements in connection with contributions to Yes on 209 in 1996.

SCHABARUM FUND FOR CALIFORNIA'S FUTURE, PETER F. SCHABARUM, AND ROBERT E. WEISS, TREASURER, SI-91/608 (1993)

84105 - One (1) count
84203 - One (1) count
\$3,000 fine

The Schabarum Fund for California's Future, its treasurer, Robert E. Weiss, and Peter F. Schabarum, violated the campaign disclosure provisions when they failed to file a report in 1990 for a \$12,500 late contribution made in support of a state ballot measure. They also failed to send notices in 1989 and 1990 to contributors of \$5,000 or more advising them that they might be required to file major donor campaign statements.

SCHADE, ANDRE, SI-92/68 (1993)

87100 - One (1) count
87200 - One (1) count
\$2,500 fine

The Commission issued a Default Decision and Order against Andre Schade, Fort Bragg City Councilman, who failed to disclose a \$3,000 loan from a local developer on his 1990 annual Statement of Economic Interests. Schade also committed a conflict of interest violation in 1991 when he participated in a governmental decision which had an effect on the developer, despite a disqualifying financial interest.

SCHELL, JOSEPH M., SI-98/325 (1998)

84200 - One (1) count
84203 - One (1) count
\$2,500 fine

Joseph M. Schell of Lafayette, California, failed to file a late contribution report and failed to file a semi-annual campaign statement for contributions made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

SCHMITZ, JOHN, SI-81/17 (1983)

84211 - Two (2) counts
87207 - One (1) count
\$6,000 fine
\$3,000 waived

Former state Senator John Schmitz incorrectly reported \$9,000 on his campaign statements. Schmitz gave checks written on the account of his campaign committee to a contributor of Schmitz in return for checks from the contributor which were made payable to Schmitz personally. These transactions had the effect of transferring money from the campaign committee to Schmitz without disclosing the true nature of the transactions.

SCHNABEL, MARNA, SI-97/574 (1999)

87203 and 87206 - Three (3) counts
\$6,000 fine

Marna Schnabel, member of the Los Angeles City Planning Commission, failed to adequately and fully disclose her investments on annual Statements of Economic Interests covering 1995, 1996, and 1997.

SCHRAMEL, DAVID, SI-90/317 (1992)

87100 - One (1) count
\$2,000 fine

David Schramel, a member of the Board of Directors of the Indian Valley Hospital District, violated the conflict of interest provisions when he voted in favor of a contract which benefitted a client of his oxygen supply business.

SCHWARTZ, STUART, SI-99/392 (2000)

84200 - One (1) count
\$400 fine

Stuart Schwartz of Studio City failed to timely file a major donor campaign statement for a contribution made to Lungren for Governor committee in 1998.

SCOTT, MARSHALL, MARSHALL C. SCOTT COMMITTEE, SI-88/151 (1989)

87100 and 87103 - Two (2) counts
84211 (c) and (f) - Three (3) counts
\$10,000 fine

Marshall Scott of Moreno Valley committed conflict of interest and campaign reporting violations. As councilmember in 1985, Mr. Scott cast votes to approve development projects in Moreno Valley that were likely to significantly benefit a source of income. Mr. Scott's

violations involved his votes to approve a plan for a 76-unit apartment being developed by Sykes Construction Company, and to approve a 48-lot subdivision being developed by Sykes Enterprises Corporation.

Mr. Scott had a financial interest in the decision requiring disqualification because of income received from Albert Sykes, a 50% partner in the apartment project and sole owner of the company developing the subdivision. The councilmember had received \$570 in insurance commission fees from Mr. Sykes within 12 months of the first decision and another \$1,600 in commission fees within 12 months of the second decision.

The campaign reporting violations for Mr. Scott involved his failure to properly cumulate at least a dozen contributions received in 1985 and 1986, which individually totaled \$99 or less.

SCPIE INDEMNITY COMPANY, SI-99/390 (2000)

84200 – One (1) count
\$600 fine

SCPIE Indemnity Company located in Los Angeles failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism and the California Agenda Committee in 1998.

SEASIDE CITIZENS LEAGUE FOR PROGRESS AND JAMES MANNING, SI-79/87 (1980)

81004 - One (1) count
84101 - One (1) count
84200 - One (1) count
\$300 fine

The Seaside Citizens League failed to file campaign disclosure reports in connection with a city council recall election in that Monterey County community in 1979. The committee spent about \$13,000 in the campaign but did not file any reports until eight days after the February 5 election.

SEMBLER, MEL, SI-98/474 (1999)

84200 - One (1) count
\$1,250 fine

Mel Sembler of St. Petersburg, Florida failed to file a semi-annual campaign statement for a contribution

made to Lungren for Governor, a controlled committee, in 1998.

SENATE REPUBLICAN MAJORITY FUND, AN OFFICEHOLDER COMMITTEE OF SENATOR ROB HURTT, ROB HURTT, AND SEYOL CHOYE, TREASURER, SI-98/1 (2000)

84203 - One (1) count
\$1,500 fine

Senate Republican Majority Fund, an Officeholder Committee of Senator Rob Hurtt and controlled by Rob Hurtt, and Seyol Choye, its treasurer, failed to file a late contribution report for nonmonetary contributions made the Rainey for Senate Committee in 1996.

SEVIER, GERALD F., COMMITTEE TO ELECT GERALD F. SEVIER JUDGE, DUANE W. SCOTT, TREASURER, SI-94/184 (1996)

84211 - One (1) count
84300 - One (1) count
84303 - One (1) count
\$3,500 fine

Gerald F. Sevier, a successful judicial candidate for the Tulare County Superior Court in 1992, and his committee and its treasurer, Duane W. Scott, failed to disclose contributions received and failed to report subvendors for expenditures. Sevier also illegally received cash contributions of \$100 or more.

SHADOWROCK DEVELOPMENT CORP., SI-96/149 (1998 CIVIL SUIT)

84200
\$3,000 fine

Shadowrock Development Corp., with real property in Palm Springs, failed to file major donor campaign statements for loans and nonmonetary contributions made to the California Gaming Control Committee in 1996.

SHANBOUR, FRED, SI-89/266 (1989)

86107 - One (1) count
86114 - One (1) count
\$3,000 fine

Fred Shanbour, a Sacramento lobbyist, failed to properly disclose payments received as a lobbyist to influence legislative action. The two violations stem from payments from International Hair Runner, Inc., which were not properly disclosed by Mr. Shanbour.

SHANNON, ROBERT, SI-91/376 (1993)

84301 - Six (6) counts
\$11,000 fine

Robert Shannon, a Fremont real estate broker, laundered \$99 contributions made in support of Fremont mayoral candidate Bill Ball and city council candidate Gary Mello in 1989. The cumulative total of Shannon's contributions also exceeded City of Fremont's contribution limit of \$249.

SHAPIRO, DAN, FRIENDS OF DAN SHAPIRO, SI-87/614 (1989)

84211(f), 84211(g), 84213, and 84216 - One (1) count
\$1,000 fine

Dan Shapiro and his campaign committee committed campaign reporting violations during a 1985 campaign for city controller in Los Angeles. A mandatory state audit of the committee found that a \$100,000 contribution from Mr. Shapiro was a loan at 10.5% to the candidate from First Interstate Bank. A promissory note was cosigned by the candidate's father, Bernard Shapiro, who repaid the entire loan during the campaign. The loan was improperly reported as being made by the candidate to the committee without interest or a due date. The statement was verified by the candidate on March 25, 1985.

SHEEHAN, MARIA, SI-91/37 (1993)

87100 - One (1) count
87300 - One (1) count
\$1,500 fine

Maria Sheehan, Vice-Chancellor for Human Resources for the California Community Colleges, committed a conflict of interest violation when she participated in a decision which would have a material financial effect on her source of income. Sheehan also failed to disclose this source of income on her Statement of Economic Interests.

SHELBY, JACK, SI-79/61 (1980)

86107 (currently 86113) and 86110 (currently 86117)
Twenty-one (21) counts
\$2,500 fine
\$1,250 waived

Jack Shelby, lobbyist for the California Association of Realtors, failed to file financial disclosure reports on time. Mr. Shelby filed 21 reports from one to 212 days late.

SHERIFFS, LEIGH, SI-84/274 (1985)

87300 and 87302 - One (1) count
\$2,000 fine
\$500 waived

Leigh Sheriffs, a commissioner on the state Building Standards Commission, failed to file an annual Statement of Economic Interests for 1983.

SHIMMON, A. JOHN, A. JOHN SHIMMON CAMPAIGN COMMITTEE, MELVIN SWIG, SI-85/18 (1986)

84104 - One (1) count
84211 - Three (3) counts
\$3,500 fine

A. John Shimmon, candidate for the Board of Equalization, A. John Shimmon Committee, and its treasurer, Melvin Swig, failed to itemize committee reimbursement expenditures to Mr. Shimmon, which were \$100 or more, and failed to keep records substantiating an expenditure to the candidate.

SHUBIN, WILLIAM M., MARTHA SHUBIN, SABOR ENVIRONMENTAL SERVICES, INC., DBA THRIFTY BEST PUMPING SERVICE, CENTRAL CALIFORNIA WASTE PAPER, INC., AND UNIVERSAL PLUMBING AND DRAIN LINE SERVICE, SI-97/454 (2000)

84200 - Three (3) counts
84203 - Three (3) counts
84300 - Fifteen (15) counts
84301 - Fifteen (15) counts
\$70,000 fine

William M. Shubin and Martha Shubin, residents of Fresno and owners of Sabor Environmental Services, Inc., dba Thrifty Best Pumping Service, Central California Waste

Paper, Inc., and Universal Plumbing and Drain Line Service, laundered campaign contributions to candidates for the Fresno County Board of Supervisors in 1994 and 1996 and failed to file late contribution reports and campaign statements.

SIGALA, MARCO, SI-90/943 (1993)

87100 - One (1) count
\$2,000 fine

Marco Sigala, former Los Banos Unified District Assistant Superintendent, committed conflict of interest violations when he used his official position to influence a governmental decision affecting his economic interest. In 1990, after Sigala recommended that the board offer a teaching contract to his wife, he was directed to extend an offer of employment to her.

SILICON VALLEY MANUFACTURING, SI-2000/408 (2000)

84203 – One (1) count
\$2,000 fine

Silicon Valley Manufacturing, located in San Jose, failed to file a late contribution report during the March 7, 2000, primary election.

SILVA, ALBERT, SI-83/19 (1984)

87100 - One (1) count
\$500 fine
\$500 waived

Richmond City Councilman Albert E. Silva committed conflict of interest violations when he participated in a series of votes on a development project proposed by real estate developer Carl Dame'. Silva should have disqualified himself from voting on Dame's project since he reported receiving gifts of three fishing trips from Dame' valued at \$750 each (total value of \$2,250) on his Statement of Economic Interests.

SILVER, CAROL RUTH, FRIENDS OF SUPERVISOR CAROL RUTH SILVER, COMMITTEE TO RE-ELECT SUPERVISOR CAROL RUTH SILVER, SI-91/305 (1992)

84104 - Two (2) counts
\$2,000 fine

Carol Ruth Silver, former San Francisco City and County Supervisor, and her campaign committees failed to retain source documents and bank statements for contributions received and expenditures made in 1988.

SIMANTOB, AMANOLLAH, ZACARIA SIMANTOB, SAID SIMANTOB, ATALOAH SIMANTOB, AND AMIR ARZILI, SI-92/279 (1995)

84300(c) and 84301 - Eleven (11) counts
84302 - Two (2) counts
\$25,000 fine

Members of the Simantob and Shalomi family, owners of the Broadway Trade Center, formerly the May Company building in downtown Los Angeles' Garment District, laundered several contributions in the names of various individuals to candidates for Los Angeles City Council in 1991. In addition, Ataloah Simantob and Amir Arzili acted as intermediaries without disclosing the name of the actual contributor.

SIMMONS, CHUCK, SI-94/456 (1997)

87100 - One (1) count
\$1,000 fine

Chuck Simmons, board member of the Amador County Fair Board, violated the conflict of interest provisions in 1993 when he used his official position to influence a decision in which he had a financial interest.

SIMON, RENEE, RENEE SIMON CAMPAIGN COMMITTEE, STERLING CLAYTON, SI-80/15 (1980)

84203 (currently 84200.5) - Two (2) counts
84210 (currently 84211) - Three (3) counts
84214 (currently 84203) - One (1) count
\$1,500 fine
\$750 waived

State senatorial candidate Renee Simon of Long Beach and her campaign committee failed to file two late contribution reports. The committee also failed to properly report 12 contributions of \$100 or more totaling \$2,250 and two expenditures totaling \$8,706.11.

SINCLAIR, PETE, COMMITTEE TO ELECT PETE SINCLAIR AND LORI LAUB, SI-96/146 (1998)

84211 - One (1) count
\$1,500 fine

Pete Sinclair, successful candidate for the Woodside City Council in 1995, the Committee to Elect Pete Sinclair and its treasurer, Lori Laub, failed to report required information relating the true identity of campaign contributions received.

SKEEN, JOHN K., SI-98/317 (1998)

84200 - One (1) count
84203 - One (1) count
\$2,500 fine

John K. Skeen of San Francisco, failed to file a late contribution report and failed to file a semi-annual campaign statement for contributions made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998.

SMEAD, LARRY, SI-98/352 (1998)

84200 - Two (2) counts
\$3,000 fine

Larry Smead of Canaan, Connecticut, failed to file semi-annual campaign statements for contributions made to the California Civil Rights Initiative, a ballot measure committee, in support of Proposition 209, in 1996.

SMITH, ARLO, ARLO SMITH FOR ATTORNEY GENERAL, AND MICHAEL K. WONG, TREASURER, SI-93/332 (1996)

84211 - One (1) count
85201 - One (1) count
84203 - Seven (7) counts
84303 - Five (5) counts
84104 - One (1) count
84216 - Three (3) counts
\$25,000 fine

The Commission adopted an administrative law judge's proposed decision in the matter of Arlo Smith, candidate for state Attorney General in 1990, and his committee, Arlo Smith for Attorney General, and Michael K. Wong, Treasurer, who committed 18 campaign reporting violations.

SMITH, BETTY, SI-95/37 (1995)

87207 - One (1) count
\$2,000 fine

Betty Smith, a director on the 50th District Agricultural Association Board of Directors, failed to report her employer as a source of income on her annual Statement of Economic Interests in 1994.

SMITH, ROBERT, SI-91/587 (1992)

89515 - One (1) count
\$1,000 fine

Robert Smith, Fresno City Councilman, improperly loaned \$8,493 in campaign funds to his personal insurance business.

SMITH, TIMOTHY PETER, AKA TIM SMITH, AND CAMPAIGN TO ELECT TIM SMITH, SI-95/327 (1998)

84104 - Six (6) counts
84211 - Six (6) counts
\$18,000 fine

Timothy Peter Smith, a member of the Sonoma County Board of Supervisors, aka Tim Smith, and his controlled committee, Campaign to Elect Tim Smith, failed to maintain required records of purchases made on credit cards and failed to report expenditures and subvendor payments during 1993 to 1996.

SMOLEY, SANDRA, SI-86/370 (1989)

87100 and 87103 - Eight (8) counts
\$13,500 fine

Sacramento County Supervisor Sandra Smoley committed conflict of interest violations involving more than a dozen county decisions in 1985 and 1986 that significantly benefitted clients of her husband's architectural and planning firm, Carissimi-Rohrer, or the client's related business entities. While her husband's firm was not involved in any of the projects, the developers were known by the supervisor to be sources of income to her husband which resulted in community property income to her in excess of \$250.

A prenuptial agreement between Supervisor Smoley and Walter Rohrer did not exempt her from disqualification as to clients of her husband's firm. Rather than consulting the

Commission, Supervisor Smoley relied on the advice of private counsel in April 1986 which erroneously concluded that disqualification from the decisions was unnecessary because of the prenuptial agreement. That advice was reversed in July 1988 after the private counsel reviewed a full copy of the agreement.

Additionally, on her 1984 and 1985 Statements of Economic Interests, Ms. Smoley reported that clients of Carissimi-Rohrer and Associates were sources of income through payments made to the firm.

SNIDER, PAUL H., FLORIN ROAD TOYOTA, SI-88/259 (1989)

84211(b) and (j) - One (1) count
84301 - One (1) count
\$4,000 fine

Paul H. Snider and one of his Sacramento auto dealerships failed to disclose six contributions totaling \$2,925 on his major donor campaign statement for 1985. The contributions, made by affiliated business entities, brought his total contributions for the year to more than \$40,000. Mr. Snider's dealership, Florin Road Toyota, also laundered a \$250 contribution to Friends of John Doolittle through an office manager, who was reimbursed.

SNYDER, ARTHUR, SI-80/19 (1982)

87100 - Five (5) counts
87207 - Five (5) counts
\$14,000 fine

Los Angeles City Councilmember Art Snyder violated the conflict of interest and disclosure requirements. Snyder should have disqualified himself from voting in five matters relating to the East Los Angeles Community Union (TELACU). Additionally, he failed to disclose income received from a TELACU subsidiary through a company he owns and also failed to disclose the receipt of income he received personally from the investment of campaign funds.

Snyder voted as a member of the Grants Committee on June 6, 1979, the same day he entered into a business agreement with a TELACU subsidiary that ultimately resulted in net income to him of approximately \$30,000 during 1979 and 1980. The five grants in question totaled \$2.2 million.

SNYDER, RICHARD A., MD-87/380 (1988)

84200(b) - One (1) count
84203 - One (1) count
\$2,500 fine

Richard A. Snyder of Los Angeles failed to timely file a major donor campaign statement and late contribution report in connection with contributions totaling \$155,300 in 1986. The late contribution report should have been filed for a \$50,000 contribution made on October 22, 1986.

SODARO, DONALD E., SI-99/401 (2000)

84200 - One (1) count
\$400 fine

Donald E. Sodaro of Newport Beach failed to timely file a major donor campaign statement for contributions made to the Lungren Committee for Common Sense Conservatism in 1998.

SORCI, JOHN, JOSEPH FILICE AND "JOHN SORCI FOR SUPERVISOR" COMMITTEE, SI-97/10 (1998)

84104 - One (1) count
\$2,000 fine

John Sorci, Mayor and City Councilman of the City of Santa Clara, and candidate for the Santa Clara County Board of Supervisors in 1994, his committee, "John Sorci for Supervisor," and its treasurer, Joseph Filice, failed to maintain detailed accounts, records, bills, and receipts necessary to properly prepare campaign statements.

SOTO, LETICIA, SI-99/328 (2000)

87300 - Two (2) counts
\$4,000 fine

The Commission issued a Default Decision and Order against Leticia Soto, member of the Madera Civil Service Commission, who failed to timely file an assuming office and a 1998 annual Statement of Economic Interests.

**SOUTH PASADENANS FOR SAFE SCHOOLS,
MARILYN ANDERSON, SI-96/201 (1997)**

84200 and 84200.8 - Three (3) counts
\$4,500 fine

South Pasadenans for Safe Schools, a committee supporting Measure L in 1995, a school bond, and its treasurer, Marilyn Anderson, failed to timely file campaign reports.

SOUTHERN CALIFORNIA ADVANCE TEAM, SI-95/464 (1997)

83116.5 and 84305 - One (1) count
\$1,500 fine

Southern California Advance Team negligently caused or aided and abetted a violation of the Political Reform Act when it accepted funds from the Bicycle Club, a casino located in the City of Bell Gardens and whose controlling interests are held by the United States Government, to produce a mass mailing campaigning against legalized gambling in the city of Compton in 1993, but failed to disclose the Bicycle Club as the sender.

**SOUTHERN WINE AND SPIRITS OF
CALIFORNIA, MD-90/212 (1991)**

84200 (b) - Three (3) counts
\$4,750 fine

Southern Wine and Spirits of California (Southern), a liquor and wine distributor, failed to timely file three major donor campaign statements. During 1987, Southern qualified as a major donor by making campaign contributions totaling \$15,035 to state officeholders and political committees, and in 1988, contributed a total of \$43,650.

**SOUTHWESTERN REGIONAL COUNCIL OF
UNITED FOOD AND COMMERCIAL WORKERS,
REGIONS 14 AND 15, AND PRESTON T.
EPPERSOM, TREASURER, SI-92/735 (1993)**

84203 - One (1) count
84200.7 - Two (2) counts
84211 - Three (3) counts
\$7,800 fine

Southwestern Regional Council of United Food and Commercial Workers, Regions 14 and 15, a sponsored committee, and its treasurer Preston T. Eppersom,

failed to report two late contributions in 1990 totaling \$12,500 to the campaigns of John Garamendi and Dianne Feinstein within twenty-four hours of making the contributions and also failed to timely file two pre-election statements.

Additionally, respondents did not report receipt of in-kind contributions in 1990 totaling \$29,967 from the committee's sponsoring organization. Finally, respondents failed to report that the committee made two expenditures in 1990 totaling \$13,000 which were contributions.

**SPARKS, ANNA, ANNA SPARKS FOR 5TH
DISTRICT SUPERVISOR COMMITTEE,
CITIZENS FOR LOCAL REPRESENTATION, SI-84/256 (1986)**

84103 - One (1) count
84200 - One (1) count
84211 and 84213 - One (1) count
84305 - One (1) count
1,000 fine; \$250 waived - Anna Sparks
\$500 fine; \$250 waived - Anna Sparks for 5th District Supervisor Committee
\$500 fine; \$250 waived - Citizens for Local Representation

Humboldt County Supervisor Anna Sparks, the Anna Sparks for 5th District Supervisor Committee, and a local campaign committee, Citizens for Local Representation, committed several campaign disclosure violations. The Commission had previously rejected the administrative law judge's proposed decision and elected to decide the case themselves.

The Commission found that Citizens for Local Representation was a committee controlled by Sparks and that all filings by that committee should have been signed and verified by Sparks. It also found that a last minute negative mailing sent during the 1982 supervisorial election should have identified the Anna Sparks Committee as the sender, instead of listing Citizens for Local Representation as the sender.

Sparks and the Citizens for Local Representation Committee also failed to file timely disclosure statements during 1984 identifying contributors who financed Sparks' trip to the 1984 Republican National Convention.

SPERAW, OLIVER, SPERAW FOR STATE SENATE AND GEORGE MURCHISON, SI-80/14 (1980)

81011 (currently 82025.5) - One (1) count
 84203 (currently 84200.5) - One (1) count
 84210 (currently 84211) - Three (3) counts
 84214 (currently 84203) - One (1) count
 84300 - One (1) count
 84303 - One (1) count
 \$1,500 fine
 \$750 waived

State Senator Ollie Speraw committed violations when he was a candidate for the 31st State Senate District in a special election to fill the vacancy of former Senator George Deukmejian.

Speraw and his campaign committee failed to timely file the second pre-election campaign statement, to report in-kind contributions, late contributions, expenditures of \$6,588 to subvenders, and receipt of a cash contribution of \$200.

SPORTS MALL TASK FORCE AND GORDON REYNOLDS, TREASURER, SI-96/671 (1998)

84101 - One (1) count
 84204 - One (1) count
 \$2,500 fine

Sports Mall Task Force, an unregistered committee in the City of Campbell, and its treasurer, Gordon Reynolds, sent out mailers in support of two candidates and raised approximately \$20,000 but failed to timely register as a committee after being advised by the city clerk that they may have qualified as a committee. In addition, they failed to file a late independent expenditure report during 1996.

STAMEROFF, NICHOLAS, SI-88/382 (1990)

87402 - Two (2) counts
 \$4,000 fine

Nicholas Stameroff, a former state auditor, violated the "revolving door" restrictions in 1987 when, as a private auditor, he represented two taxpayers in cases on which he worked while employed by the state Board of Equalization.

Stameroff, while employed by the state Board of Equalization, conducted a business tax audit of the DeMarco U Save Super Market in Santa Rosa in 1986.

The audit resulted in an additional \$30,000 tax assessment against the market. Stameroff, within a month of leaving state service in March 1987, offered to represent market owner Joseph DeMarco in an appeal of the \$30,000 assessment to the state Board of Equalization. He subsequently used the volunteer services of his brother, George, to proceed with the appeal although he prepared the documents used by his brother.

The second violation involved a state audit of the Diet Center of Petaluma in January 1986. While with the state Board of Equalization, Stameroff was disqualified from the assignment after finding that his brother had prepared the company's tax returns. Three months after leaving state service, Stameroff was retained by the owner of Diet Center of Petaluma to represent her in an appeal of the taxes assessed by the state Board of Equalization. The assessment had been based on an issue discovered by Stameroff as a state tax auditor.

STANDARD PACIFIC CORPORATION, SI-98/482 (1999)

84200 - One (1) count
 \$1,250 fine

Standard Pacific Corporation of Costa Mesa failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

STATE BAR OF CALIFORNIA, THE, SI-97/125 (1998)

83116.5 and 86205 - One (1) count
 \$2,000 fine

The State Bar of California, a public corporation established in the judicial branch of government for the purpose of performing governmental functions in the administration of justice, entered into a contract with a lobbyist which included a bonus clause contingent upon the enactment of proposed legislation.

STEFFAN, LAURENCE, AND COMMITTEE TO ELECT LAURENCE H. STEFFAN EL DORADO COUNTY SUPERIOR COURT JUDGE DEPARTMENT 2, SI-98/330 (1999)

84104 - One (1) count
 \$1,500 fine

Laurence Steffan, an unsuccessful candidate for El Dorado County Superior Court Judge Department 2 in 1996 and his controlled committee, Committee to Elect Laurence H. Steffan an El Dorado County Superior Court Judge Department 2, failed to maintain records necessary to prepare campaign statements whereby a mandatory audit of Steffan's campaign could be conducted.

STEIN, HELEN, PI-84/132 (1985)

84101 - One (1) count
87200 - One (1) count
\$500 fine
\$500 waived

Helen Stein, a campaign treasurer for the Westside United Democratic Campaign Committee, failed to file campaign disclosure reports.

STERN, MARC, SI-99/377 (2000)

84200 - One (1) count
84203 - One (1) count
\$1,000 fine

Marc Stern of Los Angeles failed to timely file a major donor campaign statement and a late contribution report disclosing a contribution made to the Lungren Committee for Common Sense Conservatism in 1998.

STOOS, JOHN, SI-85/252 (1986)

82039 - One (1) count
\$500 fine

John Stoos, a former Western Regional Director of American Life Lobbying, Inc., qualified as a lobbyist in 1984 and failed to register or file lobbyist reports with the Secretary of State in connection with his activities concerning abortion legislation.

STOP DANNEMEYER/NO ON 102 AND ROBERT WARD, TREASURER, SI-90/530 (1991)

84203 - Four (4) counts
\$2,000 fine

Stop Dannemeyer/No on 102, a campaign committee, and Robert Ward, its treasurer, failed to file late contribution reports for contributions totaling \$10,500 received during the last two weeks before the 1988 election.

STUART, BRUCE, SI-2000/501 (2000)

84203 - One (1) count
\$2,000 fine

Bruce Stuart of Beverly Hills failed to file a late contribution report during the March 7, 2000, primary election.

SUE ASSOCIATES AND AMY SUE AKA ON-MEI SIN, SI-90/813 (1993)

84302 - Forty-six (46) counts
\$40,000 fine

Sue Associates, an Oakland-based architecture and planning firm, and co-owner Amy Sue, committed campaign disclosure violations by acting as intermediaries when they laundered campaign contributions made to four candidates for Oakland City Council totaling \$56,000. The true source of these contributions was William Dallas and his firm, Lucas Dallas, Inc., who were involved in a multi-million dollar development proposal with the City of Oakland. Sue Associates had a written agreement with Lucas Dallas, Inc., to handle the architectural work if the project went forward.

SULLIVAN, JOHN, SI-99/619 (2000)

87200 and 87203 - One (1) count
\$500 fine

John Sullivan, member, Half Moon Bay Planning Commission, failed to timely file his 1998 annual Statement of Economic Interests.

SUN HEALTHCARE GROUP, SI-99/376 (2000)

84200 - One (1) count
\$400 fine

Sun Healthcare Group, located in Albuquerque, New Mexico, failed to timely file a major donor campaign statement for contributions made to Dave Stirling for Attorney General Exploratory Committee; Friends of Dave Cox Committee; Dickerson for Assembly Committee; Californians for Gray Davis Committee; Lungren Committee for Common Sense Conservatism; and Pat Bates for Assembly Committee in 1998.

**SUNRIDER CORPORATION, THE, DBA
SUNRIDER INTERNATIONAL, SI-90/575 (1992)**

84301 and 84300 (c) - Eleven (11) counts
\$22,000 fine

Sunrider Corporation, a health and beauty care manufacturer, laundered 11 campaign contributions in 1990 to Angela "Bay" Buchanan, Republican candidate for State Treasurer, and Matthew Fong, Republican candidate for State Controller.

Sunrider Corporation is owned by Tei-Fu Chen and his wife. The Chens also own and operate T. F. Chen Products.

On May 7 and 8, 1990, five Sunrider distributors and the sister of Mrs. Chen's executive assistant wrote checks totaling \$5,000 to Buchanan for Treasurer, all of whom were reimbursed for their contributions by Sunrider.

On September 25, 1990, five Sunrider distributors made contributions of \$3,500 to the Matthew Fong for Controller Committee. All five were reimbursed by Sunrider.

SUTTER HEALTH, SI-97/581 (1998)

84200 - One (1) count
\$1,500 fine

Sutter Health, a nonprofit corporation, contributed \$75,000 to a statewide committee in support of an initiative on the November 1996 ballot and failed to timely file a major donor campaign statement reporting this contribution.

SUTTER HEALTH CENTRAL, SI-97/581 (1998)

84200 - One (1) count
\$1,500 fine

Sutter Health Central and its affiliated entities contributed \$19,760 to a statewide committee in support of an initiative on the November 1996 ballot and failed to timely file a major donor campaign statement reporting the contributions.

SWA GROUP, THE, SI-96/36 (1996)

84301 and 84300 - Twenty-Five (25) counts
\$40,000 fine

The SWA Group, a planning and landscape architecture firm, laundered a total of 25 campaign contributions to

candidates for the San Joaquin County Board of Supervisors during 1992-1995.

TADINA, FREIDA, SI-96/424 (1998)

87100 - One (1) count
\$1,000 fine

Freida Tadina, member of the Mount Shasta Planning Commission, violated the conflict of interest prohibition by using her official position to influence a Planning Commission recommendation involving the zoning of an area which included her property in 1996.

TAN, TUN S., SI-96/541 (1997)

84308(d) - One (1) count
\$1,500 fine

Tun S. Tan, a Los Altos Hills resident, made a contribution to a Los Altos Hills Planning Commissioner and candidate for Los Altos Hills City Council only 24 days after the commissioner voted on Tan's project involving a site development permit.

**TAXPAYERS AGAINST CRIME AND VIOLENCE,
SAMUEL SAMSON, DONALD ALLEN, SI-83/365
(1986)**

84200 - One (1) count
84211 - Three (3) counts
84206 (currently 84203) - One (1) count
84306 - One (1) count
\$10,000 fine

Taxpayers Against Crime and Violence was formed in 1983 to support a proposed increase in local property taxes to fund a new Santa Clara County jail. The committee raised over \$114,000 to support Measure A before the November 8, 1983, election, but failed to file any disclosure statements until a week after the election.

The committee treasurer Samuel Samson, a certified public accountant, failed to keep adequate records of the committee transactions and did very little to determine the reporting deadlines and disclosure requirements of the law.

Donald Allen, the committee chairman, failed to inform Samson until August 1984 of a \$4,000 loan received on the eve of the election.

TAXPAYERS AGAINST FRIVOLOUS LAWSUITS, SI-98/137 (1998)

84211 - Two (2) counts
\$4,000 fine

Taxpayers Against Frivolous Lawsuits, a committee primarily formed to oppose Proposition 211 in the November 1996 General Election, failed to disclose accrued expenses during the first and second preelection periods.

TAXPAYERS FOR BETTER EDUCATION PAC AND F. LAURENCE SCOTT, JR., SI-99/92 (1999)

84203 - Two (2) counts
\$2,000 fine

Taxpayers for Better Education PAC, a general purpose committee formed in 1996, and its treasurer, F. Laurence Scott, Jr., failed to file late contribution reports for eleven contributions made during late reporting periods.

TAXPAYERS FOR COMMON SENSE, WITH MAJOR FUNDING FROM THE ALCOHOL BEVERAGE INDUSTRY AND THE BEER INSTITUTE, A COMMITTEE AGAINST PROPOSITION 134 AND RICHARD MANTER, TREASURER, SI-92/514 (1994 - CIVIL SUIT SETTLEMENT)

84211
84303
\$85,000 fine

Taxpayers for Common Sense, a campaign committee funded primarily by the alcoholic beverage industry to oppose Proposition 134 and its treasurer Richard Manter failed to accurately itemize \$5 million in advertising expenditures to subvendors on their disclosure statements during 1990. The committee also failed to promptly report a \$97,000 in-kind contribution.

TAXPAYERS TO LIMIT CAMPAIGN SPENDING, SI-89/350 (1992)

84203 - One (1) count
\$1,500 fine

This was a committee primarily formed to support Proposition 68 in the June 1988 primary election. Respondent failed to file a late contribution report listing six late contributions that it had received totaling \$32,000.

TAYLOR, BRUCE, SI-99/620 (2000)

87203 - One (1) count
\$500 fine

Bruce Taylor, member of the Sanger City Council, failed to timely file his 1998 annual Statement of Economic Interests.

TAYLOR WOODROW HOMES, SI-98/484 (1999)

84200 - One (1) count
\$1,250 fine

Taylor Woodrow Homes of Laguna Hills failed to file a semi-annual campaign statement for a contribution made to Lungren for Governor, a controlled committee, in 1998.

TESTA, ALFRED, SI-99/794 (2000)

87203 - One (1) count
\$2,000 fine

The Commission issued a Default Decision and Order against Alfred Testa, a pro tem judge for the Los Angeles Municipal Court, who failed to timely file a 1998 annual Statement of Economic Interests.

THERMO ECOTEK CORPORATION, SI-98/727 (1999)

84200 - Two (2) counts
84203 - One (1) count
\$5,000 fine

Thermo Ecotek Corporation, located in Waltham, Massachusetts, failed to file semi-annual campaign statements in 1997 and 1998 and failed to timely file a late contribution report in 1998 for contributions made to Californians for Clean Air, Yes on Proposition 7.

THOMASON, JON COLBURN, SI-96/37 (1997)

84300 and 84301 - Thirty-nine (39) counts
84200(b) - Three (3) counts
\$84,000 fine

Jon Colburn Thomason, a Fresno developer, laundered 39 campaign contributions during 1990 through 1993 to various Fresno city and county officials and failed to file major donor campaign statements.

THOMPSON, MARK, SI-92/71 (1997)

83116.5 and 84102 - One (1) count
 83116.5 and 84200 - One (1) count
 83116.5 and 84203 - One (1) count
 86116.5 and 84204 - One (1) count
 \$6,000 fine

Mark Thompson, Chief of Staff to Assemblyman Paul Horcher, and who initiated the formation of the Southern California Taxpayers Committee (SCTC), a controlled committee of Assemblyman Paul Horcher, whose sole purpose was to produce and send a negative political mailer in 1991, caused SCTC's failures to properly disclose information about the controlling candidate on its statement of organization, to timely and properly file a late independent expenditure report, to file a semi-annual campaign statement in 1991, and to file a late contribution report.

THOMPSON, MICHAEL R., SI-96/149 (1998)

84200 - One (1) count
 \$2,000 fine

Michael R. Thompson, Southern Regional Manager for Granite Construction Company located in Riverside County, failed to timely file a major donor campaign statement in 1996 to disclose a loan he made that was used to gather signatures for a statewide gaming initiative.

THOMSON, BERNARD HERSCHEL; ALSO KNOWN AS SKIP THOMSON, SI-96/497 (1998)

89510 and 89513 - One (1) count
 84211 - One (1) count
 \$4,000 fine

Bernard Herschel Thomson, also known as Skip Thomson, a member of the Solano County Board of Supervisors, received excessive loan repayments from his controlled committee in 1995. Thomson also failed to correctly report the balance of cash and cash equivalents on hand at the beginning and the end of the reporting period on his campaign statements during 1994 and 1995.

303 ALMADEN PARTNERS AND WILLIAM WILSON & ASSOCIATES, SI-96/245 (1996)

84300 and 84301 - Nine (9) counts

\$15,300 fine

303 Almaden Partners, a limited partnership in the real estate development business in San Jose, and its general partner, William Wilson, laundered contributions made to candidates in the area.

TONG, JAMES, SI-91/376 (1993)

84301 - Two (2) counts
 \$4,000 fine

James Tong, a businessman who works in the City of Fremont, laundered \$99 contributions made in support of Fremont mayoral candidate Bill Ball and city council candidate Gary Mello in 1989.

TORINO, BRETT, SI-90/341 (1995)

84300(c) and 84301 - Nine (9) counts
 \$15,000 fine

Brett Torino, a real estate developer from Las Vegas, Nevada, laundered contributions in the names of individuals associated with his business partners and their employees to Carl Guardino's unsuccessful campaign for Santa Clara County Board of Supervisors in 1990.

TORRES, ANDRES R., SI-2000/192 (2000)

87200 - One (1) count
 \$500 fine

Andres R. Torres, a planning commissioner in the City of San Fernando, failed to timely file an assuming office Statement of Economic Interests in 1999.

TORRES, ART, FRIENDS OF ART TORRES COMMITTEE, SI-89/188 (1989)

84211 (f) - One (1) count
 84213 - One (1) count
 \$4,000 fine

A mandatory state audit of the Art Torres Committee found that at least 62 contributors of \$100 or more failed to be properly disclosed on campaign statements filed for the first six months of 1985. The failure to itemize 62 campaign contributions resulted in \$37,950 going unreported on the committee's statement. The unitemized and unreported contributions accounted for

approximately 29% of the total contributions received by the committee for that period.

Senator Torres failed to adequately ensure that his committee treasurer used reasonable diligence in preparing the campaign statement, resulting in a violation of the law.

TOSTE, PAUL, SI-95/397 (1999)

87100 - Two (2) counts
\$3,000 fine

Paul Toste, a Kerman City Councilman, participated and voted in governmental decisions in 1995 to approve development of a parcel of property located adjacent to his commercial property in Kerman.

TOVAR, IRENE, SI-94/584 (1996)

84200 - Fourteen (14) counts
\$10,371 fine

Irene Tovar, a former candidate for the Los Angeles City Council, failed to timely file semi-annual campaign statements during 1993-1996.

TOWNSEND, ARNOLD, SE-89/394 (1991)

87302 - One (1) count
\$2,000

The Commission accepted the administrative law judge's findings that Arnold Townsend, associate consultant with the California State Assembly, failed to timely file a Statement of Economic Interests despite repeated notices of his reporting obligations.

Townsend ignored numerous warnings and opportunities to voluntarily comply and did not file his annual Statement of Economic Interests until seven months after the deadline.

TOWNSEND, ARNOLD, SE-90/905 (1992)

87300 - Two (2) counts
\$4,000 fine

Arnold Townsend, an associate consultant to the California State Assembly, failed to timely file an annual and leaving office Statement of Economic Interests.

TOWNSEND, MONROE S., JR., SI-95/216 (1996)

84302 and 84300(c) - Four (4) counts
\$6,000 fine

Monroe S. Townsend, Jr., worked for Ahmanson Commercial Development Co. (ACDC) and was involved in an ACDC development project in San Francisco known as the "Pacific Center." In 1992, Townsend submitted a company check to the Committee to Elect Frank Jordan and acted as an intermediary in attributing the check as contributions from four other individuals.

TRADITIONAL VALUES COALITION, SI-92/330 (1992)

84300 (c) - One (1) count
\$2,000 fine

Traditional Values Coalition, a conservative lobbying group made a \$6,000 contribution to San Franciscans for Common Sense Against Proposition K using a cashier's check that did not have their name on it. This check was reported as an anonymous contribution by San Franciscans for Common Sense Against Proposition K.

TRETTEEN, RUDIE, SI-89/88 (1991)

87100 - One (1) count
87300 - One (1) count
\$1,500 fine

Rudie Tretten, Laguna Salada School District Board Member, committed violations of the conflict of interest provisions concerning a lease approval involving Pacifica Community Television, Inc., (PCT) who was a source of income to him. Dr. Tretten made loans to PCT and then failed to report the repayments on his annual Statement of Economic Interests.

TRIAS, TONY, TONY TRIAS FOR ASSEMBLY COMMITTEE, SI-88/489 (1991)

84200.5 - Two (2) counts
84203 - One (1) count
\$1,500 fine

Tony Trias, a republican candidate in the 46th Assembly race in the November 1988 election, and the Tony Trias for Assembly Committee, failed to timely file two pre-

election campaign statements and a late contribution report.

TSE FAMILY ASSOCIATION, YIM N. LOUIE, ALSO KNOWN AS LOUIE DEAR, YIM NUN LOUIE DER, AND LOUIE DER, PRESIDENT, GWING DER, TREASURER, AND KAI MING DEAR, ALSO KNOWN AS RONALD DEAR, SI-94/460 (1995)

84301 - Seven (7) counts

84302 - Nine (9) counts

\$9,000 fine - Tse Family Association, Yim N. Louie, Gwing Der

\$19,800 fine - Kai Ming Dear

Tse Family Association, located in San Francisco, Yim N. Louie, also known as Louie Dear, Yim Nun Louie Der, and Louie Der, president, and Gwing Der, treasurer, laundered contributions in the names of relatives and other individuals to the 1991 mayoral campaign of Tom Hsieh.

Kai Ming Dear acted as an intermediary for these and other illegal contributions.

TUCKER, CURTIS, JR., SI-91/605 (1993)

89517(a) - Six (6) counts

\$10,000 fine

State Assemblyman Curtis Tucker, Jr., violated the "personal use" provisions when he used campaign funds to pay for rent on a Sacramento apartment in 1990, which was rented in his name. During that time, Tucker also received an Assembly per diem for housing which he used for other expenses.

TUCKER, CURTIS, JR., SI-94/185 (1996)

89517 - Five (5) counts

\$10,000 fine

Curtis Tucker, Jr., a member of the State Assembly, violated the personal use provisions by using campaign funds to pay rent on his apartment/house rental in Sacramento.

TULARE COUNTY DEPUTY SHERIFF'S ASSOCIATION POLITICAL ACTION COMMITTEE, SI-94/536 (1997)

84200 and 84200.5 - Two (2) counts

84200 - Three (3) counts

84203 - One (1) count

\$8,000 fine

The Tulare County Deputy Sheriff's Association Political Action Committee failed to file and timely file campaign statements during 1992 through 1994.

TUNEUP MASTERS, INC. OF DELAWARE, MD-87/368 (1988)

84200(b) - Two (2) counts

\$1,000 fine

Tuneup Masters, Inc. of Delaware failed to timely file two major donor campaign statements for contributions totaling \$19,000 in 1986.

TURNER, JEROLD L., COMMITTEE TO ELECT JEROLD L. TURNER SUPERIOR COURT JUDGE, JOSEPH F. ETIENNE, TREASURER, SI-94/240 (1995)

84203 - Two (2) counts

84211 and 84303 - One (1) count

\$2,500 fine

Jerold L. Turner, successful candidate for Judge of the Kern County Superior Court in 1992, his committee, and its treasurer, Joseph F. Etienne, failed to file late contribution reports for \$11,850 and did not report subvendor expenditures amounting to \$15,208 on campaign reports.

TURNER, RALPH, SI-97/660 (1998)

84301 and 84300 - Three (3) counts

\$5,400 fine

Ralph Turner, owner of a manufacturing company located in the town of Ramona in San Diego County, made contributions in the names of others to the campaign of San Diego County Supervisor Dianne Jacob in 1995 without disclosing the true source of the funds.

UAW REGION 6 POLITICAL ACTION COMMITTEE AND HOWARD DICKERSON, TREASURER, SI-92/67 (1994)

84211(j) - Seven (7) counts

84203 - Two (2) counts

\$18,000 fine

The UAW Region 6 is a regional division of the United Automobile, Aerospace and Agricultural Workers of

America, an international labor union. Its political action committee and its treasurer, Howard Dickerson, failed to timely report on its campaign statements campaign contributions made in 1990 amounting to \$57,000. In addition, late contribution reports were not filed for contributions made of \$25,000.

UFCW REGION 8 STATE COUNCIL, ID #910874, 2000/513 (2000)

84203 – One (1) count
\$2,000 fine

UFCW Region 8 State Council, ID #910874, of North Hollywood failed to file a late contribution report during the March 7, 2000, primary election.

ULLOA, JUAN, ULLOA FOR JUDGE COMMITTEE, AND HENRY MONROY, JR., SI-96/77 (1997)

84104 - One (1) count
84300 - One (1) count
84203 - One (1) count
\$3,500 fine

Juan Ulloa, a successful candidate for Imperial County Superior Court Judge in the 1994 election, and his committee, Ulloa for Judge Committee, and its treasurer, Henry Monroy, Jr., a certified public accountant, failed to maintain adequate records for loans received or for occupation and employer information for contributions received, received cash contributions, and failed to timely report a late contribution received. These violations were uncovered through a Franchise Tax Board audit of the committee.

ULLRICH, WILLIAM, SI-90/614 (1992)

87100 - One (1) count
\$2,000 fine

William Ullrich, Bradbury City Planning Commissioner, violated the conflict of interest provisions when he participated in approving an application to subdivide property in Bradbury owned by parties to whom he had entered into agreement with respect to real property located elsewhere in Duarte.

UMAX TECHNOLOGIES, SI-99/394 (2000)

84200 - One (1) count

\$400 fine

Umax Technologies, located in Fremont, failed to timely file a major donor campaign statement for contributions made to Quackenbush for Senate 1998; Dave Stirling for Attorney General Exploratory Committee; and Lungren for Governor Committee in 1998.

UMBERG, TOM, TOM UMBERG FOR ATTORNEY GENERAL, SUSAN NAPLES, TREASURER SI-96/610 (1998)

84203 - Five (5) counts
\$9,000 fine

Tom Umberg, candidate for Attorney General in 1994, his controlled committee, Tom Umberg for Attorney General, and its treasurer, Susan Naples, failed to file five late contribution reports in 1994.

UNITED DEMOCRATIC CAMPAIGN COMMITTEE AND WILLARD MURRAY, FC-88/400 (1992)

84218 - Six (6) counts
84220 - Sixteen (16) counts
84305.5 - Nine (9) counts
\$16,700 fine

The Commission accepted the administrative law judge's findings that Assemblyman Willard Murray, treasurer and executive officer of the United Democratic Campaign Committee, failed to file campaign statements in 1988 and 1989 disclosing payments for the production of slate mailers; failed to file late payment reports for payments for slate mailers received during the final two weeks before the June and November 1988 elections; and failed to put correct sender identification and/or "notice to voters" information on slate mailers.

UNITED DEMOCRATIC CAMPAIGN COMMITTEE, WILLARD MURRAY, AND KEVIN MURRAY, TREASURER, SI-94/685 (1998)

84218 - Eight (8)
84220 - Twelve (12)
84305.5 - Five (5)
\$38,000 fine

The Commission rejected the proposed decision of the Administrative Law Judge and issued its decision and

order against United Democratic Campaign Committee, a slate mailer organization, Willard Murray, its founder and managing editor, and Kevin Murray, its treasurer, who failed to timely file semi-annual campaign statements, failed to timely report receipt of late payments, and failed to designate with an asterisk candidates and ballot measures which paid to appear in a slate mailer.

UNITED FOOD AND COMMERCIAL WORKERS LOCAL 135, SI-89/451 (1992)

84203 - One (1) count
84203.5 - Two (2) counts
84204 - One (1) count
\$6,500 fine

The United Food and Commercial Workers Local 135 located in San Diego failed to timely file two independent expenditure reports, one late independent expenditure report, and one late contribution report in 1989 and 1990 to disclose their support of local candidates.

UNLIMITED CONSTRUCTION AND PAUL LITSCHER, SI-99/273 (2000)

84301 - Nineteen (19) counts
\$34,200 fine

Unlimited Construction located in Ontario and its owner, Paul L. Litscher, laundered campaign contributions to three candidates for the Ontario City Council during 1995-96.

UPTON, JOHN, WILLIAM CONLON, AND THE COMMITTEE TO ELECT JOHN UPTON, SI-97/367 (1998)

84300 - One (1) count
84211 - Two (2) counts
84203 - One (1) count
\$4,500 fine

John Upton, a successful candidate for supervisor in El Dorado County in 1994, the Committee to Elect John Upton, and its treasurer, William Conlon, accepted cash contributions of \$100 or more totaling \$1,000, failed to list expenditures for subvendors, failed to file late contribution reports, and failed to list occupation and

employer information for contributors. An audit was conducted by the Franchise Tax Board for the years from 1990 through 1994 for contributors.

USSERY, WILFRED, WIL USSERY FOR BART, AND MAXINE USSERY, SI-92/12 (1994)

84200 - Five (5) counts
84200.7 - One (1) count
\$11,500 fine

Bay Area Rapid Transit Director Wilfred Ussery, his controlled committee Wil Ussery for BART, and treasurer for the committee, Maxine Ussery, failed to timely file six campaign reports covering 1989 through 1992.

UTT, ROGER, AND ROGER UTT CAMPAIGN SAN DIEGO CITY COUNCIL, SI-94/152 (1995)

84200(a) - Two (2) counts
\$4,000 fine

The Commission issued a Default Decision and Order against Roger Utt, a candidate for San Diego City Council, and his committee, who failed to file two campaign statements in 1993 and 1994 despite numerous requests from the filing officer and the Commission.

VALENTE, LARRY, FRIENDS OF LARRY VALENTE COMMITTEE, SI-88/552 (1989)

84305 - One (1) count
\$1,500 fine

Larry Valente, a candidate for Poway City Council in 1988, failed to provide proper sender identification on a mass mailing entitled "Republican Update," which was sent near the end of his campaign and paid for by the Valente committee. The mailer, entitled "Republican Record," was designed to resemble a mailer sent by the San Diego Republican Party and featured the same logo, colors, and type used by this group. The front of the Valente mailer stated "Correction Material Please Insert in Republican Record" and was designed to mislead the voters into believing this was an official endorsement.

VANDERVOORT, J. JOSEPH, SI-89/471 (1990)

84301 - Five (5) counts

\$10,000 fine

J. Joseph Vandervoort, a former Butte County Public Defender, laundered five campaign contributions through employees to a supervisor in August 1988. Vandervoort, at the time of the violation, was a contract public defender. He reimbursed five employees in his law office who had made contributions totaling \$450 to incumbent Haskell "Bank" McInturf.

VARELA, JOHN, SI-96/142 (1998)

87100 - One (1) count

\$1,500 fine

John Varela, Morgan Hill city councilman, violated the conflict of interest provisions when he voted on a decision to allocate grant funds to a nonprofit organization that was a source of income to his wife in 1993, 1994, and 1995.

VASCONCELLOS, JOHN, FRIENDS OF JOHN VASCONCELLOS, AND GLORIA ROSE OTT, TREASURER, SI-94/187 (1995)

84203 - Five (5) counts

84211 - Seven (7) counts

\$15,000 fine

John Vasconcellos, a member of the California State Assembly, his controlled campaign committee and its treasurer Gloria Rose Ott, failed to timely file late contribution reports for contributions received totaling \$95,058. The campaign also underreported payments made to its treasurer by \$42,500.

VASQUEZ, JOHN, SE-88/140 (1989)

87202 and 87203 - One (1) count

\$750 fine

John Vasquez, an appointed Solano County Planning Commissioner, failed to file a required financial disclosure Statement of Economic Interests in 1987.

VASQUEZ-RAMOS, ARMANDO, SI-88/293 (1990)

87300 - Two (2) counts

\$2,000 fine

The Commission accepted the administrative law judge's findings that Armando Vasquez-Ramos, a commissioner of

the California State University and Colleges Headquarters Building Authority in Long Beach, failed to provide timely disclosure of financial interests. Vasquez-Ramos failed to file 1987 and 1988 annual Statements of Economic Interests.

VASQUEZ-RAMOS, ARMANDO, SI-90/643 (1991)

87300 - Two (2) counts

\$4,000 fine

The Commission issued a Default Decision and Order against Armando Vasquez-Ramos, former commissioner with the California State University and Colleges Headquarters Building Authority in Long Beach, who failed to file a 1989 annual Statement of Economic Interests by the April 1, 1990, deadline and a leaving office Statement of Economic Interests within 30 days leaving office.

VENTURE CATALYST INCORPORATED, SI-2000/418 (2000)

84203 - One (1) count

\$2,000 fine

Venture Catalyst Incorporated of San Diego failed to file a late contribution report during the March 7, 2000, primary election.

VINCENT, EDWARD, SI-95/186 (1996)

89505 - Two (2) counts

\$2,000 fine

Edward Vincent, Mayor of Inglewood and alternate commissioner on the California Coastal Commission and commissioner on the California World Trade Commission, exceeded the gift limit in 1994.

VINCENT, EDWARD, SI-87/127 (1990)

84203 - Three (3) counts

84211 - Four (4) counts

84305 - One (1) count

\$16,000 fine

Inglewood Mayor Edward Vincent committed campaign disclosure violations in connection with an April 1987 local election. Four violations involved Vincent's failure to disclose contributions made by his committee in support

of a local ballot measure, Proposition 1, which would have nearly tripled the mayor's \$18,000 salary. The contributions totaling more than \$2,300 to the "Yes on Proposition 1 Committee" resulted from payments for the production and distribution of two mass mailings, urging passage of the unsuccessful local ballot measure.

Two violations involved Vincent's failure to disclose contributions made during the 1987 election of Ervin "Tony" Thomas, a candidate for Inglewood City Council.

The contributions of more than \$7,500 resulted from payments for the production and distribution of seven mailers in support of Thomas. The contributions included a payment of more than \$2,000 during the late contribution period.

Another violation involved Vincent's failure to provide the required sender identification on a mass mailing from the Inglewood mayor's office.

VINEYARD, RICHARD, SI-90/205 (1991)

84305 - Two (2) counts
84300 (b) - One (1) count
84211 (j) - One (1) count
\$8,000 fine

Richard Vineyard, candidate for Hawaiian Gardens City Council, committed violations of the mass mailing provisions in connection with two mailers which failed to identify him as the sender. In order to conceal his connection to the mailer, Vineyard paid for the mailer in cash and then he failed to report the mailer expenditures on his campaign statements.

VOTER REVOLT TO CUT INSURANCE RATES, WILLIAM WESTERMAYER, TREASURER, SI-98/333 (2000)

84104 - One (1) count
\$2,000 fine

Voter Revolt to Cut Insurance Rates, a primarily formed recipient committee located in Santa Monica, and its treasurer, William Westermeyer, failed to maintain detailed accounts necessary to prepare campaign statements for the period during 1994 to 1996.

VYDRA, SCOTT, SI-99/295 (2000)

87300 - One (1) count

\$500 fine

Scott Vydra, field representative with the California State Assembly, failed to timely file his 1997 annual Statement of Economic Interests.

WAHL, J. REGINALD, SI-79/42 (1980)

86105 (currently 86110) - One (1) count
86107 (currently 86113) - One (1) count
\$450 fine

J. Reginald Wahl, a registered lobbyist for the Association of American Publishers, failed to report and itemize expenditures for lobbying activity on his lobbyist report.

He also failed to list the expenses and the full name and official positions of the persons who were furnished food and beverages. This case was initiated as a result of an audit by the Franchise Tax Board.

WAI, BONNIE, FRIENDS OF BONNIE WAI FOR CITY COUNCIL, AND JOSEPH WONG, TREASURER, SI-92/286 (1995)

84104 - One (1) count
84203 - One (1) count
84211(f) - Three (3) counts
84211(j) - One (1) count
84300(a) and 84211(f) - Two (2) counts
84300(b) - Two (2) counts
\$12,500 fine

Bonnie Wai, candidate for Monterey Park City Council, her committee, Friends of Bonnie Wai for City Council, and its treasurer and Ms. Wai's husband, Joseph Wong, failed to itemize numerous monetary contributions, loans, and expenditures during 1991-1992. The campaign also received illegal cash contributions and made illegal cash expenditures. Finally, the campaign failed to maintain records for cash contributions.

WALKER, DENNIS, SI-94/237 (1996)

87401 - Five (5) counts
87402 - One (1) count
\$9,000 fine

Dennis Walker, a former employee of the state Department of Motor Vehicles (DMV), separated from state service in 1990 to work for Tandem Computers, Inc. Walker subsequently violated the revolving door provisions when he assisted in representing Tandem in

one of DMV's information technology projects, the Data Base Redevelopment Project, the same proceeding in which he participated while he was employed as the Project Director and EDP Division Deputy Chief for the DMV.

WALKER, LARRY, WALKER FOR SUPERVISOR COMMITTEE, GARY AYALA, RUBEN AYALA, AND AYALA DINNER COMMITTEE, SI-86/435 (1988)

84203 - Two (2) counts

84305 - One (1) count

\$750 fine Larry Walker, the Walker for Supervisor Committee, and Gary Ayala

\$750 fine - Larry Walker and the Walker for Supervisor Committee

\$750 fine - Ruben Ayala and the Ayala Dinner Committee

San Bernardino County Supervisor Larry Walker, campaign consultant Gary Ayala and state Senator Ruben Ayala committed violations of campaign reporting and mass mailing. The mass mailing violations by Mr. Walker and Gary Ayala involved failure to properly identify the candidate as the source of a Howard Jarvis endorsement letter sent to 24,000 Republican households. The pair also was cited for failing to file a late contribution report for a \$10,000 non-monetary contribution received from Senator Ruben Ayala's campaign committee.

The Ruben Ayala Committee failed to report the value of the postage for an Ayala letter endorsing Larry Walker.

WALKUP, MELODIA, KELLY & ECHEVERRIA, SI-2000/419 (2000)

84203 - One (1) count

\$2,000 fine

Walkup, Melodia, Kelly & Echeverria, located in San Francisco, failed to file a late contribution report during the March 7, 2000, primary election.

WALLIS, BRUCE M., SI-91/512 (1994)

87100 - One (1) count

\$1,750 fine

Bruce Wallis, a former member of the Hemet Unified School District Board, violated the conflict of interest provisions on July 16, 1991, when he voted on a motion directly involving a client of his law firm. Wallis' pro rata share of income from that client during the 12 months preceding the vote exceeded \$250. Wallis voted in favor of moving forward with the possible purchase by the school district of a parcel of property owned by his client. The gross purchase price being considered for the property would have been in the range of \$2 million. Ultimately, the school district did not purchase the property in question.

WALTHER, ROGER O., SI-96/415 (1996)

84300 and 84301 - Six (6) counts

\$10,800 fine

Roger O. Walther, a resident of the City of San Francisco and owner of ELS Educational Services, Inc., laundered contributions made to San Francisco Mayor Frank Jordan prior to the November 1995 general election and the December 1995 run-off election.

WANG, NICHOLAS, MD-87/495 (1988)

84200(b) - Two (2) counts

\$1,500 fine

Nicholas Wang of Los Angeles failed to timely file two major donor campaign statements for contributions totaling \$34,756 in 1985 and 1986.

WANTA, PATRICK, SI-98/551 (2000)

87300 - Two (2) counts

\$800 fine

Patrick Wanta, former appointed member of the Loan and Grant Committee of the California Department of Housing and Community Development, failed to timely file his 1997 and 1998 annual Statements of Economic Interests.

WARD, LARRY, LARRY WARD FOR ASSEMBLY COMMITTEE, CHRISTINE HINTON, SI-87/458 (1990)

81004 - Two (2) counts

84211 - Three (3) counts

84213 - Two (2) counts

\$13,000 fine

Larry Ward, unsuccessful candidate for the state Assembly in 1986, and his committee, committed violations involving the reporting of false contributions and expenditures. The candidate and his committee inflated campaign receipts by \$20,000 in its May campaign statement and claimed \$17,590 in fictitious expenditures in its August filing. Committee treasurer, Christine Hinton, also improperly verified that the campaign statements were true and complete without viewing any legally required supporting documentation. The candidate contributed to the violation by directing his treasurer to make the false entries.

WARNER, CHRISTOPHER, SI-99/811 (2000)

87300 and 87302 - One (1) count
\$200 fine

Christopher Warner, board member of the San Francisco Conservation and Development Commission, failed to timely file his 1998 annual Statement of Economic Interests.

WASHBURN, GARY M., SI-93/262 (1995)

87100 - Two (2) counts
87200 and 87207 - Three (3) counts
\$4,000 fine

Gary M. Washburn, mayor of Lake Elsinore, failed to disclose loans received on his Statements of Economic Interests during 1990 through 1994. During this time, Mr. Washburn participated in decisions directly involving the source of one of the loans. He also failed to report income from the sale of property on his Statements of Economic Interests.

WATERS, NORMAN S., SI-91/406 (1992)

89519 - One (1) count
\$1,000, fine

Norman Waters, former state assemblyman, failed to properly dispose of video equipment that had been purchased by his campaign committee. After losing in the November 1990 election, respondent kept the equipment at his personal residence instead of disposing of it as permitted by law.

WATSON, DIANE E., SI-88/66 (1988)

87203 - One (1) count
\$2,000 fine

Los Angeles Senator Diane E. Watson failed to provide timely disclosure of loans on her Statement of Economic Interests. The loans were obtained in 1987 from sources other than commercial lending institutions for home improvement costs. One loan was from a real estate and consulting firm, while the other was a \$5,000 loan from Willie Hausey, a longtime friend and registered lobbyist who represented health care interests.

WATSON, THOMAS, WATSON FOR SUPERVISOR, FRED KARLEN, AND GEORGE ARZOO, SI-90/80 (1990)

84104 - Two (2) counts
84211 - Two (2) counts
84213 - Two (2) counts
84300 - One (1) count
\$14,000 fine

Thomas Watson, candidate for Riverside County Supervisor, his controlled committee, and campaign treasurers failed to disclose a Riverside County developer as the true source of two large cash contributions.

The contributions in 1986 -- \$20,000 in April and \$11,000 in May -- were made by developer Louis Laramore to the Watson for Supervisor Committee during the candidate's unsuccessful primary campaign in Riverside County. The developer made his contributions through Watson's paid political consultant, John Furtak, who "misinformed" the committee treasurers about the true source of the funds.

Committee treasurers, Fred Karlen and George Arzoo acted improperly because, based on other information available to them, they had reason to know that the funds came from some source other than a \$99 per person fundraiser. The treasurers also violated the law by receiving cash contributions of \$100 or more and failed to maintain the detailed records necessary to prepare the campaign statements in question and properly disclose contributions.

WEAVER, FLOYD H., SI-95/183 (1995)

84211 - One (1) count
89512 - One (1) count

\$4,000 fine

Floyd Weaver, a member of the Stockton City Council, used campaign funds to buy personal life insurance. He also failed to report the expenditures for the life insurance on his campaign statements.

WEBB, STEPHEN, AND THE CITIZENS TO ELECT STEPHEN WEBB, SI-88/152 (1990)

84211(c) and (f) - One (1) count

87100 - Five (5) counts

\$12,000 fine

Stephen Webb, former Moreno Valley City Councilmember, committed conflict of interest and campaign reporting violations. Five of the six counts involved conflicts of interest violations stemming from Webb's votes in January and February 1986 to approve two development projects, Moreno Valley Ranch and Hidden Springs, that were likely to materially benefit a source of income.

Webb had a financial interest in the decisions requiring disqualification because of income received from Moreno Valley Cablevision. Webb, a general partner with an eight percent ownership interest, received between \$2,000 and \$3,000 a month in 1985 and 1986 from the cable television company. Approval of the housing projects were likely to benefit Webb's company, which was one of the only two firms with franchises to operate in Moreno Valley. Moreno Valley Cablevision eventually received contracts to install underground cable for part of the houses in both development projects.

The campaign reporting violation involved the failure of the candidate and his controlled committee, Citizens to Elect Stephen Webb, to properly cumulate and itemize four \$99 contributions received the same day in September 1985 from Dale Griffin personally or through his companies.

WENTE, PHILIP, SI-87/57 (1992)

87100 - Two (2) counts

87300 - Three (3) counts

\$9,000 fine

Philip Wente, director of the Alameda County Flood Control and Water Conservation District, Zone 7, violated conflict of interest disqualification and disclosure provisions. Wente, who is executive vice-president of Wente Brothers, voted on proposed capital

improvements for the Zone 7 water distribution and treatment system that would benefit the Ruby Hills property first owned by his corporation and then by a developer who purchased the Ruby Hills Property from Wente Brothers. He also failed to disclose his interest in the Ruby Hills property on Statements of Economic Interests filed in 1987 and 1989. In 1988, he failed to disclose the buyer of Ruby Hills as a source of income.

WESTCO CAPITAL CORPORATION, WILMINGTON FINANCIAL CORPORATION, MD-87/369 (1988)

84200(b) - One (1) count

\$1,750 fine

Westco Capital Corporation of Culver City and an affiliated entity, Wilmington Financial Corporation, failed to file a major donor campaign statement disclosing a total of \$110,000 contributed to the Curb Committee. Only a single campaign statement was required because they are affiliated entities.

WESTERN MOBILEHOME ASSOCIATION POLITICAL ACTION COMMITTEE, SI-92/407 (1994)

84203.5 - One (1) count

84304 - Four (4) counts

\$7,000 fine

Western Mobilehome Association Political Action Committee (PAC) made four late independent expenditures totaling \$10,000 for which it failed to timely file four late independent expenditure reports just prior to the September 17, 1991, San Diego primary election for city council. The PAC also failed to file independent expenditure reports for two independent expenditures totaling \$5,000.

WILLCO ASSOCIATES, JOHN J. WILL, AND STEVE WILL, SI-92/543 (1993)

84301 and 84300(c) - Fifteen (15) counts

84301 - Ten (10) counts

\$40,000 fine

Willco Associates, a partnership located in Santa Maria, and its two partners, John J. Will, and Steve Will, laundered contributions made to several Santa Barbara and San Luis Obispo County Board of Supervisor campaigns and one State Assembly campaign from 1989 through

1992. John J. Will and Steve Will and their numerous business enterprises are engaged in various construction-related activities.

WILSON, CRAIG, FRIENDS OF CRAIG WILSON AND DAVID HAWKINS, TREASURER, SI-98/304 (2000)

84211 and 84303 – One (1) count
84211 - One (1) count
\$2,000 fine

Craig Wilson, unsuccessful candidate for the State Board of Equalization in 1998, his controlled committee, Friends of Craig Wilson and David Hawkins, its treasurer, failed to disclose subvendor information for expenditures and failed to properly disclose the consideration received for expenditures in 1998.

WILSON, LIONEL J., MAYOR'S COMMITTEE FOR OAKLAND, HAROLD A. WILSON, TREASURER, AND WARREN B. WILSON, SI-90/813 (1994)

84203 - Four (4) counts
84211 - Twenty-four (24) counts
84300 - One (1) count
84301 and 84300 - Two (2) counts
\$40,000 fine

Lionel Wilson, Mayor of the City of Oakland from 1977 to 1990, unsuccessfully ran for re-election in 1990. Mayor's Committee for Oakland was Wilson's campaign committee and his brother Harold Wilson served as the committee treasurer. During 1990, Mayor's Committee for Oakland failed to report receipt of a \$2,400 contribution, failed to timely file late contribution reports for contributions totaling \$38,000 and failed to diligently collect and report occupation and employer information about campaign contributions totaling \$33,000 that principally arrived in two large bunches. It was subsequently determined the true source of this \$33,000 was William Dallas or Lucas Dallas, Inc.

Finally, Harold Wilson made an illegal cash contribution of \$225 to another candidate and Warren Wilson laundered two \$1,000 campaign contributions to his brother by reimbursing his secretary and daughter for issuing checks to the committee.

WILSON, PETE, PETE WILSON FOR GOVERNOR COMMITTEE, F. LAURENCE SCOTT, TREASURER, AND TARGET ENTERPRISES, SI-92/492 (1992 - CIVIL SUIT SETTLEMENT)

84211
84303
84105
84203
\$100,000 fine

Pete Wilson, a successful candidate for Governor in 1990, his committee, the committee treasurer, and the committee's media firm failed to timely report \$7.1 million which was paid to various media subvenders. However, payments to the media firm were properly reported. The committee also failed to notify 244 major contributors of \$5,000 or more of their own possible filing requirements. Late contributions totaling \$105,698, and contributions totaling \$14,000 were not properly reported. Accrued expenses totaling \$126,884 were not timely reported.

WINDSOR FINANCIAL CORP., MD-87/299 (1989)

84200 - One (1) count
\$2,000 fine

Windsor Financial Corporation failed to file timely, major donor campaign statements in connection with more than \$75,000 in contributions made in 1986.

WINDWAY CAPITAL, SI-98/293 (1998)

84200 - One (1) count
84203 - One (1) count
\$4,000 fine

Windway Capital located in Sheboygan, Michigan, failed to file a late contribution report for contributions made to the Californians for Paycheck Protection, a ballot measure committee, controlled by Governor Pete Wilson in support of Proposition 226, in 1998. In addition, Windway Capital failed to file a semi-annual campaign statement for contributions to the Yes on 209 committee in 1996.

WINGS WEST AIRLINES, INC., MD-87/300 (1989)

84200(b) - One (1) count
\$1,000 fine

Wings West Airlines failed to file a major donor campaign statement for contributions totaling \$11,250 made to six state candidates in 1986. The statement due no later than January 31, 1987, was not filed until September 1988.

WINTEC, LTD., SI-92/110 (1994)

84301 and 84300 - Seven (7) counts
\$14,000 fine

The Commission issued a Default Decision and Order against Wintec, Ltd., a wind energy partnership, which laundered seven campaign contributions totaling \$7,000 to the campaign of Riverside County Supervisor Norton Younglove in Spring 1990.

WOLFSHEIMER, ABBE, AND THE ABBE WOLFSHEIMER RE-ELECTION COMMITTEE, SI-89/417 (1992)

84305 - Eleven (11) counts
87100 - One (1) count
\$20,000 fine

San Diego City Councilwoman Abbe Wolfsheimer violated mass mailing provisions by failing to put proper sender identification on the outside of 11 mass mailings sent out during the three months prior to the November 1989 election. The councilwoman also violated conflict of interest provisions by voting to recommend that "final best offers" be obtained from all bidders in a bidding process where she had an investment interest in one of the bidders, GTE Corporation.

WOLKOWITZ, MARLA, SI-2000/146 (2000)

87302 - One (1) count
\$400 fine

Marla Wolkowitz, an elected member of the governing board of the Culver City Unified School District, failed to timely file her assuming office Statement of Economic Interests in 1999.

WOMEN'S POLITICAL COMMITTEE AND NANCY S. BRAKENSIEK, TREASURER, SI-97/371 (1998)

84211 - Fourteen (14) counts
\$15,000 fine

Women's Political Committee, a general purpose committee active at the city, county, and state level located in Los Angeles, and Nancy S. Brakensiek, its treasurer, failed to disclose information for individual contributors of \$100 or more on campaign statements filed between 1993 and 1996.

WONG, JOHN, SI-91/376 (1993)

84301 -Two (2) counts
\$3,500 fine

John Wong, a Fremont developer, laundered \$99 contributions made in support of Fremont mayoral candidate Bill Ball and city council candidate Gary Mello in 1989.

WOO, MICHAEL, SI-88/417 (1990)

84308 (c) - One (1) count
\$2,000 fine

Michael Woo, Los Angeles City Councilmember, committed a conflict of interest violation in 1987 while serving as an appointed member of the Los Angeles County Transportation Commission.

Woo failed to disclose campaign contributions of \$250 or more received during the preceding 12 months from a party seeking a noncompetitive bidding contract and failed to disqualify himself from the decision affecting the campaign contributor. Woo did not disclose the receipt of a campaign contribution from Gruen Associates at a meeting on February 11, 1987, prior to the vote, and did not disqualify himself from the proceeding.

WOO, MICHAEL, "MIKE WOO FOR MAYOR RUNOFF" COMMITTEE, SI-96/406 (1997)

84203 - Thirteen (13) counts
\$13,000 fine

Michael Woo, candidate for the Mayor of the City of Los Angeles in 1993, and Mike Woo for Mayor Runoff, his controlled committee, failed to timely file late contribution reports.

WOODWARD, ROY, SI-88/448 (1990)

87100 - Three (3) counts
\$6,000 fine

Roy Woodward, Santee City Councilmember, committed three conflict of interest violations in 1987.

The violations stemmed from the councilmember's attempts to use his official position to influence a decision by the California Transportation Commission which was likely to materially affect his real property and business interests.

The state Transportation Commission was asked to extend Route 62 on an alignment that included an offramp on the street where the councilmember owned real property. The fair market value of the three pieces of commercial property in which Mr. Woodward held interests were in excess of \$700,000. The extension would result in an increase to the rental income from the councilmember's real property interests, the broker's commission from his real estate business, and the income from a restaurant that he partially owned.

WOODY, MICHAEL ERIN, SI-97/289 (1999)

87203 - Four (4) counts
\$3,000 fine

Michael Erin Woody, a member of the Fresno City Council, failed to disclose all of his sources of income on his 1993 through 1996 annual Statements of Economic Interests.

WOOLSTRUM, RICHARD, FRIENDS OF RICHARD WOOLSTRUM, RANDI MEYER, SI-86/342 (1987)

84305 - One (1) count
\$2,000 fine
\$500 waived

Richard Woolstrum, a candidate for the 4th District Supervisorial race in San Joaquin County in 1986, Randi Meyer and the Friends of Richard Woolstrum campaign committee failed to properly identify the sender of a mass mailing.

Two weeks prior to the June primary, Woolstrum retained Randi Meyer as a political consultant and campaign manager for his controlled committee. Meyer is the owner and operator of a Stockton print shop. Meyer produced a mass mailing which, although paid for by Woolstrum's committee, failed to identify the sender on the outside of the mailer. Meyer claimed that the omission of sender identification was due to an error in the printing process, but that she chose to ignore the error due to time pressures.

WORTHEN, JAMES, SI-93/74 (1997)

87100 - Three (3) counts
87203 - Five (5) counts
\$9,000 fine

James Worthen, a Eureka City Councilman, violated the conflict of interest provisions by participating in two decisions of the Eureka Redevelopment Agency which indirectly affected a source of income to him and further attempted to influence a governmental decision in which he had a financial interest in 1992. Worthen further failed to report payments received for travel reimbursements from a business in which he had a financial interest on his 1991 through 1994 Statements of Economic Interests and failed to disclose income received from this business on his 1994 Statement of Economic Interests.

WRIGHT, CATHIE, CATHIE WRIGHT FOR ASSEMBLY COMMITTEE, AND THOMASINA CRIGER, SI-81/16 (1982)

84210 (currently 84211), 84214 (currently 84203), and 84216 - Four (4) counts
84300 - One (1) count
87207 - Three (3) counts
\$1,000 fine - Cathie Wright
\$3,500 fine - Cathie Wright for Assembly Committee
\$500 fine - Thomasina Criger

Assemblywoman Cathie Wright, who was mayor of Simi Valley prior to her election to the Assembly, failed to disclose the true source and amount of contributions on a campaign statement, received cash contributions, and failed to disclose income on her Statement of Economic Interests.

WRIGHT, RICHARD, SI-95/213 (1998)

87100 - One (1) count
\$2,000 fine

The Commission issued a Default Decision and Order against Richard Wright, President of Bakersfield Community College, who violated the conflict of interest provisions when he used staff members' computers at a seminar held in Lake Tahoe in 1994 by Vitek. Wright had a financial interest in this company due to his ownership interest and position as an officer.

WRIGHT, SHARON; JANET CONDRON, SI-96/162 (1998)

87200 and 87207 - Two (2) counts
87100 - Eight (8) counts
\$17,500 fine

Sharon Wright and Janet Condron, Santa Rosa City Councilmembers, made, participated in, or attempted to influence governmental decisions that involved the Santa Rosa Chamber of Commerce (Chamber), a source of income to them. In addition, Wright failed to disclose receipt of income from the Chamber and the Sonoma County Alliance on her 1993 and 1994 Statements of Economic Interests.

YARMOUTH GROUP, INC., THE, AND THE YARMOUTH GROUP PROPERTY MANAGEMENT, INC., SI-93/337 (1994)

84300 and 84301 - Fifty-one (51) counts
\$92,000 fine

Between October 1989 and March 1993, The Yarmouth Group, Inc., and the Yarmouth Group Property Management, Inc., laundered 51 contributions totaling \$13,500 to city councilpersons in San Diego, San Jose, and Los Angeles. All of the contributions were made in the names of Yarmouth employees rather than in the respondents' names. Pursuant to local ordinance, each of the three cities where the contributions were laundered had campaign contribution limits in effect.

YELVINGTON, ALVAR, SI-79/21 (1980)

86102 - Two (2) counts
86105 (currently 86110) - One (1) count
86107 (currently 86113) - Two (2) counts
86110 (currently 86117) - Sixteen (16) counts
\$2,000 fine
\$1,000 waived

Lobbyist Alvar Yelvington failed to timely file lobbyist disclosure reports with the Secretary of State's office disclosing more than \$9,000 in general lobbying expenses. He also illegally paid many expenses in cash, and on 32 occasions, purchased food or drink without disclosing the beneficiaries.

YES ON A, (COMMITTEE FOR MEASURE A) AND ADELE STOLER, SI-2000/225 (2000)

84200 - Two (2) counts

\$1,000 fine

Yes on A, (Committee for Measure A), supporting a bond measure for the Long Beach Unified School District in 1999, and Adele Stoler, its treasurer, failed to timely file semi-annual campaign statements.

YES ON C IN EL SEGUNDO AND CARL JACOBSON, SI-97/312 (1999)

84200.8 - Two (2) counts
84203 - Two (2) counts
\$6,000 fine

Yes on C in El Segundo, a committee primarily formed in 1997 to support a local bond measure, and its treasurer, Carl Jacobson, failed to file campaign statements and late contribution reports before the election in 1997.

YES ON MEASURE "A" QUALITY EDUCATION PROJECT, AND LEE AND BEDDO, INC., CAMPAIGN CONSULTANTS, SI-91/590 (1993)

84200.8 - One (1) count
84203 - Six (6) counts
\$8,000 fine

Yes on Measure A/Quality Education Project (also known as Yes on Measure A for Quality Classroom Education), a recipient committee organized in September 1991 to support San Jose Unified School District Measure A, a bond measure on the November 1991 ballot, and the firm of Lee and Beddo, Inc., the committee's campaign consultant, failed to timely file their second pre-election campaign statement and six required late contribution reports (LCRS) with a cumulative total of contributions amounting to \$14,250.

YES ON 111 & 108, AND THOMAS W. HILTACHK, TREASURER, SI-91/501 (1992)

84211 - Two (2) counts
\$3,000 fine

Yes on 111 and 108, a committee formed to support Proposition 111, The Traffic Congestion Relief and Spending Limitation Act of 1990, and Proposition 108, The Passenger Rail and Clean Air Act of 1990, failed to properly itemize six contributions totaling \$103,000 that were received during May 1990.

YES ON PROP. 2 COMMITTEE, SI-79/82 (1982)

84305 - Two (2) counts
 \$4,000 fine
 \$2,000 waived

Yes on Prop. 2 Committee misidentified the sender on approximately 483,988 campaign mailers containing an endorsement from Howard Jarvis. The political mailers were identified as coming from "Californians for Lower Interest Rates: A Project of the California Tax Reduction Movement." Inside the mislabeled envelopes was the message from Howard Jarvis, endorsing Proposition 2.

YOUNG, BRUCE, 63RD VOTER REGISTRATION COMMITTEE, 63RD VOTER COMMITTEE, AND SOUTHEAST AREA POLITICAL ACTION COMMITTEE, FC-82/01 (1983)

84200 and 84213 - Twelve (12) counts
 84305 - Three (3) counts
 \$13,000 fine

Assemblyman Bruce Young failed to properly disclose his control of three separate campaign committees between 1979 and 1982 and failed to identify one of the committees as the true sender of the three campaign pieces mailed during the Lakewood City Council elections in early 1982. One of the three mailings sent to Lakewood voters, a hit piece, linked two city council candidates to the "radical" politics of Tom Hayden.

Since the committees were not designated as controlled committees, they only filed semi-annual statements and did not file disclosure statements before the elections. This allowed Assemblyman Young to conceal the fact that he was soliciting and receiving contributions from political action committees representing industries which had sought Young's legislative support.

YOUNGLOVE, NORTON, YOUNGLOVE FOR SUPERVISOR, DONALD THOMAS, WILLIAM BONNETT III, SI-88/529 (1990)

84211 (f) - Three (3) counts
 84213 - Three (3) counts
 \$7,500 fine

Norton Younglove, Riverside Supervisor, committed campaign reporting violations when he failed to properly cumulate and identify contributors of \$100 or more and failed to verify campaign statements in 1985 and 1986.

YOUSSEF, NABIH, SI-99/336 (2000)

87300 - Two (2) counts
 \$1,200 fine

Nabih Youssef, former member of the state Building Standards Commission, failed to timely file his 1997 annual Statement of Economic Interests and a leaving office Statement of Economic Interests.

ZAHARIAS, DEAN, SI-95/364 (1997)

87203 - Two (2) counts
 \$4,000 fine

The Commission issued a Default Decision and Order against Dean Zaharias, Planning Commissioner for the City of Sonora, who failed to file annual Statements of Economic Interests in 1994 and 1995. In addition, the Commission ordered late filing penalties of \$200 to be imposed.

ZEPEDA, FRED, COMMITTEE TO ELECT FRED ZEPEDA, AND MIKE CALDERON, SI-96/554 (1997)

84200 - One (1) count
 84214 - One (1) count
 \$2,000 fine

Fred Zepeda, unsuccessful candidate for Pasadena City Council in 1995, and The Committee to Elect Fred Zepeda, his controlled committee, and its treasurer, Mike Calderon, failed to timely file semi-annual campaign statements and termination statements.

ZURICH COMMERCIAL, SI-99/507 (2000)

84203 - One (1) count
 84200 - One (1) count
 \$1,000 fine

Zurich Commercial, located in Baltimore, Maryland, failed to timely file a late contribution report and a major donor campaign statement disclosing a \$12,100 contribution to the Charles W. Quackenbush Re-election Committee in 1998.